Office of Justice Programs

Office of Juvenile Justice and Delinquency Prevention



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Office of Juvenile Justice and Delinquency Prevention</u> (OJJDP) is pleased to announce that it is seeking applications for the Fiscal Year (FY) 2012 Alaska Native Youth at Risk Training and Technical Assistance Project. This program furthers DOJ's mission by providing training and technical assistance and prevention services that target Alaska Native youth.

# OJJDP FY 2012 Alaska Native Youth at Risk Training and Technical Assistance Project

# **Eligibility**

Eligible applicants are limited to nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fee. Eligible applicants must also reside and operate within the state of Alaska at the time of application and may include a regional office of a larger organization/agency. (See Eligibility, page 3.)

OJJDP may elect to make awards for applications submitted under this solicitation in future years, dependent on the merit of the applications and the availability of appropriations.

# **Deadline**

Applicants must register with <u>Grants.gov</u> prior to submitting an application. (See How To Apply, page 11.) All applications are due by 11:59 p.m. eastern time on July 16, 2012. (See Deadlines: Registration and Application, page 3.)

#### **Contact Information**

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, or via e-mail to <a href="mailto-support@grants.gov">support@grants.gov</a>. **Note:** Hotline hours of operation are 24 hours a day, 7 days a week, except federal holidays.

For assistance with any other requirements of this solicitation, contact the Justice Information Center (JIC) at 1–877–927–5657, via e-mail to <u>JIC@telesishq.com</u>, or by <u>live Web chat</u>. JIC hours of operation are 8:30 a.m. to 5:00 p.m. eastern time, Monday through Friday, and 8:30 a.m. to 8:00 p.m. eastern time on the solicitation close date.

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# OJJDP FY 2012 Alaska Native Youth at Risk Training and Technical Assistance Project (CFDA #16.731)

#### Overview

The Office of Juvenile Justice and Delinquency Prevention (OJJDP) seeks to build the capacity of tribal communities across Alaska through the development of multi-disciplinary partnerships that address the specific needs and common challenges of those who work with Alaska Native youth. The successful training and technical assistance (TTA) service provider will build or expand a regional network that will create partnerships to address Alaska Native youth's cultural needs and support their successful functioning at home, in school, and in the community. Tasks include the development of public-private partnerships; the promotion of data-driven strategies; the engagement of community, family, and youth stakeholders in comprehensive strategic and operational planning efforts; and the development and dissemination of materials to enhance efforts to meet the needs of Alaska Native youth across the state.

This project is authorized by the Department of Justice Appropriations Act, 2012, P.L. 112-55; 125 stat 552, 617.

# **Deadlines: Registration and Application**

Applicants must register with <u>Grants.gov</u> prior to submitting an application. OJJDP encourages applicants to register several weeks before the application submission deadline. In addition, OJJDP urges applicants to submit their applications **at least 72 hours prior** to the due date to allow them time to receive the validation message and to correct any problems that may have caused a rejection notification. The deadline to apply for funding under this announcement is 11:59 p.m. eastern time on July 16, 2012. See How To Apply, page 11.

# **Eligibility**

Eligible applicants are limited to nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fee.

Eligible applicants must also reside and operate within Alaska at the time of application and may include a regional office of a larger organization/agency.

OJJDP welcomes joint applications from two or more eligible applicants; however, one applicant must be clearly indicated as the primary applicant (for correspondence, award, and management purposes) and the others indicated as co-applicants.

# **Project-Specific Information**

Alaska is home to a dynamic and diverse mix of tribes and tribal organizations. Nearly 80,000 tribal members make up the 229 tribes in Alaska. Today, Alaska Native Youth comprise more than 21 percent of all youth younger than 18 in Alaska.

Alaska Native families and tribal communities support their youth, who they consider to be "at risk" for achieving success. However, growing up in these remote and insular communities that often lack necessary services and access to resources, these youth can experience challenges and barriers to their growth and wellness. Suicide is a major concern among Alaska Native youth. The suicide rate for American Indian and Alaska Natives is 70 percent greater than the rate for the general population. They have the highest suicide rate for males and females, ages 10 to 24, of any racial group. Alaska Native youth (like American Indian youth) also face high rates of suspension and expulsion from school, low graduation rates, and high rates of contact with the juvenile justice system.

To support Alaska Native villages as they address these challenges, OJJDP will provide TTA for youth-serving programs to reduce disparities in access, usage, and outcomes of prevention and limited intervention youth services. Under this initiative, OJJDP will fund an organization to provide community-based TTA services and support for all Alaska Native youth whether they are "youth at-promise"—moving forward in a positive direction—or find themselves at risk for delinquency, alcohol/substance abuse, and facing other challenges.

The grant awardee will support existing organization/sites serving Alaska Native villages through onsite technical assistance; offsite technical assistance (e.g., telephone, e-mail, Internet, distance learning); peer-to-peer information exchange and mentoring (individual, group, or jurisdictionwide); publication development and dissemination; information sharing; conferences, workshops, and training events, including agenda development, speaker identification, and logistical support; and curriculum development.

# **Purpose**

Through this solicitation, OJJDP seeks to develop a public/private partnership and will identify and select an organization operating in Alaska that has the expertise, capacity, and partnerships in place to provide and coordinate comprehensive and culturally relevant training and technical assistance to Alaska Native villages and communities. OJJDP promotes a model, based on increased communication and coordinated action, for addressing youth delinquency. Communication and coordination will be critical to ensure successful implementation of this initiative. It will be essential that the successful applicant's services reflect the cultural, racial, ethnic, and linguistic differences of the populations it serves to facilitate access to and usage of appropriate services.

#### Goals, Objectives, and Deliverables

The successful applicant will mobilize TTA providers, coordinate the logistics of the TTA with the tribe and the provider, provide TTA services when appropriate, and build local expertise to provide these services over the long term. The selected applicant will also assist OJJDP in furthering the following overarching goals that serve as benchmarks of success for this new initiative:

- Develop a vibrant Alaska network of TTA providers who, through multi-disciplinary partnerships, balanced approaches, and data-driven prevention strategies for disconnected youth, will strengthen communities so that they may better promote the safety, health, and development of Alaska Native youth.
- Provide Alaska Native villages and communities with tools, resources, and advanced and innovative assistance to engage in multidisciplinary partnerships that promote the

implementation of data-driven and balanced prevention, intervention, enforcement, and reentry strategies to address youth delinquency and other tribal youth justice challenges.

- Enhance and expand services to Alaska Native youth. A key goal of this initiative is to
  facilitate access to effective services that can address the issues affecting Alaska Native
  youth, such as suicide, alcohol and substance abuse, mental health issues, domestic and
  sexual abuse, etc., and their impact on both the individual and her/his tribal community.
- Support family-driven and youth-guided services. They should focus on enhancing the
  capacity of the villages, giving a voice to youth, and reflecting the primary decision-making
  roles of families and youth in their own wellness and in the systems, policies, and
  procedures that govern and impact Alaska Native youth at every level.
- Implement strategies to enhance service delivery to the villages through timely and responsive coordination. This can be accomplished through expanded engagement, collaboration, and coordination to promote systems and policy change in addressing Alaska Native youth needs and services at the tribal, state, and national levels.

The objectives of the project include the following:

- To make diverse subject matter experts available to interested Alaska Native villages.
- To provide tools and practical techniques for working across disciplines to facilitate information sharing, communication, and coordination of balanced approaches and datadriven strategies to address youth needs and protective factors to help prevent delinquency.
- To develop and provide culturally competent, community-driven, and data-driven technical assistance to address delinquency.
- To facilitate peer-to-peer consultation and networking between villages and communities to promote problem solving and innovation through the exchange of information and ideas.

Technical assistance may also involve ongoing phone consultations, Webinars, and other distance/online learning technologies that facilitate peer-to-peer learning. The technical assistance provider will work in partnership with OJJDP to accomplish the tasks identified below. Proposed approaches should clearly demonstrate the applicant's knowledge and experience in performing the following tasks and activities:

- Identify experts to build local capacity for the development and implementation of local strategic plans that use multi-disciplinary partnerships, balanced approaches, and datadriven strategies and help the sites meet their needs.
- Provide technical support, in consultation with OJJDP, to villages and regions on improving each community's response to preventing delinquency, including development and implementation of policies and practices across systems; filling identified needs and gaps in prevention, intervention, enforcement, and restorative/reentry strategies; and applying culturally appropriate and experience- and research-informed practices or evidence-based approaches, when feasible and appropriate.
- Perform all logistics to support training and technical assistance activities and events.

- Work collaboratively with OJJDP to develop and provide materials, including training agendas and other products, prior to any training or technical assistance events or any site visits that consultants conduct.
- Develop and conduct evaluations and assessments of training outcomes, which must be measurable and document short- and long-term effects.
- Produce written synopses or summaries of all meetings, onsite visits, conference calls, and trainings.

Specific deliverables for this project include the following:

- Provide opportunities for peer-to-peer learning on issues of importance to plan development, revision, and/or implementation.
- Maintain an online resource/toolkit for Alaska Native communities that are interested in developing or enhancing multidisciplinary partnerships and/or youth delinquency prevention strategies to ensure they are balanced (i.e., prevention, intervention enforcement / restorative, and reentry/restorative) and data-driven.
- Develop, in coordination with OJJDP, a monthly newsletter that will be distributed to villages and regions and other TTA providers in Alaska to include announcements relevant to training, technical assistance, and funding opportunities and news about this initiative.
- Develop and deliver culturally relevant, issue-based training on topics of common interest or concern across participating villages and regions in collaboration with other local service sectors.
- Develop and regularly update a schedule of training events to be completed. OJJDP will
  expect the provider to work with other providers, Alaska Department of Juvenile Justice
  Office, and Alaska Native villages to generate and review ideas.

#### **Evidence-based Programs or Practices**

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence (generally obtained through one or more outcome evaluations). Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence based. OJP's <a href="CrimeSolutions.gov">CrimeSolutions.gov</a> and OJJDP's <a href="Model Programs Guide">Model Programs Guide</a> Web sites are two resources that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

This solicitation supports the implementation of evidence-based programs and practices when feasible or applicable but also allows for the implementation of culturally relevant, research- or experience-informed practices, where evidence-based programs and practices may not exist.

#### **Amount and Length of Awards**

OJJDP will enter into a cooperative agreement with the successful applicant and will make an initial award of as much as \$500,000 with a program and budget period of 24 months. Subject to performance, need, and the availability of funds, OJJDP may provide supplemental and continuation funding for an additional year.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

In furtherance of the goals and objectives described above, OJJDP's role will include the following tasks:

- reviewing and approving major work plans, including changes to such plans, and key decisions pertaining to project operations.
- reviewing and approving major project-generated documents and materials used in the provision of project services.
- providing guidance in significant project planning meetings and participating in project sponsored training events or conferences.

#### **Budget Information**

Limitation on Use of Award Funds for Employee Compensation; Waiver: With respect to any award of more than \$250,000 made under this solicitation, federal funds may not be used to pay total cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. The 2012 salary table for SES employees is available at <a href="https://www.opm.gov/oca/12tables/indexSES.asp">www.opm.gov/oca/12tables/indexSES.asp</a>. Note: A recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The limitation on compensation rates allowable under an award may be waived on an individual basis at the discretion of the Assistant Attorney General for OJP. An applicant requesting a waiver should include a detailed justification in the budget narrative of its application. Unless the applicant submits a waiver request and justification with the application, the applicant should anticipate that OJP will request the applicant to adjust and resubmit its budget.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service being provided, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work to be done.

**Minimization of Conference Costs.** No OJP funding can be used to purchase food and/or beverages for any meeting, conference, training, or other event. Exceptions to this restriction may be made only in cases where such sustenance is not otherwise available (i.e., extremely remote areas), or where a special presentation at a conference requires a plenary address

where there is no other time for sustenance to be obtained. Such an exception would require prior approval from OJP. This restriction does not apply to water provided at no cost, but does apply to any and all other refreshments, regardless of the size or nature of the meeting. Additionally, this restriction does not impact direct payment of per diem amounts to individuals in a travel status under your organization's travel policy.

Updated Department of Justice and OJP guidance on conference planning, minimization of costs, and conference cost reporting will be forthcoming and will be accessible on the OJP Web site at <a href="https://www.oip.usdoi.gov/funding/funding.htm">www.oip.usdoi.gov/funding/funding.htm</a>.

Costs Associated with Language Assistance (if applicable). If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits by individuals with limited English proficiency may be allowable costs. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services where appropriate.

For additional information, see the "Civil Rights Compliance" section of the OJP "Other Requirements for OJP Applications" Web page (<a href="https://www.ojp.usdoj.gov/funding/other\_requirements.htm">www.ojp.usdoj.gov/funding/other\_requirements.htm</a>).

**Match Requirement.** This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, the match amount incorporated into the OJP-approved budget becomes mandatory and subject to audit.

# **Performance Measures**

To assist the Department in fulfilling its responsibilities under the Government Performance and Results Act (GPRA) of 1993, Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111–352, applicants that receive funding under this solicitation must provide data that measure the results of their work done under this solicitation. Any award recipient will be required, post award, to provide the data requested in the "Data Grantee Provides" column so that OJP can calculate values for the "Performance Measures" column. Performance measures for this solicitation are as follows:

Program Goals	Performance Measures	Description	Data Grantee Provides
To provide training and technical assistance and enhance the capacity of local law enforcement, justice	Number of organizations to which technical assistance is provided.	This measure represents the number of organizations to which technical assistance is provided.	Number of organizations to which technical assistance is provided during the current reporting period: social services, mental health center, schools, law enforcement, other justice agencies, community organizations.
agencies, and tribal and community organizations to prevent and	Number of planning/training activities conducted.	This measure represents the number of planning or training activities held during the reporting period. Planning	Number of training events conducted during the current reporting period.

reduce youth		and training activities include	
delinquency.		creation of task-oriented or intertribal committees, meetings held, needs assessments undertaken, etc.	
	Number of participants who successfully complete the training.	The number and percentage of participants successfully completing training during the reporting period.	Number of individuals trained during the current reporting period: includes tribal leaders, law enforcement, other justice agencies, community representatives.
	Number of organizations reporting improvements in operations based on training and technical assistance.	The number and percentage of organizations reporting improvements in operations as a result of TTA 1 to 6 months post-service.	Number of organizations (tribal council, law enforcement, other justice, and community organizations) reporting improvements in operations based on training and technical assistance as reported on a pre- and post-technical assistance/training tests/evaluations.
	Percentage of training participants exhibiting increased knowledge of the program area.	This measure represents the number of people who exhibit an increased knowledge of the program area after participating in training. Use of pre- and post-tests is preferred.	During the current reporting period, the number of individuals completing both pre- and post-technical assistance/training tests/evaluations that demonstrate improved understanding of strategies to address youth delinquency.
	Number of program materials developed.	This measure represents the number of program materials that were developed during the reporting period. Include only substantive materials, such as program overviews, tribal youth/family workbooks, lists of local service providers. Do not include program advertisements or administrative forms such as sign-in sheets or client tracking forms. Count the number of pieces developed.	Number of participants reporting.  Number and type of products created to serve the field.

Submission of performance measures data is not required for the application. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section What an Application Is Expected To Include on page 13 for additional information.

# **Project Evaluations**

Applicants that propose to use funds awarded through this solicitation to conduct project evaluations or statistical data collections should be aware that these activities (such as systematic investigations designed to develop or contribute to generalizable knowledge) may constitute research, which is defined as follows:

**Research** means a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge. Activities that meet this definition constitute research for the purposes of this policy, whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities. 28 C.F.R. § 46.102(d). The following information pertains to applications that propose to conduct research and involves human subjects:

DOJ regulations (28 C.F.R. Part 46) protect the human subjects of federally funded research. In brief, 28 C.F.R. Part 46 requires that most research involving human subjects that is conducted or supported by a federal department or agency be reviewed and approved by an Institutional Review Board (IRB), in accordance with the regulations, before federal funds are expended for that research. As a rule, persons who participate in federally funded research must provide their "informed consent" and must be permitted to terminate their participation at any time. Funding recipients, before they will be allowed to spend OJP funds on any research activity involving human subjects, must submit appropriate documentation to OJP showing compliance with 28 C.F.R. Part 46 requirements, as requested by OJP.

DOJ regulations (28 C.F.R. Part 22) require recipients of OJP funding to submit a Privacy Certificate as a condition of approval of any grant application or contract proposal that contains a research or statistical component under which "information identifiable to a private person" will be collected, analyzed, used, or disclosed. The funding recipient's Privacy Certificate includes a description of its policies and procedures to be followed to protect the confidentiality of identifiable data. 28 C.F.R. § 22.23. The Department's regulations provide, among other matters, that: "Research or statistical information identifiable to a private person may be used only for research or statistical purposes." 28 C.F.R. § 22.21. Moreover, any private person from whom information identifiable to a private person is collected or obtained (either orally or by means of written questionnaire or other document) must be advised that the information will only be used or disclosed for research or statistical purposes and that compliance with the request for information is voluntary and may be terminated at any time. 28 C.F.R. § 22.27.

OJP has developed a decision tree <a href="www.ojp.usdoj.gov/funding/pdfs/decision\_tree.pdf">www.ojp.usdoj.gov/funding/pdfs/decision\_tree.pdf</a> to assist applicants in assessing whether an activity they plan to undertake with OJP funds may constitute research involving human subjects. Applicants should review this decision tree and include a specific statement in their application narrative that clarifies if they intend to use any information from a project evaluation or data collection to contribute to "generalizeable knowledge" or if they intend to use the information solely for the purpose of internal improvements and/or to meet OJP's performance measures data reporting requirements. If an application includes a research, demonstration evaluation, or statistical data collection component, OJP will examine that component to determine whether it meets the definition of research.

For additional information visit the "Research and Protection of Human Subjects" and the "Confidentiality" sections of the OJP "Other Requirements" Web page at www.ojp.usdoj.gov/funding/other\_requirements.htm.

# **Notice of Post-Award FFATA Reporting Requirement**

Applicants should anticipate that OJP will require all recipients (other than individuals) of awards of \$25,000 or more under this solicitation, consistent with the Federal Funding Accountability and Transparency Act of 2006 (FFATA), to report award information on any first-tier subawards totaling \$25,000 or more, and, in certain cases, to report information on the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients. Each applicant entity must ensure that it has the necessary processes and systems in place to comply with the reporting requirements should it receive funding. Reports regarding subawards will be made through the FFATA Subaward Reporting System (FSRS), found at <a href="https://www.fsrs.gov">www.fsrs.gov</a>.

Please note also that applicants should anticipate that no subaward of an award made under this solicitation may be made to a subrecipient (other than an individual) unless the potential subrecipient acquires and provides a Data Universal Numbering System (DUNS) number.

# **How To Apply**

Applications will be submitted through Grants.gov. Grants.gov is a "one-stop storefront" that provides a unified process for all customers of federal awards to find funding opportunities and apply for funding. Complete instructions on how to register and submit an application can be found at <a href="www.Grants.gov">www.Grants.gov</a>. If the applicant experiences technical difficulties at any point during this process, call the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, or via e-mail to <a href="support@grants.gov">support@grants.gov</a>, 24 hours a day, 7 days a week, except federal holidays. Registering with Grants.gov is a one-time process; however, **processing delays may occur**, and it can take up to several weeks for first-time registrants to receive confirmation and a user password. OJP highly recommends that applicants start the registration process as early as possible to prevent delays in submitting an application package by the specified application deadline.

All applicants are required to complete the following steps:

- 1. Acquire a Data Universal Numbering System (DUNS) number. In general, the Office of Management and Budget requires that all applicants (other than individuals) for federal funds include a DUNS number in their applications for a new award or renewal of an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity. Obtain a DUNS number by calling Dun and Bradstreet at 866–705–5711 or by applying online at <a href="https://www.dnb.com">www.dnb.com</a>. A DUNS number is usually received within 1-2 business days.
- 2. Acquire or renew registration with the Central Contractor Registration (CCR) database. OJP requires that all applicants (other than individuals) for federal financial assistance maintain current registrations in the CCR database. An applicant must be

registered in the CCR to successfully register in Grants.gov. The CCR database is the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. Organizations that have previously submitted applications via Grants.gov are already registered with CCR, as it is a requirement for Grants.gov registration. Note, however, that applicants must **update or renew their CCR registration annually** to maintain an active status. Information about CCR registration procedures can be accessed at <a href="https://www.ccr.gov">www.ccr.gov</a>.

- 3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. The applicant organization's DUNS number must be used to complete this step. For more information about the registration process, go to www.grants.gov/applicants/get\_registered.jsp.
- **4.** Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. Note that there can be more than one AOR for the organization.
- 5. Search for the funding opportunity on Grants.gov. Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance number for this solicitation is 16.731, titled "Tribal Youth Program," and the funding opportunity number is OJJDP-2012-3321.
- **6. Complete the Disclosure of Lobbying Activities.** All applicants must complete this information. An applicant that expends any funds for lobbying activities must provide the detailed information requested on the form, *Disclosure of Lobbying Activities*, (SF-LLL). An applicant that does not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.
- 7. Submit an application consistent with this solicitation by following the directions in Grants.gov. Within 24–48 hours after submitting the electronic application, the applicant should receive an e-mail validation message from Grants.gov. The validation message will state whether the application has been received and validated, or rejected, with an explanation. <a href="Important:">Important:</a> Applicants are urged to submit applications at least 72 hours prior to the due date of the application to allow time to receive the validation message and to correct any problems that may have caused a rejection notification.

Note: Grants.gov will forward the application to OJP's Grants Management System (GMS). GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip."

**Note: Duplicate Applications.** If an applicant submits multiple versions of an application, OJJDP will review the most recent version submitted.

#### **Experiencing Unforeseen Grants.gov Technical Issues**

If an applicant experiences unforeseen Grants.gov technical issues beyond the applicant's control that prevent submission of its application by the deadline, the applicant must email the Justice Information Center (see Page 1 for contact information) within 24 hours after the

**application deadline** and request approval to submit its application. The applicant must include in the e-mail: a description of the technical difficulties, a timeline of submission efforts, the complete grant application, the applicant DUNS number, and Grants.gov Help Desk tracking number(s) the applicant has received. **Note: Requests are not automatically approved by OJJDP.** After the program office reviews all of the information submitted, and contacts the Grants.gov Help Desk to validate the technical issues reported, OJP will contact the applicant to either approve or deny the request to submit a late application. If the technical issues reported cannot be validated, the application will be rejected as untimely.

To ensure a fair competition for limited discretionary funds, the following conditions are <u>not</u> valid reasons to permit late submissions: (1) failure to begin the registration process in sufficient time, (2) failure to follow Grants.gov instructions on how to register and apply as posted on its Web site, (3) failure to follow all of the instructions in the OJP solicitation, and (4) technical issues experienced with the applicant's computer or information technology environment, including firewalls.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding Web page at <a href="https://www.ojp.usdoj.gov/funding/solicitations.htm">www.ojp.usdoj.gov/funding/solicitations.htm</a>.

# What an Application Is Expected To Include

Applicants should anticipate that failure to submit an application that contains all of the specified elements may negatively affect the review of the application; and, should a decision be made to make an award, it may result in the inclusion of special conditions that preclude access to or use of award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that applications that are unresponsive to the scope of the solicitation, or that do not include application elements designated by OJJDP to be critical, will neither proceed to peer review nor receive further consideration. Under this solicitation, OJJDP has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet or a Budget Narrative.

OJP strongly recommends use of appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet and Budget Narrative," "Timelines," "Memoranda of Understanding," "Resumes") for all attachments. OJP recommends that resumes be included in a single file.

#### 1. Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 is a standard form used as a cover sheet for submission of pre-applications, applications, and related information. Grants.gov and GMS take information from the applicant's profile to populate the fields on this form. When selecting "type of applicant," if the applicant is a for-profit entity, select "For-Profit Organization" or "Small Business" (as applicable). Instructions on completing the SF 424 are available at <a href="https://www.grants.gov/assets/SF424Instructions.pdf">www.grants.gov/assets/SF424Instructions.pdf</a>.

#### 2. Program Narrative

Applicants must submit a program narrative that presents a detailed description of the purpose, goals, objectives, strategies, design, and management of the proposed program.

The program narrative should be double-spaced with 1-inch margins, not exceeding 30 pages of 8½ by 11 inches, and use a standard 12-point font, preferably Times New Roman. Pages should be numbered "1 of 30," etc. The project abstract, tables, charts, pictures, etc., including all captions, legends, keys, subtext, etc., may be single-spaced, and will count in the 30-page limit. Material required under the Budget and Budget Narrative and Additional Attachments sections will not count toward the program narrative page count. Applicants may provide bibliographical references as a separate attachment that will not count toward the 30-page program narrative limit. If the program narrative fails to comply with these length-related restrictions, noncompliance may be considered in peer review and in final award decisions.

The program narrative should address the following selection criteria: (1) project abstract; (2) statement of the problem; (3) goals, objectives, and performance measures; (4) project design and implementation; and (5) capabilities/competencies. The applicant should clearly delineate the connections between and among each of these sections. For example, the applicant should derive the goals and objectives directly from the problems to be addressed. Similarly, the project design section should clearly explain how the program's structure and activities will accomplish the goals and objectives identified in the previous section.

The following sections should be included as part of the program narrative.

a. Project Abstract. Applicants should include a project abstract as the first page of the program narrative, and it is included in the page limitation specified above. The abstract should not exceed 200 words and briefly describe the project's purpose, identify the population to be served, and summarize the activities that the applicant will implement to achieve the project's goals and objectives. These goals and objectives should focus on short-term and intermediate outcomes (see Goals, Objectives, and Performance Measures, below). The abstract should describe how the applicant will measure progress toward these goals.

Permission to Share Abstract and Contact Information with Private Funders. It is unlikely that OJJDP will be able to fund all competitive applications but may have the opportunity to share unfunded competitive application information with private foundations. Applicants should indicate whether they give OJJDP permission to share their abstract and contact information for both the authorized representative and point of contact with potentially interested private foundations. Granting this permission will not affect OJJDP's funding recommendations, and if the application is not funded, it will not guarantee that information will be shared, nor does it guarantee funding from any other source.

**b.** Statement of the Problem. Applicants should briefly describe the need for training and technical assistance for communities developing strategies to address the challenges that Alaska Native youth face. The applicant should use data to provide evidence that the need exists and demonstrate the size and scope of the need.

Applicants should describe any previous or current experience with implementing training and technical assistance for youth services in Alaska Native communities. Applicants should describe any current publications they have developed on Alaska Native youth.

**c. Goals, Objectives, and Performance Measures.** Applicants should describe the goals of the proposed program and identify its objectives. When formulating the program's goals and objectives, applicants should be cognizant of the performance measures that OJJDP will require successful applicants to provide.

**Goals.** Applicants should describe the program's intent to change, reduce, or eliminate the problem noted in the previous section and outline the project's goals.

**Program Objectives.** Applicants should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be clearly linked to the problem identified in the preceding section and measurable. (Examples of measurable objectives include the following: number of training sessions delivered and number of people trained annually.)

**Performance Measures.** OJJDP requires award recipients to report data in support of mandated performance measures for this solicitation (see Performance Measures, page 8.) OJJDP does not require applicants to submit performance measures data with their applications. For the application, applicants should indicate an understanding of these requirements and discuss how they will gather the required data, should they receive funding.

OJJDP encourages award recipients to use information from existing program records to fulfill performance measures reporting requirements rather than initiating new data collection activities for this purpose.

d. Project Design and Implementation. Applicants should detail how the training and technical assistance program will operate throughout the funding period and describe the strategies that they will use to achieve the goals and objectives identified in the previous section. Applicants should describe how they will complete the deliverables stated in the Goals, Objectives, and Deliverables section on page 4. Applicants are encouraged to use evidence-based practices when developing the training curriculums. This section should also include details regarding any leveraged resources (cash or inkind) from local sources to support the training and technical assistance program and discuss plans for sustainability beyond the grant period.

Applicants should identify any other federal, state, or private foundation grants that they receive for the same purpose of training communities in developing strategies that address youth delinquency.

**Timeline.** Applicants should submit a realistic timeline or milestone chart that indicates major tasks associated with the goals and objectives of the project, assigns responsibility for each, and plots completion of each task by month or quarter for the duration of the award, using "Year 1," "Month 1," "Quarter 1," etc., not calendar dates (see "Sample Project Timelines" at <a href="ojjdp.gov/grantees/timelines.html">ojjdp.gov/grantees/timelines.html</a>).

Applicants should submit the timeline as a separate attachment, as stipulated in Additional Attachments, page 17. On receipt of an award, the recipient may revise the timeline based on training and technical assistance that OJJDP will provide.

**e.** Capabilities and Competencies. Applicants should describe the roles and responsibilities of project staff and explain the program's organizational structure and

operations. Management and staffing patterns should be clearly connected to the project design described in the previous section. This section should describe the experience and capability of the applicant's organization and any contractors that the applicant will use to implement and manage this effort and its associated federal funding, highlighting any previous experience implementing projects of similar design or magnitude. Applicants should specifically address their experience and capability in working with Alaska Native youth and communities to include TTA support services.

**Letters of Support/Memoranda of Understanding.** If submitting a joint application, as described under Eligibility, page 3, applicants should provide signed and dated letters of support or memoranda of understanding for all key partners that include the following:

- expression of support for the program and a statement of willingness to participate and collaborate with it
- description of the partner's current role and responsibilities in the planning process and expected responsibilities when the program is operational
- estimate of the percent of time that the partner will devote to the planning and operation of the project.

#### 3. Budget Detail Worksheet and Budget Narrative

Applicants should provide a budget that (1) is complete, allowable, and cost-effective in relation to the proposed activities; (2) shows the cost calculations demonstrating how they arrived at the total amount requested; and (3) provides a brief supporting narrative to link costs with project activities. The budget should cover the entire award period.

The budget should include the necessary expenses for travel and lodging for a 2.5 day national grantee training to be held in Washington, DC.

For questions pertaining to budget and examples of allowable and unallowable costs, see the OJP Financial Guide at <a href="https://www.ojp.usdoj.gov/financialguide/index.htm">www.ojp.usdoj.gov/financialguide/index.htm</a>.

- a. Budget Detail Worksheet. A sample Budget Detail Worksheet can be found at <a href="www.ojp.gov/funding/forms/budget\_detail.pdf">www.ojp.gov/funding/forms/budget\_detail.pdf</a>. Applicants who submit the budget in a different format should include the budget categories listed in the sample budget worksheet. The budget detail worksheet should be mathematically sound.
- b. Budget Narrative. The Budget Narrative should thoroughly and clearly describe every category of expense listed in the Budget Detail Worksheet. Proposed budgets are expected to be complete; reasonable and allowable; cost-effective; and necessary for project activities. The narrative should be mathematically sound and correspond with the information and figures the applicant provides in the Budget Detail Worksheet. The narrative should explain how the applicant estimated and calculated all costs and how they are relevant to the completion of the proposed project. The narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the Budget Narrative should be broken down by year.

#### 4. Indirect Cost Rate Agreement (if applicable)

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) A copy of the rate approval should be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant federal agency, which will review all documentation and approve a rate for the applicant organization or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. If DOJ is the cognizant federal agency, obtain information needed to submit an indirect cost rate proposal at <a href="https://www.ojp.usdoj.gov/funding/pdfs/indirect\_costs.pdf">www.ojp.usdoj.gov/funding/pdfs/indirect\_costs.pdf</a>.

#### 5. Additional Attachments

Applicants should submit the following information, as stipulated in the cited pages, as attachments to their application. While the materials listed below are not assigned specific point values, peer reviewers will, as appropriate, consider these items when rating applications. For example, reviewers will consider résumés and/or letters of support/memoranda of understanding when assessing "Capabilities/Competencies." Peer reviewers will not consider any additional information that the applicant submits other than that specified below.

- timeline or milestone chart (see Timeline, page 15)
- résumés of all key personnel
- job descriptions outlining roles and responsibilities for all key positions
- letters of support/memoranda of understanding from partner organizations (see Letters of Support/Memoranda of Understanding, page 16)
- evidence of nonprofit status, e.g., a copy of the tax exemption letter from the Internal Revenue Service
- evidence of for-profit status, e.g., a copy of the articles of incorporation.

#### 6. Other Standard Forms

Additional forms that may be required in connection with an award are available on OJP's funding page at <a href="www.ojp.usdoj.gov/funding/forms.htm">www.ojp.usdoj.gov/funding/forms.htm</a>. For successful applicants, receipt of funds may be contingent upon submission of all necessary forms. Note in particular the following forms.

- **a.** <u>Standard Assurances</u>. Applicants must read, certify, and submit this form in GMS prior to the receipt of any award funds.
- **b.** Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements. Applicants must read, certify, and submit in GMS prior to the receipt of any award funds.

**c.** Accounting System and Financial Capability Questionnaire. (Required for any applicant other than an individual that is a non-governmental entity and that has not received any award from OJP within the past 3 years; this form must be downloaded, completed, and submitted.)

# **Selection Criteria**

The following five selection criteria will be used to evaluate each application, with the different weight given to each based on the percentage value listed after each individual criteria. For example, the first criteria, "Statement of the Problem," is worth 5 percent of the entire score in the application review process.

- 1. Statement of the Problem (5 percent)
- 2. Goals, Objectives, and Performance Measures (20 percent)
- 3. Project Design and Implementation (20 percent)
- 4. Capabilities and Competencies (40 percent)
- 5. Budget (complete; reasonable and allowable; cost-effective, and necessary for project activities) (15 percent)

See What an Application Is Expected To Include, page 13, for the criteria that the peer reviewers will use to evaluate applications.

# **Review Process**

OJP is committed to ensuring a fair and open process for awarding grants. OJJDP reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. OJJDP may use either internal peer reviewers, external peer reviewers, or a combination, to review the applications under this solicitation. An external peer reviewer is an expert in the subject matter of a given solicitation who is NOT a current DOJ employee. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. Applications that meet basic minimum requirements will be evaluated, scored, and rated by a peer review panel. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The Office of the Chief Financial Officer, in consultation with OJJDP, conducts a financial review of applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants; examines proposed costs to determine if the Budget Detail Worksheet and Budget Narrative accurately explain project costs; and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who also may give consideration to factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

# **Additional Requirements**

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at <a href="https://www.ojp.usdoj.gov/funding/other\_requirements.htm">www.ojp.usdoj.gov/funding/other\_requirements.htm</a>

- Civil Rights Compliance
- Civil Rights Compliance Specific to State Administering Agencies
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with Office of Justice Programs Financial Guide
- Suspension or Termination of Funding
- Nonprofit Organizations
- For-Profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act (FFATA) of 2006
- Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement
- Active CCR Registration

# Provide Feedback to OJP on This Solicitation

To assist OJP in improving its application and award processes, we encourage applicants to provide feedback on this solicitation, application submission process, and/or the application review/peer review process. Feedback can be provided to OJPSolicitationFeedback@usdoj.gov.

# **Appendix: Application Checklist**

# OJJDP FY 2012 Alaska Native Youth at Risk Training and Technical Assistance Project

This application checklist has been created to assist in developing an application.

Eligibility Requirement:
Nonprofit and for-profit organization, including tribal nonprofit and for-profit organizationInstitution of higher education, including tribal institution of higher educationReside and operate within the state of Alaska at the time of application and may include
a regional office of a larger organization/agency.
The federal amount requested is within the allowable limits of \$500,000.
What an Application Should Include:
Disclosure of Lobbying Activities (SF-LLL) (see page 12)
Application for Federal Assistance (SF 424) (see page 13)
Program Narrative (see page 13)
Format (double spaced, 12-point standard font, 1" standard margins, narrative is 30 pages or less)  Abstract
Statement of the Problem
Goals, Objectives, and Performance Measures
Project Design and Implementation
Capabilities/Competencies
Budget Detail Worksheet and Budget Narrative (see page 16)
Indirect Cost Rate Agreement (if applicable) (see page 17)
Additional Attachments (see page 17)
logic model
timeline or milestone chart
résumés of all key personnel
job descriptions for all key positions
letters of support/memoranda of understanding from partner organizations
evidence of nonprofit status e.g., copy of articles of incorporation, if applicable
evidence of for-profit status e.g., copy of tax exemption letter from the Internal
Revenue Service, if applicable
Other Standard Forms, Certifications, and Other Components, (see page 17) including:
DUNS number
CCR registration
Certifications
Accounting System and Financial Capability Questionnaire