SENATE

REPORT No. 93-1011

# JUVENILE JUSTICE AND DELINQUENCY PREVENTION ACT OF 1974

# REPORT

OF THE

# COMMITTEE ON THE JUDICIARY UNITED STATES SENATE

(Together With Additional Views)

ON

S. 821



July 16, 1974.-Ordered to be printed

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(93d Cong.)

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93d Congress 2d Session

SENATE

Report No. 93-1011

# JUVENILE JUSTICE AND DELINQUENCY PREVENTION ACT OF 1974

JULY 16, 1974.—Ordered to be printed

Mr. Bayn, from the Committee on the Judiciary, submitted the following

# REPORT

together with

#### ADDITIONAL VIEWS

[To accompany S. 821]

The Committee on the Judiciary, to which was referred the bill (S. 821) to improve the quality of juvenile justice and to provide a comprehensive, coordinated approach to the problems of juvenile delinquency, having considered the same, reports favorably thereon, with an amendment in the nature of a substitute, and recommends that the bill as amended do pass.

#### COMMITTEE AMENDMENT

Strike out all after the enacting clause and insert in lieu thereof the following:

That this Act may be cited as the "Juvenile Justice and Delinquency Prevention Act of 1974."

#### TITLE I-FINDINGS AND DECLARATION OF PURPOSE

#### FINDINGS

Sec. 101. The Congress hereby finds-

(1) that juveniles account for almost half the arrests for serious crimes in the United States today;

(2) that understaffed, overcrowded juvenile courts, probation services, and correctional facilities are not able to provide individualized justice or effective help:

(3) that present juvenile courts, foster and protective care programs and shelter facilities are inadequate to meet the needs of the countless neglected. abandoned, and dependent children, who, because of this failure to provide effective services, may become delinquents;

(4) that existing programs have not adequately responded to the particular problems of the increasing numbers of young people who are addicted to or who abuse drugs particularly non-opiate and polydrug abusers:

(5) that States and local communities, which experience the devastating failures of the juvenile justice system, do not presently have sufficient technical expertise or adequate resources to deal comprehensively with the problems of juvenile delinquency;
(6) that the adverse impact of juvenile delinquency results in enormous

annual cost and immeasurable loss in human life, personal security, and

wasted human resources;

- (7) that existing Federal programs have not provided the direction, coordination, resources, and leadership required to meet the crisis of delinquency: and
- (8) that juvenile delinquency constitutes a growing threat to the national welfare requiring immediate, comprehensive, and effective action by the Federal Government.

#### PURPOSE

Sec. 102. It is the purpose of this Act-

- (1) to provide the necessary resources, leadership, and coordination to improve the quality of juvenile justice in the United States and to develop and implement effective prevention and treatment programs and services for delinquent youth and for potentially delinquent youth, including those who are dependent, abandoned, or neglected;
- (2) to increase the capacity of State and local governments, and public and private agencies, institutions, and organizations to conduct innovative, effective juvenile justice and delinquency prevention and treatment programs and to provide useful research, evaluation, and training services in the area of juvenile delinquency:
- (3) to develop and implement effective programs and services to divert inveniles from the traditional invenile justice system and to increase the capacity of State and local governments to provide critically needed alternatives to institutionalization:
- (4) to develop and encourage the implementation of national standards for the administration of juvenile justice, including recommendations for administrative, budgetary, and legislative action at the Federal, State, and local level to facilitate the adoption of these standards;
- (5) to guarantee certain basic rights to juveniles who come within Federal iurisdiction:
- (6) to establish a centralized research effort on the problems of juvenile delinquency, including an information clearinghouse to disseminate the findings of such research and all data related to juvenile delinquency;

(7) to provide for the thorough and prompt evaluation of all federally assisted juvenile delinquency programs;

- (8) to provide technical assistance to public and private agencies, institutions, and individuals in developing and implementing juvenile delinquency programs; and
- (9) to establish training programs for persons, including professionals, paraprofessionals, and volunteers, who work with delinquents or potential delinquents or whose work or activities relate to juvenile delinquency programs.

#### DEFINITIONS

Sec. 103. Section 601 of title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended [82 Stat. 197; 84 Stat. 1881; 87 Stat. 197], is further amended by adding the following new subsections:

"(p) the term 'community-based' facility, program, or service, as used in part F, means a small, open group or home or other suitable place located near the adult offender's or juvenile's home or family and programs of community supervision and service which maintain community and consumer participation in the planning, operation, and evaluation of their programs which may include, but are not limited to, medical, educational, vocational, social, and psychological guidance, training, counseling, drug treatment, and other rehabilitative services;

"(q) the term 'Federal juvenile delinquency program' means any juvenile delinquency program which is conducted, directly, or indirectly, or is assisted by any Federal department or agency, including any program funded under this Act:

"(r) the term 'juvenile delinquency program' means any program or activity related to juvenile delinquency prevention, control, diversion, treatment, rehabilitation, planning, education, training, and research, including drug abuse programs; the improvement of the juvenile justice system; and any program or activity for neglected, abandoned, or dependent youth and other youth who are in danger of becoming delinquent."

#### TITLE II-AMENDMENTS TO THE FEDERAL JUVENILE DELINQUENCY ACT

#### DEFINITIONS

S53. 201. Section 5031 of title 18, United States Code, is amended to read as follows:

"Sec. 5031. Definitions.

"For the purposes of this chapter, a 'juvenile' is a person who has not attained his eighteenth birthday, or who has not attained his twenty-first birthday and is alleged to have committed an act of juvenile delinquency prior to his eighteenth birthday, and 'juvenile delinquency' is the violation of a law of the United States committed by a person prior to his eighteenth birthday which would have been a crime if committed by an adult."

#### DELINQUENCY PROCEEDINGS IN DISTRICT COURTS

SEC. 202. Section 5032 of title 18, United States Code, is amended to read as follows:

"Sec. 5032. Delinquency proceedings in district courts; transfer for crimi-NAL PROSECUTION.

"A juvenile alleged to have committed an act of juvenile delinquency shall not be proceeded against in any court of the United States unless the Attorney General, after investigation, certifies to an appropriate district court of the United States that the juvenile court or other appropriate court of a State (1) does not have jurisdiction or refuses to assume jurisdiction over said juvenile with respect to such alleged act of juvenile delinquency, or (2) does not have available programs and services adequate for the rehabilitation of juveniles.

"If the Attorney General does not so certify, such juvenile shall be surrendered

to the appropriate legal authorities of such State.

"If an alleged delinquent is not surrendered to the authorities of a State or the District of Columbia pursuant to this section, any proceedings against him shall be in an appropriate district court of the United States. For such purposes, the court may be convened at any time and place within the district, in chambers or otherwise. The Attorney General shall proceed by information, and no criminal prosecution shall be instituted for the alleged act of juvenile delinquency except as provided below.

"A juvenile who is alleged to have committed an act of juvenile delinquency and who is not surrendered to State authorities shall be proceeded against under this Chapter unless he has requested in writing upon advice of counsel to be proceeded against as an adult except that with respect to a juvenile sixteen years and older alleged to have committed an act after his sixteenth birthday which if committed by an adult would be a felony punishable by a maximum penalty of ten years imprisonment or more, life imprisonment, or death, criminal prosecution on the basis of the alleged act may be begun by motion to transfer of the Attorney General in the appropriate district court of the United States if such court finds. after hearing, that there are no reasonable prospects for rehabilitating such juvenile before his twenty-first birthday.

"Evidence of the following factors shall be considered, and findings with regard to each factor shall be made in the record, in assessing the prospects for rehabilitation; the age and social background of the juvenile; the nature of the alleged offense; the extent and nature of the juvenile's prior delinquency record; the juvenile's present intellectual development and psychological maturity; the nature of past treatment efforts and the juvenile's response to such efforts; the availability of programs designed to treat the juvenile's behavioral problems.

"Reasonable notice of the transfer hearings shall be given to the juvenile, his parents, guardian, or custodian and to his counsel. The juvenile shall be assisted by counsel during the transfer hearing, and at every other critical stage of the proceedings.

"Once a juvenile has entered a plea with respect to a crime or an alleged act of juvenile delinquency subsequent criminal prosecution or juvenile proceedings based upon such alleged act of delinquency shall be barred.

"Statements made by a juvenile prior to or during a transfer hearing under this section shall not be admissible at subsequent criminal prosecutions."

#### CUSTODY

SEC. 203. Section 5033 of title 18 U.S.C. is amended to read as follows:

"SEC 5033, CUSTODY PRIOR TO APPEARANCE BEFORE MAGISTRATE.

"Whenever a juvenile is taken into custody for an alleged act of juvenile delinquency, the arresting officer shall immediately advise such juvenile of his legal rights, in language comprehensible to a juvenile, and shall immediately notify the Attorney General and the juvenile's parents, guardian, or custodian of such custodiy. The arresting officer shall also notify the parents, guardian, or custodian of the rights of the juvenile and of the nature of the alleged offense.

"The juvenile shall be taken before a magistrate forthwith. In no event shall the juvenile be detained for more than twenty-four hours before being brought before a magistrate."

DUTIES OF MAGISTRATE

SEC. 204. Section 5034 of title 18 U.S.C. is amended to read as follows:

"Sec. 5034. Duties of magistrate.

"If counsel is not retained for the juvenile, or it does not appear that counsel will be retained, the magistrate shall appoint counsel for the juvenile. Counsel shall be assigned to represent a juvenile when the juvenile and his parents, guardian, or custodian, are financially unable to obtain adequate representation. In cases where the juvenile and his parents, guardian, or custodian are financially able to obtain adequate representation but have not retained counsel, the magistrate may assign counsel and order the payment of reasonable attorney's fees or may direct the juvenile, his parents, guardian, or custodian to retain private counsel within a specified period of time.

"The magistrate may appoint a guardian ad litem if a parent or guardian of the juvenile is not present, or if the magistrate has reason to believe that the parents or guardian will not cooperate with the juvenile in preparing for trial, or that the interests of the parents or guardian and those of the juvenile are adverse.

"If the juvenile has not been discharged before his initial appearance before he magistrate, the magistrate shall release the juvenile to his parents, guardian, custodian, or other responsible party (including, but not limited to the director of a shelter-care facility) upon their promise to bring such juvenile before the appropriate court when requested by such court unless the magistrate determines after hearing, at which the juvenile is represented by counsel, that the detention of such juvenile is required to secure his timely appearance before the appropriate court or to insure his safety or that of others."

#### DETENTION

Sec. 205. Section 5035 of this title is amended to read as follows:

"Sec. 5035. Detention prior to disposition.

"A juvenile alleged to be delinquent may be detained only in a juvenile facility or such other suitable place as the Attorney General may designate. Whenever possible, detention shall be in a foster home or community based facility located in or near his home community. The Attorney General shall not cause any juvenile alleged to be delinquent to be detained or confined in any institution in which adult persons convicted of a crime or awaiting trial on criminal charges are confined. Alleged delinquents shall be kept separate from adjudicated delinquents. Every juvenile in custody shall be provided with adequate food, heat, light, sanitary facilities, bedding, clothing, recreation, education, and medical care including necessary psychiatric, psychological, or other treatment."

#### SPEEDY TRIAL

Sec. 206. Section 5036 of this title is amended to read as follows:

"SEC 5036 SPEEDY TRIAL

"If an alleged delinquent who has been detained pending trial is not brought to trial within thirty days from the date when such juvenile was arrested, the information shall be dismissed with prejudice, on motion of the alleged delinquent or at the direction of the court, unless the Attorney General shows that additional delay is unavoidable, caused by the juvenile or his counsel, or consented to by the juvenile and his counsel. Unavoidable delay may not include delays attributable solely to court calendar congestion."

#### RIGHTS

Sec. 207. Section 5037 of this title is amended to read as follows:

"Sec. 5037. RIGHTS IN GENERAL.

"A juvenile charged with an act of juvenile delinquency shall be accorded the constitutional rights guaranteed an adult in a criminal prosecution, with the exception of indictment by grand jury. Public trial shall be limited to members of the press, who may attend only on condition that they not disclose information that could reasonably be expected to reveal the identity of the alleged delinquent. Any violation of that condition may be punished as a contempt of court."

#### DISPOSITION

SEC. 208. A new section 5038 is added, to read as follows:

"SEC. 5038. DISPOSITIONAL HEARING.

"(a) If a juvenile is adjudicated delinquent, a separate dispositional hearing shall be held no later than twenty court days after trial unless the court has ordered further study in accordance with subsection (c). Copies of the presentence report shall be provided to the attorneys for both the juvenile and the Government at least three court days in advance of the hearing.

"(b) The court may suspend the adjudication of delinquency or the disposition of the delinquent on such conditions as it deems proper, place him on probation, or commit him to the custody of the Attorney General. Probation, commitment, or commitment in accordance with subsection (c) shall not extend beyond the juvenile's twenty-first birthday or the maximum term which could have been imposed on an adult convicted of the same offense, whichever is sooner.

(c) If the court desires more detailed information concerning an alleged delinquent, it may commit him after notice and hearing at which the juvenile is represented by counsel, to the custody of the Attorney General for observation and study by an appropriate agency. Such observation and study shall be conducted on an outpatient basis, unless the court determines that inpatient observation and study are essential. No alleged delinquent may be committed to the custody of the Attorney General for study and observation without the consent of his attorney and his parent, custodian or guardian. Unless the juvenile upon advice of counsel consents, no judge who has read or heard social data regarding an alleged delinquent as a result of such study, or in the course of a transfer hearing, shall preside over the hearing to adjudicate the delinquency of the juvenile. In the case of an adjudicated delinquent, such study shall not be conducted on an inpatient basis without prior notice and hearing. The agency shall make a complete study of the alleged or adjudicated delinquent to ascertain his personal traits, his capabilities, his background, any previous delinquency or criminal experience, any mental or physical defect, and any other relevant factors. The Attorney General shall submit to the court and the attorneys for the juvenile and the Government the results of the study within thirty days after the commitment of the juvenile, unless the court grants additional time.

#### JUVENILE RECORDS

Sec. 209. A new section 5039 is added, to read as follows:

"SEC. 5039. Use of juvenile records.

"(a) Upon the completion of any formal juvenile delinquency proceeding, the district court shall order the entire file and record of such proceeding sealed. After such sealing, the court shall not release these records except under the following circumstances:

- "(1) inquiries received from another court of law:
- "(2) inquiries from an agency preparing a presentence report for another
- "(3) inquiries from law enforcement agencies where the request for information is related to the investigation of a crime or a position within that agency:
- "(4) inquiries, in writing, from the director of a treatment agency or the director of a facility to which the juvenile has been committed by the court; and
- "(5) inquiries from an agency considering the person for a position immediately and directly affecting the national security.

Information about the sealed report may not be released when the request for information is related to an application for employment, license, bonding, or any civil right or privilege. Responses to such inquiries shall not be different from responses made about persons who have never been involved in a delinquency proceeding.

"(b) The entire file and record of juvenile proceedings where an adjudication of delinquency was not entered shall be destroyed and obliterated by order of the

"(c) District courts exercising jurisdiction over any juvenile shall inform the juvenile and his parent or guardian, in writing, of rights relating to the sealing of his juvenile record. The information in these communications shall be stated in clear and nontechnical language.

"(d) During the course of any juvenile delinquency proceeding, all information and records relating to the proceeding, which are obtained or prepared in the discharge of official duty by an employee of the court or an employee of any other government agency, shall not be disclosed directly or indirectly to anyone other than the judge, counsel for the juvenile and the government, or others entitled under this section to receive sealed records.

"(e) Unless a child who is taken into custody is prosecuted as an adult-

"(1) neither the fingerprints nor a photograph shall be taken, without the written consent of the judge; and

"(2) neither the name nor picture of any child shall be made public by any medium of public information in connection with a juvenile delinquency proceeding."

#### COMMITMENT

Sec. 210. A new section 5040 is added, to read as follows: "Sec. 5040. Commitment.

"A juvenile who has been committed to the Attorney General has a right to treatment and is entitled to custody, care, and discipline as nearly as possible equivalent to that which should have been provided for him by his parents. No juvenile may be placed or retained in an adult jail or correctional institution.

"Every juvenile who has been committed shall be provided with adequate food, heat light, sanitary facilities, bedding, clothing, recreation, education, and medical care, including necessary psychiatric, psychological, or other care.

"Whenever possible, the Attorney General shall commit a juvenile to a foster home or community-based facility located in or near his home community."

#### SUPPORT

Sec. 211. A new section 5041 is added, to read as follows:

"SEC 5041. SUPPORT.

"The Attorney General may contract with any public or private agency or individual and such community-based facilities as halfway bouses and foster homes, for the observation and study and the custody and care of juveniles in his custody. For these purposes, the Attorney General may promulgate such regulations as are necessary and may use the appropriation for 'support of United States prisoners' or such other appropriations as he may designate."

#### PAROLE

Sec. 212. A new section 5042 is added, to read as follows:

"SEC. 5042. PAROLE.

"The Board of Parole shall release from custody, on such conditions as it deems necessary, each juvenile delinquent who has been committed, as soon as the Board is satisfied that he is likely to remain at liberty without violating the law."

#### REVOCATION

SEC. 213. A new section is added to read as follows:

"Sec. 5043. Revocation of parole or probation.

"Any juvenile parolee or probationer shall be accorded notice and a hearing with counsel before his parole or probation can be revoked."

Sec. 214. The table of sections of chapter 403 of this title is amended to read as follows:

"Sec.

"5031. Definitions.

"5032. Delinquency proceedings in district courts; transfer for criminal prosecution.

Delinquency proceedings in district courts; trac Custody prior to appearance before magistrate. Duties of magistrate. Detention prior to disposition. Speedy trial. Rights in general. Dispositional hearing. "5033,

"5034. "5035.

"5036.

"5037.

"5038.

"5039. Use of juvenile records.

"5041. Support. "5042. Paroie.

"5048. Revocation of parole or probation.".

#### TITLE III—JUVENILE JUSTICE AND DELINQUENCY PREVENTION OFFICE

Sec. 301, Title I of the Omnibus Crime Control and Safe Streets Act of 1968. as amended [82 Stat. 197: 84 Stat. 1881: 87 Stat. 197], is further amended by adding after part E a new part F to read as follows:

#### "PART F-JUVENILE DELINQUENCY PREVENTION AND CONTROL

#### "ESTABLISHMENT OF OFFICE

"Sec. 471. (a) There is hereby created within the Department of Justice, Law Enforcement Assistance Administration the Office of Juvenile Justice and Delinquency Prevention (referred to in this Act as the 'Office').

"(b) There shall be at the head of the Office a Director (referred to in this Act as the 'Director') who shall be appointed by the Administrator of the Law Enforcement Assistance Administration.

"(c) The Director shall exercise all necessary powers, subject to the direction of the Administrator of the Law Enforcement Assistance Administration.

"(d) There shall be in the Office a Deputy Director who shall be appointed by the Administrator of the Law Enforcement Assistance Administration. The Deputy Director shall perform such functions as the Director from time to time assigns or delegates, and shall act as Director during the absence or disability

of the Director or in the event of a vacancy in the office of the Director.

"(e) There shall be established in the National Institute of Law Enforcement annd Criminal Justice an Assistant Director, who shall be appointed by the Administrator, whose function shall be to supervise and direct the National Institute for Juvenile Justice established under section 501 of this Act.

#### "PERSONNEL, SPECIAL PERSONNEL, EXPERTS, AND CONSULTANTS

"Sec. 472. (a) The Administrator is authorized to select, employ, and fix the compensation of such officers and employees, including attorneys, as are necessary to perform the functions vested in him and to prescribe their functions.

"(b) The Administrator is authorized to select, appoint, and employ not to exceed three officers and to fix their compensation at rates not to exceed the rate now or hereafter prescribed for GS-18 of the General Schedule by section 5332 of title 5 of the United States Code.

"(c) Upon the request of the Administrator, the head of any Federal agency is authorized to detail, on a reimbursable basis, any of its personnel to the

Director to assist him in carrying out his functions under this Act.

"(d) The Administrator may obtain services as authorized by section 3109 of title 5 of the United States Code, at rates not to exceed the rate now or hereafter prescribed for GS-18 of the General Schedule by section 5332 of title 5 of the United States Code.

#### "VOLUNTARY SERVICE

"Sec. 473. The Administrator is authorized to accept and employ, in carrying out the provisions of this Act, voluntary and uncompensated services notwithstanding the provisions of section 3679(b) of the Revised Statutes (41 U.S.C 665(b)).

#### "CONCENTRATION OF FEDERAL EFFORTS

"SEC. 474. (a) The Administrator shall establish overall policy and develop objectives and priorities for all Federal juvenile delinquency programs and activities relating to prevention, diversion, training, treatment, rehabilitation, evaluation, research, and improvement of the juvenile justice system in the United States. In carrying out his functions, the Administrator shall consult with the Interdepartmental Council and the National Advisory Committee for Juvenile Justice and Delinquency Prevention.

"(b) In carrying out the purposes of this Act, the Administrator is authorized

and directed to-

"(1) advise the President as to all matters relating to federally assisted juvenile delinquency programs and Federal policies regarding juvenile

delinguency:

"(2) assist operating agencies which have direct responsibilities for the prevention and treatment of juvenile delinquency in the development and promulgation of regulations, guidelines, requirements, criteria, standards, procedures, and budget requests in accordance with the policies, priorities, and objectives he establishes:

"(3) conduct and support evaluations and studies of the performance and results achieved by Federal invenile delinquency programs and activities and of the prospective performance and results that might be achieved by alternative programs and activities supplementary to or in lieu of those

currently being administered:

"(4) coordinate Federal juvenile delinquency programs and activities among Federal departments and agencies and between Federal juvenile delinquency programs and activities and other Federal programs and activities which he determines may have an important bearing on the success of the entire Federal juvenile delinquency effort:

"(5) develop annually with the assistance of the Advisory Committee and submit to the President and the Congress, after the first year the legislation is enacted, prior to September 30, an analysis and evaluation of Federal juvenile delinquency programs conducted and assisted by Federal departments and agencies, the expenditures made, the results achieved, the plans developed, and problems in the operations and coordination of such programs. This report shall include recommendations for modifications in organization, management, personnel, standards, budget requests, and implementation plans necessary to increase the effectiveness of these programs;

"(6) develop annually with the assistance of the Advisory Committee and submit to the President and the Congress, after the first year the legislation is enacted, prior to March I, a comprehensive plan for Federal juvenile delinquency programs, with particular emphasis on the prevention of juvenile delinquency and the development of programs and services which will encourage increased diversion of juveniles from the traditional juvenile

justice system: and

"(7) provide technical assistance to Federal, State, and local governments, courts, public and private agencies, institutions, and individuals, in the planning, establishment, funding, operation, or evaluation of juvenile delinquency programs.

"(c) The Administrator may request departments and agencies engaged in any activity involving any Federal invenile delinquency program to provide him with such information and reports, and to conduct such studies and surveys, as he may deem to be necessary to carry out the purposes of this Act.

"(d) The Administrator may delegate any of his functions under this title, except the making of regulations, to any officer or employee of the Administration.

"(e) The Administrator is authorized to utilize the services and facilities of any agency of the Federal Government and of any other public agency or institution in accordance with appropriate agreements, and to pay for such services either in advance or by way of reimbursement as may be agreed upon.

"(f) The Administrator is authorized to transfer funds appropriated under this Act to any agency of the Federal Government to develop or demonstrate new methods in juvenile delinquency prevention and rehabilitation and to supplement existing delinquency prevention and rehabilitation programs which the Director finds to be exceptionally effective or for which he finds there exists exceptional need.

"(g) The Administrator is authorized to make grants to, or enter into contracts with, any public or private agency, institution, or individual to carry

out the purposes of this Act.

"(h) All functions of the Administrator under this Act shall be coordinated as appropriate with the functions of the Secretary of the Department of Health, Education and Welfare under the Juvenile Delinquency Prevention Act (42 U.S.C. 3801 et seg.).

#### "JOINT FUNDING

"Sec. 475. Notwithstanding any other provision of law, where funds are made available by more than one Federal agency to be used by any agency, organization, institution, or individual to carry out a Federal juvenile delinquency program or activity, any one of the Federal agencies providing funds may be requested by the Administrator to act for all in administering the funds advanced. In such cases, a single non-Federal share requirement may be established according to the proportion of funds advanced by each Federal agency, and the Administrator may order any such agency to waive any technical grant or contract requirement (as defined in such regulations) which is inconsistent with the similar requirement of the administering agency or which the administering agency does not impose.

#### "INTERDEPARTMENTAL COUNCIL

"Sec. 476. (a) There is hereby established an Interdepartmental Council on Juvenile Delinquency (hereinafter referred to as the 'Council') composed of the Attorney General, the Secretary of Health, Education, and Welfare, the Secretary of Labor, the Director of the Special Action Office for Drug Abuse Prevention, the Secretary of Housing and Urban Development, or their respective designees, and representatives of such other agencies as the President shall designate.

"(b) The Attorney General or his designee shall serve as Chairman of the Council.

"(c) The function of the Council shall be to coordinate all Federal juvenile delinquency programs.

"(d) The Council shall meet a minimum of six times per year and the activities of the Council shall be included in the annual report required by section 474(b)(5) of this title.

"(e) The Chairman shall appoint an Executive Secretary of the Council and such personnel as are necessary to carry out the functions of the Council.

#### "ADVISORY COMMITTEE

"Sec. 477. (a) There is hereby established a National Advisory Committee for Juvenile Justice and Delinquency Prevention (hereinafter referred to as the 'Advisory Committee') which shall consist of twenty-one members.

"(b) The members of the Interdepartmental Council or their respective

dsignee shall be ex officio members of the Committee.

"(c) The regular members of the Advisory Committee shall be appointed by the President from persons who by virtue of their training or experience have special knowledge concerning the prevention and treatment of juvenile delinquency or the administration of juvenile justice, such as juvenile or family court judges; probation, correctional, or law enforcement personnel; and representatives of private voluntary organizations and community-based programs. The President shall designate the Chairman, A majority of the members of the Advisory Committee, including the Chairman, shall not be full-time employees of Federal, State, or local governments. At least seven members shall not have attained twenty-six years of age on the date of their appointment,

"(d) Members appointed by the President to the Committee shall serve for terms of four years and shall be eligible for reappointment except that for the first composition of the Advisory Committee, one-third of these members shall be appointed to one-year terms, one-third to two-year terms, and one-third to three-year terms; thereafter each term shall be four years. Any members appointed to fill a vacancy occurring prior to the expiration of the term for which

his predecessor was appointed, shall be appointed for the remainder of such term.

#### "DUTIES OF THE ADVISORY COMMITTEE

"Sec. 478. (a) The Advisory Committee shall meet at the call of the Chairman, but not less than four times a year.

"(b) The Advisory Committee shall make recommendations to the Administrator at least annually with respect to planning, policy, priorities, operations, and

managment of all Federal juvenile delinquency programs.

"(c) The Chairman may designate a subcommittee of the members of the Advisory Committee to advise the Administrator on particular functions or aspects of the work of the Administration.

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"(d) The Chairman shall designate a subcommittee of five members of the Committee to serve as members of an Advisory Committee for the National Institute for Juvenile Justice to perform the functions set forth in section 407 of this

title.

"(e) The Chairman shall designate a subcommittee of five members of the Committee to serve as an Advisory Committee to the Administrator on Standards for the Administration of Juvenile Justice to perform the functions set forth in section 409 of this title.

#### "COMPENSATION AND EXPENSES

"Sec. 479. (a) Members of the Advisory Committee who are employed by the Federal Government full time shall serve without compensation but shall be reimbursed for travel, subsistence, and other necessary expenses incurred by them in carrying out the duties of the Advisory Committee.

"(b) Members of the Advisory Committee not employed full time by the Federal Government shall receive compensation at a rate not to exceed the rate now or hereafter prescribed for G8-18 of the General Schedule by section 5382 of title 5 of the United States Code, including traveltime for each day they are engaged in the performance of their duties as members of the Advisory Committee. Members shall be entitled to reimbursement for travel, subsistence, and other necessary expenses incurred by them in carrying out the duties of the Advisory Committee."

Scc. 302. Parts F, G, H, and I of title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended [82 Stat. 197; 84 Stat. 1881; 87 Stat. 197], are redesignated Parts G, H, I, and J respectively.

# TITLE IV-FEDERAL ASSISTANCE FOR STATE AND LOCAL PROGRAMS

SEc. 401. Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended [82 Stat. 197; 84 Stat. 1881; 87 Stat. 197], is further amended by adding the following sections to new part F thereof:

#### "FORMULA GRANTS

"Sec. 480. The Administrator is authorized to make grants to States and local governments to assist them in planning, establishing, operating, coordinating, and evaluating projects directly or through contracts with public and private agencies for the development of more effective education, training, research, prevention, diversion, treatment, and rehabilitation programs in the area of juvenile delinquency and programs to improve the juvenile justice system.

#### "ALLOCATION

"SEC. 481. (a) In accordance with regulations promulgated under this part, funds shall be allocated annually among the States on the basis of relative population of people under age eighteen. No such allotment to any State shall be less than \$200,000, except that for the Virgin Islands, Guam, and American Samoa, no allotment shall be less than \$50,000.

"(b) Except for funds appropriated for fiscal year 1974, if any amount so allotted remains unobligated at the end of the fiscal year, such funds shall be reallocated in a manner equitable and consistent with the purposes of this part. Funds appropriated for fiscal year 1974 may be obligated in accordance with subsection (a) until June 30, 1976, after which time they may be reallocated. Any amount so reallocated shall be in addition to the amounts already allotted

and available to the State, the Virgin Islands, American Samoa, and Guam for the same period.

"(c) In accordance with regulations promulgated under this part, a portion of any allotment to any State under this part shall be available to develop a State plan and to pay that portion of the expenditures which are necessary for efficient administration. Not more than 15 per centum of the total annual allotment of such State shall be available for such purposes. The State shall make available needed funds for planning and administration to local governments within the State on an equitable basis.

#### "STATE PLANS

"SEC. 482. (a) In order to receive formula grants under this part, a State shall smit a plan for carrying out its purposes. In accordance with regulations established under this title, such ulan must—

"(1) designate the State planning agency established by the State under section 203 of this title as the sole agency for supervising the preparation

and administration of the plan;

"(2) contain satisfactory evidence that the State agency designated in accordance with paragraph (1) (hereafter referred to in this part as the State planning agency) has or will have authority. by legislation if neces-

sary, to implement such plan in conformity with this part:

"(3) provide for an advisory group appointed by the chief executive of the State to advise the State planning agency and its supervisory board (A) which shall consist of not less than twenty-one and not more than thirtythree persons who have training, experience, or special knowledge concerning the prevention and treatment of juvenile delinquency or the administration of juvenile justice. (B) which shall include representation of units of local government, law enforcement and juvenile justice agencies such as law enforcement, correction or probation personnel, and juvenile or family court judges, and public agencies concerned with delinquency prevention or treatment such as welfare, social services, mental health, education or youth services departments; (C) which shall include representatives of private organizations: concerned with delinquency prevention or treatment; concerned with neglected or dependent children; concerned with the quality of juvenile justice, education, or social services for children; which utilize volunteers to work with delinquents or potential delinquents: communitybased delinquency prevention or treatment programs; and organizations which represent employees affected by this Act, (D) a majority of whose members (including the Chairman) shall not be full-time employees of the Federal, State, or local government, and (E) at least one-third of whose members shall be under the age of twenty-six at the time of appointment;

"(4) provide for the active consultation with and participation of local governments in the development of a State plan which adequately takes into

account the needs and requests of local governments;

under section 481 shall be expended through programs of local government under as they are consistent with the State plan, except that this provision may be waived at the discretion of the Administrator for any State if the services for delinquent or potentially delinquent youth are organized pri-

marily on a statewide basis:

"(6) provide that the chief executive officer of the local government shall assign responsibility for the preparation and administration of the local government's part of a State plan, or for the supervision of the preparation and administration of the local government's part of the State plan, to that agency within the local government's structure (hereinafter in this part referred to as the 'local agency') which can most effectively carry out the purposes of this part and shall provide for supervision of the programs funded under this part by that local agency;

"(7) provide for an equitable distribution of the assistance received under

section 481 within the State;

"(8) set forth a detailed study of the State needs for an effective, comprehensive, coordinated approach to juvenile delinquency prevention and treatment and the improvement of the juvenile justice system. This plan shall include itemized estimated costs for the development and implementation of such programs;

"(9) provide for the active consultation with and participation of private agencies in the development and execution of the State plan; and provide for coordination and maximum utilization of existing juvenile delinquency programs and other related programs, such as education, health, and welfare within the State:

"(10) provide that not less than 75 per centum of the funds available to such State under section 481, whether expended directly by the State or by the local government or through contracts with public or private agencies. shall be used for advanced techniques in developing, maintaining, and expanding programs and services designed to prevent juvenile delinquency, to divert juveniles from the juvenile justice system, to establish programs as set forth in section 482(11), and to provide community-based alternatives to juvenile detention and correctional facilities. That advanced techniques include-

"(A) Community-based programs and services for the prevention and treatment of juvenile delinquency through the development of fostercare and shelter-care homes, group homes, halfway houses, homemaker and home health services and any other designated community-based

diagnostic, treatment, or rehabilitative service;

"(B) community-based programs and services to work with parents and other family members to maintain and strengthen the family unit,

so that the juvenile may be retained in his home;

"(C) youth service bureaus and other community-based programs to divert youth from the juvenile court or to support, counsel, or provide work and recreational opportunities for delinquents and youth in danger of becoming delinquent:

"(D) comprehensive programs of drug abuse education and prevention and programs for the treatment and rehabilitation of drug addicted youth, and 'drug dependent' youth (as defined in section 2(g) of the

Public Health Service Act (42 U.S.C. 201(g));

"(E) educational programs or supportive services designed to keep delinquents or youth in danger of becoming delinquent in elementary and secondary schools or in alternative learning situations;

"(F) expanded use of probation and recruitment and training of probation officers, other professional and paraprofessional personnel and

volunteers to work effectively with youth;

- "(11) provides for a statewide program through the use of probation subsidies, other subsidies, other financial incentives or disincentives to units of local government, or other effective means, that may include but are not limited to programs designed to:
  - "(A) reduce the number of commitments of juveniles to any form of juvenile facility as a percentage of the State juvenile population;
  - (B) increase the use of non-secure community-based facilities as a percentage of total commitments to juvenile facilities; and

"(C) discourage the use of secure incarceration and detention.

"(12) provides for the development of an adequate research, training, and evaluation capacity within the State;

"(13) provide within two years after submission of the plan that juveniles who are charged with or who have committed offenses that would not be criminal if committed by an adult, shall not be placed in juvenile detention or correctional facilities, but must be placed in shelter facilities:

"(14) provide that juveniles alleged to be or found to be delinquent shall not be detained or confined in any institution in which they have regular contact with adult persons incarcerated because they have been convicted of a crime or are awaiting trial on criminal charges;

"(15) provide for an adequate system of monitoring jails, detention facilities, and correctional facilities to insure that the requirements of section 482(13) and (14) are met, and for annual reporting of the results of

such monitoring to the Administrator:

"(16) provide assurances that assistance will be available on an equitable basis to deal with all disadvantaged youth including, but not limited to, females, minority youth, and mentally retarded or emotionally handicapped

"(17) provide for procedures to be established for protecting the rights of recipients of services and for assuring appropriate privacy with regard to records relating to such services provided to any individual under the State plan;

"(18) provide that fair and equitable arrangements are made to protect the interests of employees affected by assistance under this part;

"(19) provide for such fiscal control and fund accounting procedures necessary to assure prudent use, proper disbursement, and accurate account-

ing of funds received under this title;

"(20) provide reasonable assurance that Federal funds made available under this part for any period will be so used as to supplement and increase, to the extent feasible and practical, the level of State, local, and other non-Federal funds that would in the absence of such Federal funds be made available for the programs described in this part, and will in no event supplant such State, local, and other non-Federal funds;

"(21) provide that the State planning agency will from time to time, but not less often than annually, review its plan and submit to the Administrator an analysis and evaluation of the effectiveness of the programs and activities carried out under the plan, and any modifications in the plan, including the survey of State and local needs, which it considers necessary; and

"(22) contain such other terms and conditions as the Administrator may reasonably prescribe to assure the effectiveness of the programs assisted

under this title.

"(b) The Board appointed pursuant to Sec. 482(a) (3) shall approve the State plan and any modification thereof prior to submission to the Administrator.

"(c) The Administrator shall approve any State plan and any modification

thereof that meets the requirements of this section.

"(d) In the event that any State fails to submit a plan, or submits a plan or any modification thereof, which the Administrator, after reasonable notice and opportunity for hearing in accordance with sections 509, 510, and 511, determines does not meet the requirements of this section, the Administrator shall make that State's allotment under the provisions of 481(a) available to public and private agencies for Special Emphasis Prevention and Treatment Programs as defined in section 483.

#### "SPECIAL EMPHASIS PREVENTION AND TREATMENT PROGRAMS

"Sec. 483. (a) The Administrator is authorized to make grants to and enter into contracts with public and private agencies, organizations, institutions, or individuals to—

"(1) develop and implement new approaches, techniques, and methods with respect to juvenile delinquency programs;

"(2) develop and maintain community-based alternatives to traditional forms of institutionalization;

"(3) develop and implement effective means of diverting juveniles from the traditional juvenile justice and correctional system:

"(4) improve the capability of public and private agencies and organizations to provide services for delinquents and youths in danger of becoming delinquent; and

"(5) facilitate the adoption of the recommendations of the Advisory Committee on Standards for Juvenile Justice as set forth pursuant to section 409.

"(b) Not less than 25 per centum of the funds appropriated for each fiscal year pursuant to this part shall be available only for special emphasis prevention and treatment grants and contracts made pursuant to this section.

"(c) Among applicants for grants under this part, priority shall be given to private organizations or institutions who have had experience in dealing with youth.

#### "CONSIDERATIONS FOR APPROVAL OF APPLICATIONS

"Sec. 484. (a) Any agency, institution, or individual desiring to receive a grant, or enter into any contract under section 483, shall submit an application at such time, in such manner, and containing or accompanied by such information as the Administrator may prescribe.

"(b) In accordance with guidelines established by the Administrator, each such application shall—

"(1) provide that the program for which assistance is sought will be administered by or under the supervision of the applicant;

"(2) set forth a program for carrying out one or more of the purposes set forth in section 482:

"(3) provide for the proper and efficient administration of such program;

"(4) provide for regular evaluation of the program:

"(5) indicate that the applicant has requested the review of the application from the State planning agency and local agency designated in section 482, when appropriate, and indicate the response of such agency to the request for review and comment on the application:

"(6) provide that regular reports on the program shall be sent to the Administrator and to the State planning agency and local agency, when

appropriate; and

(7) provide for such fiscal control and fund accounting procedures as may be necessary to assure prudent use, proper disbursement, and accurate accounting of funds received under this title.

"(c) In determining whether or not to approve applications for grants under

section 483, the Administrator shall consider-

"(1) the relative cost and effectiveness of the proposed program in effectuating the purposes of this part:

"(2) the extent to which the proposed program will incorporate new or

innovative techniques:

"(3) the extent to which the proposed program meets the objectives and priorities of the State plan, when a State plan has been approved by the Administrator under section 482(c) and when the location and scope of the program makes such consideration appropriate;

(4) the increase in capacity of the public and private agency, institution. or individual to provide services to delinquents or youths in danger of be-

coming delinquents:

- "(5) the extent to which the proposed project serves communities which have high rates of youth unemployment, school dropout, and delinquency; and
- "(6) the extent to which the proposed program facilitates the implementation of the recommendations of the Advisory Committee on Standards for Juvenile Justice as set forth pursuant to section 409.

#### "GENERAL PROVISIONS

#### "Withholding

"SEC. 485. Whenever the Administrator, after giving reasonable notice and opportunity for hearing, to a recipient of financial assistance under this title, finds-

"(1) that the program or activity for which such grant was made has been so changed that it no longer complies with the provisions of this title;

"(2) that in the operation of the program or activity there is failure to comply substantially with any such provision; the Administrator shall initiate such proceedings as are appropriate under sections 509, 510, and 511 of this title.

#### "USE OF TUNDS

"Sec. 486. Funds paid to any State public or private agency, institution, or individual (whether directly or through a State or local agency) may be used for:

"(1) securing, developing, or operating the program designed to carry

out the purposes of this part;

"(2) not more than 50 per centum of the cost of the construction of innovative community-based facilities for less than twenty persons (as defined in sections 601(f) and 601(p) of this title) which, in the judgment of the Admiistrator, are necessary for carrying out the purposes of this part.

#### "PAYMENTS

"SEC. 487. (a) In accordance with criteria established by the Administrator, it is the policy of Congress that programs funded under this title shall continue to receive financial assistance providing that the yearly evaluation of such programs is satisfactory.

"(h) At the discretion of the Administrator, when there is no other way to fund an essential juvenile delinquency program not funded under this part, the State may utilize 25 per centum of the formula grant funds available to it under this part to meet the non-Federal matching share requirement for any other Federal juvenile delinquency program grant.

"(c) Whenever the Administrator determines that it will contribute to the purposes of this part, he may require the recipient of any grant or contract to contribute money, facilities, or services.

"(d) Payments under this part, pursuant to a grant or contract, may be made (after necessary adjustment, in the case of grants, on account of previously made overpayments or underpayments) in advance or by way of reimbursements, in such installments and on such conditions as the Administrator may determine."

#### TITLE V—ESTABLISHING INSTITUTE WITHIN THE NATIONAL INSTI-TUTE OF LAW ENFORCEMENT AND CRIMINAL JUSTICE

#### NATIONAL INSTITUTE FOR JUVENILE JUSTICE

SEC. 501. Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended [82 Stat. 197; 84 Stat. 1881; 87 Stat. 197], is further amended by adding the following after section 402:

"SEC. 403. (a) There is hereby established within the National Institute of Law Enforcement and Criminal Justice a National Institute for Juvenile Justice.

"(b) The National Institute for Juvenile Justice shall be under the supervision and direction of the Administrator, and shall be headed by an Assistant Director of the National Institute of Law Enforcement and Criminal Justice appointed under section 471(e).

#### "INFORMATION FUNCTION

"Sec. 404. The National Institute for Juvenile Justice is authorized to-

"(1) serve as an information bank by collecting systematically and synthesizing the data and knowledge obtained from studies and research by public and private agencies, institutions, or individuals concerning all aspects of juvenile delinquency, including the prevention and treatment of invenile delinquency;

"(2) serve as a clearinghouse and information center for the preparation, publication, and dissemination of all information regarding juvenile delinquency, including State and local juvenile delinquency prevention and treatment programs and plans, availability of resources, training and educational programs, statistics, and other pertinent data and information.

#### "RESEARCH, DEMONSTRATION, AND EVALUATION FUNCTIONS

"Sec. 405. The National Institute for Juvenile Justice is authorized to-

"(1) conduct, encourage, and coordinate research and evaluation into any aspect of juvenile delinquency, particularly with regard to new programs and methods which show promise of making a contribution toward the prevention and treatment of juvenile delinquency;

"(2) encourage the development of demonstration projects in new, innovative techniques and methods to prevent and treat juvenile delinquency;

"(3) provide for the evaluation of all juvenile delinquency programs assisted under this title in order to determine the results and the effectiveness of such programs:

"(4) provide for the evaluation of any other Federal, State, or local juvenile delinquency program, upon the request of the Administrator; and

"(5) disseminate the results of such evaluations and research and demonstration activities particularly to persons actively working in the field of invenile delinquence.

#### "TRAINING FUNCTIONS

"Sec. 406. The National Institute for Juvenile Justice is authorized to-

"(1) develop, conduct, and provide for training programs for the training of professional, paraprofessional, and volunteer personnel, and other persons who are or who are preparing to work with juveniles and juvenile offenders;

"(2) develop, conduct, and provide for seminars, workshops, and training programs in the lastest proven effective techniques and methods of preventing and treating juvenile delinquency for law enforcement officers, juvenile judges, and other court personnel, probation officers, correctional personnel, and other Federal. State, and local government personnel who are engaged in work relating to juvenile delinquency.

#### "INSTITUTE ADVISORY COMMITTEE

"Sec. 407. The Advisory Committee for the National Institute for Juvenile Justice established in section 478(d) shall advise, consult with, and make recom-mendations to the Assistant Director for the National Intitute for Juvenile Justice concerning the overall policy and operations of the Institute.

#### "ANNUAL REPORT

"Sec. 408. The Assistant Director for the National Institute for Juvenile Justice shall develop annually and submit to the Administrator after the first year the legislation is enacted, prior to June 30, a report on research, demonstration, training, and evaluation programs funded under this title, including a review of the results of such programs, an assessment of the application of such results to existing and to new juvenile delinquency programs, and detailed recommendations for future research, demonstration, training, and evaluation programs. The Administrator shall include a summary of these results and recommendations in his report to the President and Congress required by section 474(h)(5).

#### "DEVELOPMENT OF STANDARDS FOR JUVENILE JUSTICE

"Sec. 409. (a) The National Institute for Juvenile Justice, under the supervision of the Advisory Committee on Standards for Juvenile Justice established in section 478(e), shall review existing reports, data, and standards, relating to the juvenile justice system in the United States.

"(h) Not later than one year after the passage of this section, the Advisory Committee shall submit to the President and the Congress a report which. based on recommended standards for the administration of juvenile justice at the Federal, State, and local level-

"(1) recommends Federal action, including but not limited to administrative and legislative action, required to facilitate the adoption of these standards throughout the United States; and

"(2) recommends State and local action to facilitate the adoption of

these standards for juvenile justice at the State and local level.

"(c) Each department, agency, and instrumentality of the executive branch of the Government, including independent agencies, is authorized and directed to furnish to the Advisory Committee such information as the Committee deems necessary to carry out its functions under this section.

"Sec. 410. Records containing the identity of individual juveniles gathered for purposes pursuant to this title may under no circumstances be disclosed or transferred to any individual or other agency, public, or private."

Sec. 502. Sections 403, 404, 405, 406 and 407 of Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (82 Stat. 197; 84 Stat. 1881; 87 Stat. 197), are redesignated sections 411, 412, 413, 414 and 415 respectively.

#### TITLE VI-AUTHORIZATION OF APPROPRIATION

Sec. 601. To carry out the purposes of this Act there are hereby authorized to be appropriated \$100,000,000 for the fiscal year ending June 30, 1974; \$200,000,000 for the fiscal year ending June 30, 1975; and \$300,000,000 for the fiscal year ending June 30, 1976.

SEC. 602. Not more than 15 per centum of the funds appropriated annually for the purposes of this Act shall be used for purposes authorized under title V.

# TITLE VII—NATIONAL INSTITUTE OF CORRECTIONS

SEC. 701. Title 18, United States Code, is amended by adding a new chapter 319 to read as follows:

# "Chapter 319-National Institute of Corrections

"Sec. 4351. (a) There is hereby established within the Bureau of Prisons a National Institute of Corrections.

"(b) The overall policy and operations of the National Institute of Corrections shall be under the supervision of an Advisory Board. The Board shall consist of tifteen members. The following five individuals shall serve as members of the Commission ex-officio: the Director of the Federal Bureau of Prisons or his designee, the Administrator of the Law Enforcement Assistance Administration or his designee, the Chairman of the United States Parole Board or his designee, the Director of the Federal Judicial Center or his designee, and the Assistant Secretary for Human Development of the Department of Health, Education and Welfare or his designee.

"(c) The remaining ten members of the Board shall be selected as follows:

"(1) Five shall be appointed initially by the Attorney General of the United States for staggered terms; one member shall serve for one year, one member for two years, and three members for three years. Upon the expiration of each member's term, the Attorney General shall appoint successors who will each serve for a term of three years. Each member selected shall be qualified as a practitioner (Federal, State, or local) in the field of corrections, probation, or parole.

"(2) Five shall be appointed initially by the Attorney General of the United States for staggered terms; one member shall serve for one year, three members for two years, and one member for three years. Upon the expiration of each member's term the Attorney General shall appoint successors who will each serve from the private sector, such as business, labor, and education having demonstrated an active interest in corrections, problem

tion or parole.

- "(d) The members of the Board shall not, by reason of such membership be deemed officers or employees of the United States. Members of the Commission who are full-time officers or employees of the United States shall serve without additional compensation, but shall be reimbursed for travel, subsistence, and other necessary expenses incurrerd in the performance of the duties vested in the Board. Other members of the Board shall, while attending meetings of the Board or while engaged in duties related to such meetings or in other activities of the Commission pursuant to this title, be entitled to receive compensation at the rate not to exceed the daily equivalent of the rate authorized for GS-18 by section 5332 of title 5, United States Code, including travel time, and while away from their homes or regular places of business may be allowed travel expenses, including per diem in lieu of subsistence equal to that authorized by section 5703 of title 5. United States Code, for persons in the Government service employed intermittently.
- "(e) The Board shall elect a chairman from among its members who shall serve for a term of one year. The members of the Board shall also elect one or

more members as a vice-chairman.

"(f) The Board is authorized to appoint, without regard to the civil service way, technical, or other advisory committees to advise the Institute with respect to the administration of this title as it deems appropriate. Members of these committees not otherwise employed by the United States, while engaged in advising the Institute or attending meetings of the committees, shall be entitled to receive compensation at the rate fixed by the Board but not to exceed the daily equivalent of the rate authorized for GS-18 by section 5332 of title 5. United States Code, and while away from their homes or regular places of business may be allowed travel expenses, including per diem in lieu of subsistence, equal to that authorized by section 5703 of title 5. United States Code, for persons in the Government service employed intermittently.

"(g) The Board is authorized to delegate its powers under this title to such

persons as it deems appropriate.

"(h) The Board shall be under the supervision of an officer to be known as the Director, who shall be appointed by the Attorney General after consultation with the Board. The Director shall have authority to supervise the organization, employees, enrollees, financial affairs, and all other operations of the Institute and may employ such staff, faculty, and administrative personnet, subject to the civil service and classification laws, as are necessary to the functioning of the Institute. The Director shall have the power to acquire and hold real and personal property for the Institute and may receive gifts, donations, and trusts on behalf of the Institute. The Director shall also have the power to appoint such technical or other advisory councils comprised of consultants to guide and advise the Board. The Director is authorized to delegate his powers under this title to such persons as he deems appropriate.

SEC. 4352. (a) In addition to the other powers, express and implied, the National Institute of Corrections shall have authority:

"(1) to receive from or make grants to and enter into contracts with Federal, State, and general units of local government, public and private agen-

cies, educational institutions, organizations, and individuals to carry out

the purposes of this section and section 411:

(2) to serve as a clearinghouse and information center for the collection. preparation, and dissemination of information on corrections, including, but not limited to, programs for prevention of crime and recidivism, training of corrections personnel, and rehabilitation and treatment of criminal and juvenile offenders:

"(3) to assist and serve in a consulting capacity to Federal. State, and local courts, departments, and agencies in the development, maintenance, and coordination of programs, facilities, and services, training, treatment, and

rehabilitation with respect to criminal and juvenile offenders;

"(4) to encourage and assist Federal, State, and local government programs and services, and programs and services of other public and private agencies, institutions, and organizations in their efforts to develop and implement improved corrections programs;

"(5) to devise and conduct in various geographical locations, seminars, workshops, and training programs for law enforcement officers, judges and judicial personnel, probation and parole personnel, correctional personnel, welfare workers, and other persons, including lay, ex-offenders, and paraprofessional personnel, connected with the treatment and rehabilitation of criminal and juvenile offenders;

"(6) to develop technical training teams to aid in the development of seminars, workshops, and training programs within the several States and with the State and local agencies which work with prisoners, parolees, pro-

bationers, and other offenders:

"(7) to conduct, encourage, and coordinate research relating to corrections, including the causes, prevention, diagnosis, and treatment of criminal offenders:

"(8) to formulate and disseminate correctional policy, goals, standards, and recommendations for Federal, State, and local correctional agencies, organizations, institutions, and personnel;

(9) to conduct evaluation programs which study the effectiveness of new approaches, techniques, systems, programs, and devices employed to improve

the corrections system.

"(10) to receive from any Federal department or agency such statistics. data, program reports, and other material as the Institute deems necessary to carry out its functions. Each such department or agency is authorized to cooperate with the Institute and shall, to the maximum extent practicable, consult with and furnish information to the Institute:

"(11) to arrange with and reimburse the heads of Federal departments and agencies for the use of personnel, facilities, or equipment of such depart-

ments and agencies;

"(12) to confer with and avail itself of the assistance, services, records, and facilities of State and local governments or other public or private agencies, organizations or individuals:

"(13) to enter into contracts with public or private agencies, organizations, or individuals, for the performance of any of the functions of the

Institute: and

"(14) to procure the services of experts and consultants in accordance with section 3109 of title 5 of the United States Code, at rates of compensation not to exceed the daily equivalent of the rate authorized for GS-18 by section 5332 of title 5 of the United States Code.

"(b) The Institute shall on or before the 31st day of December of each year, submit an annual report for the preceding fiscal year to the President and to the Congress. The report shall include a comprehensive and detailed report of the Institute's operations, activities, financial condition, and accomplishments under this title and may include such recommendations related to corrections as the Institute deems appropriate.

"(c) Each recipient of assistance under this title shall keep such records as the Institute shall prescribe, including records which fully disclose the amount and disposition by such recipient of the proceeds of such assistance, the total cost of the project or undertaking in connection with which such assistance is given or used, and the amount of that portion of the cost of the project or undertaking supplied by other sources, and such other records as will facilitate an effective

"(d) The Institute, and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access for purposes of audit and examinations to any books, documents, papers, and records of the recipients that are pertinent to the grants received under this chapter.

"(e) The provision of this section shall apply to all recipients of assistance under this title, whether by direct grant or contract from the Institute or by subgrant or subcontract from primary grantees or contractors of the Institute.

"Sec. 4353. There is hereby authorized to be appropriated such funds as may be

required to carry out the purposes of this chapter.

#### PURPOSE

The Committee bill, as amended, provides for Federal leadership and coordination of the resources necessary to develop and implement at the State and local community level effective programs for the prevention and treatment of juvenile delinquency. Towards this end, it establishes a new Juvenile Justice and Delinquency Prevention program within the Department of Justice, Law Enforcement Assistance Administration, to provide comprehensive national leadership for attacking the problems of junevile delinquency and to insure coordination of all delinquency activities of the Federal government.

The bill also authorizes substantial grants to States, local governments, and public and private agencies through existing mechanisms of the Law Enforcement Assistance Administration to encourage the development of comprehensive programs and services designed to prevent juvenile delinquency, to divert juveniles from the juvenile justice system, and to provide community-based alternatives to traditional detention and correctional facilities used for the confinement of

iuveniles.

The bill creates a National Institute for Juvenile Justice within the LEAA's National Institute of Law Enforcement and Criminal Justice to serve as a center for national efforts in juvenile delinquency evaluation, data collection and dissemination, research and training. The Institute through an Advisory Committee on Standards for Juvenile Justice, will be charged with developing recommendations on Federal action to facilitate adoption of standards for the administration of juvenile justice.

The bill also amends the Federal Juvenile Delinquency Act. virtually unchanged for the past thirty-five years, to provide basic procedural rights for juveniles who come under Federal jurisdiction and to bring Federal procedures up to the standards set by various

model acts, many state codes and court decisions.

The bill also creates a National Institute of Corrections to serve as a center of correctional knowledge for federal, state and local correctional agencies and programs to develop national policies, educational and training programs and provide research, evaluation and technical assistance.

# LEGISLATIVE HISTORY

92d Congress

On February 8, 1972, Senator Birch Bayh introduced S. 3148, entitled the "Juvenile Justice and Delinquency Prevention Act of 1972." The bill was referred to the Committee after which it was referred to the Subcommittee to Investigate Juvenile Delinquency. Hearings were conducted on May 15, 16, and June 27, 28, 1972, in Washington, D.C. A total of 34 witnesses presented testimony on S. 3148 and the related issues of the adequacy of the response of the Federal Government to the juvenile delinquency problem. The co-

sponsors of this legislation include Senators Humphrey, Hart. Kennedy, Moss, Bible, Ribicoff, Montoya, McGovern, Eagleton, Inouye, Muskie, Williams, Pastore, McGee, Mondale and Cranston.

93d Congress

On February 8, 1973, Senator Birch Bayh and Senator Marlow W. Cook reintroduced S. 3148, with modifications, as S. 821. S. 821 was referred to the Committee after which it was referred to the Subcommittee to Investigate Juvenile Delinquency. Hearings were conducted on February 22, March 26, 27, and June 26, 27, 1973, in Washington. D.C. A total of 36 witnesses presented testimony on S. 821 and the related issues of the adequacy of the response of the Federal Government in the prevention and control of juvenile delinquency. The cosponsors of this legislation in addition to Senator Cook include Senators Abourezk, Bible, Brock, Burdick, Case, Church, Cranston, Domenici, Fong, Gravel, Hart, Humphrey, Inouye, Kennedy, Mathias, McGee, McGovern, Mondale, Montoya, Moss, Pastore, Randolph. Ribicoff, Tunney and Williams.

# Subcommittee action

After the conclusion of these hearings, the Subcommittee to Investigate Juvenile Delinquency met in executive session on March 5, 1974, to consider the bill. Members of the Subcommittee present were; Senators Bayh, Burdick, Hart, Kennedy and Mathias. The Subcommittee unanimously reported to the Committee S. 821, as amended. by Senator Birch Bayh.

#### Committee action

The Committee met on May 8, 1974, to consider S. 821. Senator Hruska offered an amendment in the nature of a substitute, incorporating an amendment of Senator Burdick which was accepted by an 8-5 vote. Members voting in favor of the amendment were: Senators McClellan, Burdick, Hruska, Fong, Scott, Thurmond, Gurney and Eastland. Members voting against the amendment were: Senators Bayh, Hart, Kennedy, Tunney and Mathias, The Committee, on a motion by Senator Bayh, favorably reported S. 821, as amended.

#### STATEMENT OF THE PROBLEM

The problem of juvenile delinquency must be dealt with in an effective and meaningful manner if we are to reduce the ever increasing

levels of crime and improve the quality of life in America.

The National Advisory Commission on Criminal Justice Standards and Goals after an exhaustive study of the problem of crime in America and of the solutions to the crime problem found that the first priority in reducing crime should be given to preventing juvenile delinquency. In its report, "A National Strategy to Reduce Crime," the Commission said:

The highest attention must be given to preventing juvenile delinquency, to minimizing the involvement of young offenders in the juvenile and criminal justice system and to reintegrating delinquents and young offenders into the community.1

The Commission's position was taken because juvenile delinquency continues to present a most difficult challenge to the nation, Juveniles under 18 are responsible for 51 percent of the total arrests for property crimes, 23 percent for violent crimes, and 45 percent for all serious crime. From 1960 to the present, arrests of juveniles under 18 for violent crimes, such as murder, rape, and robbery, increased 216 percent. During the same period, arrests of juveniles for property crimes, such as burglary and auto theft, increased 91 percent. Between 1960 and 1970, total juvenile arrests (under 18) increased almost seven times faster than total adult arrests, and juvenile arrests for violent crimes increased almost three times faster than adult arrests. Recidivism rates for juvenile offenders are estimated to range from 60 to 75 percent and higher. For example, the FBI found that 74 percent of the offenders under 20 released in 1965 were rearrested by the end of 1968.

These data indicate three major aspects of juvenile delinquency which merit special attention: (1) the juvenile contribution to the total crime problem, (2) the rate of increase of juvenile crimes, and

(3) the high rate of recidivism among juvenile offenders.

With regard to the increasing rate of juvenile crime, recent crime data indicate that serious juvenile crime is increasing at a lower rate; however, the problem remains largely intractable.

While it is essentially a State and local problem which must be dealt with by the State and local governments, Federal assistance is very necessary to provide needed financial assistance and resources.

Congress over the years has attempted to provide those resources, but the work of the Senate Judciary Committee Subcommittee to Investigate Juvenile Delinquency in the United States found in three years of investigation that greater Federal efforts and coordination

were necessary.

The testimony of both the governmental and non-governmental witnesses disclosed that various Federal delinquency programs were spread among numbers of different agencies with frequently overlapping and duplicative functions. The report on the hearings concluded that there was no central responsibility within the Federal government for juvenile delinquency programs. The report found that there was no centralized leadership, no accepted national priorities, and no bureaucratic accountability for juvenile delinquency programs at the Federal level.2

A major roadblock to developing effective juvenile delinquency programs has been the lack of definition of objectives for dealing with juvenile delinquency and the need to identify a focus for Federal assistance efforts.

¹ National Advisory Commission on Criminal Justice Standards and Goals, "A National Stratery to Reduce Crine," p. 23 (1973).

See hearings before the Subcommittee to Investigate Juvenile Delinquency, Committee on the Judiciary, U.S. Senate, "The Role of the Federal Government in the Area of Juvenile Delinquency" (29d Congress, 1st Session, March 31 and April 1, 1972) and Staff Report of the Subcommittee to Investigate Juvenile Delinquency, Committee on the Judiciary, U.S. Senate "Legislative Oversight Hearings on Federal Juvenile Delinquency l'rograms March 31 and Abril 1, 1972," (92d Congress, 1st Session, December 1971).

There is no one entity or problem described by the term juvenile delinquency which can be addressed in a singular manner. The magnitude and complexity of the problem requires that a balanced multifaceted problem solving approach be taken. As Richard W. Velde, the Associate Administrator of LEAA, observed in testifying before this committee, "[j]uvenile delinquency efforts of necessity involve law enforcement, education, recreation, employment, health services, the courts and corrections and require cooperation from all agencies furnishing these services." <sup>3</sup>

Mr. Velde's statement calls to our attention the need to view the juvenile justice system as an entity which offers a wide range of approaches and alternatives for coping with the juvenile crime problem. The juvenile justice system must be viewed as a continuum of responses (including the utilization of resources outside the formal system of police, courts, and corrections) which are made to juvenile crime in an attempt to prevent and reduce its occurrence, the larger aim of which is to assist youth in becoming productive members of our

society.

It is necessary, therefore, to provide a comprehensive and coordinated focus to the issues surrounding juvenile delinquency prevention, control, and offender rehabilitation with a balance reflected by:

Assistance to those agencies and professions charged with the responsibility for developing the positive potential of young people, thereby reducing the likelihood of youthful criminal justice

system involvement;

Assistance in the development of State and local mechanisms designed to channel juveniles, for whom the criminal justice system is inappropriate, away from and out of the system into human problem-solving agencies and professions:

Assistance to police, courts, and correctional agencies, together with community resources, in their efforts to control and reduce crimes committed by juveniles, to improve the quality of justice for juveniles, and to deal effectively and humanely with offenders.

This approach is dictated by two related sets of factors. The first set focuses on the needs and problems of juvenile offenders and youth in general. The second set focuses on major problems surrounding juvenile justice systems which render them less effective in responding to

the juvenile delinquency problem.

With regard to the needs and problems of juvenile offenders and youth in general, several factors must be considered. First, most children and youth mature and develop into positive and productive members of society. However, those children and youth who have had no contact with the criminal justice system are still of concern both as this country's greatest resource and as the pool of people out of which the next group of juveniles who commit criminal acts will emerge.

Systems must be designed and developed to help all children and youth achieve their positive potential and to prevent or reduce the

likelihood of their involvement in the criminal justice system.

Thus, the National Advisory Commission on Criminal Justice Standards and Goals in its report "A National Strategy to Reduce

<sup>\*</sup>Hearings before the Subcommittee to Investigate Juvenile Delinquency, Committee on the Judiciary II.S. Sanate. "The Juvenile Justice and Delinquency Prevention Act—S. 3148 and S. 821." [29.4] Subsequences, 2d Session and 93d Congress, 1st Session, May 15, 16 and cited as Hearings ]

Crime" after listing the prevention and control of juvenile delinquency as a first priority called next for a high national priority to be placed on improving the Delivery of Social Services and observed that:

There is abundant evidence that crime occurs with greater frequency where there are poverty, illiteracy, and unemployment, and where medical, recreational, and mental health resources are inadequate. When unemployment rates among vouths in poverty areas of central cities are almost 40 percent and crime is prevalent, it is impossible not to draw conclusions about the relationship between jobs and crime. The Commission believes that effective and responsive delivery of public services that promote individual and economic well-being will contribute to a reduction in crime.4

Second, it is well documented that youths whose behavior is noncriminal—although certainly problematic and troublesome—have inordinately preoccupied the attention and resources of the juvenile justice system. Nearly 40 percent (one-half million per year) of the children brought to the attention of the juvenile justice system have committed no criminal act, in adult terms, and are involved simply because they are juveniles.5 These juveniles status offenders generally are inappropriate clients for the formal police courts and corrections process of the juvenile justice system. These children and youth should be channeled to those agencies and professions which are mandated an din fact purport to deal with the substantive human and social issues involved in these areas.

Recent priorities have focused on developing and providing viable diversion mechanisms for dealing with these youths outside of the formal police, courts and corrections process. Youth Service Bureaus, as initially advocated by the 1967 Crime Commission, are widely used to provide community referral services. The essential problem is one of delivering needed services or attention in such a way and at a time that may be crucial in preventing the development of a criminal career. The incidence of juvenile status offenses is so high as to warrant major innovations in coping with this pre-delinquent or potentially

delinquent behavior.

In testimony before the Subcommittee the President of the National Association of State Juvenile Delinquency Program Administrators on February 22, 1973, said in part:

The structural and procedural system has two built-in patterns that tend to be self-defeating. First, the youth in need of trouble is identified and labeled. As he is labeled, certain sanctions are imposed and certain critical stances assumed. The sanctions and the stance tend to convince the individual that he is deviant, that he is different, and to confirm any

<sup>5 &</sup>quot;A National Strategy to Reduce Crime," supra note 1, at 25.

 $<sup>^{6}</sup>$  Id.  $^{6}$  President's Commission on Law Enforcement and Administration of Justice, "The Challenge of Crime in a Free Society" (1967).

doubts he may have had about his capacity to function in the

manner of the majority.

Second, as the label is more securely affixed, society's agencies (police, schools, etc.), lower their level of tolerance of any further deviance: the curfew violator who is an identified parolee or probationer may go into detention; the non-labeled offender will frequently go home; and the misbehaving probationer will be remanded to the vice-principal's office faster than his non-probation fellow. As these discriminations are made, the youth is further convinced of the difference and of society's discrimination.

If the unacceptable behavior continues and the youngster penetrates further into the justice and correctional apparatus, he is subjected to an increasing degree of segregation from others of his kind—from special schools to detention to state correctional school—each step invites a greater identification with the subculture of the delinquent, and so, again, his anti-adult-antisocial-peer-oriented values are reinforced and confirmed, and the socializing conformity-producing influence of the majority society are removed further from him.

Thus, as the state's "treatment" is intensified, so too is the rejection, both covert, and overt, and as we try harder to socialize the deviant, we remove him further from the normal

socializing processes.

Our objective must be, therefore, to minimize the youngster's penetration into all negative labeling, institutional processes. To this end, we must exploit all of the available alternatives at each decision point, i.e., suspension, expulsion, arrest, detention, court wardship, commitments, parole revocation. At each critical step, we should exhaust the less rejecting, the less stigmatizing recourses before taking the next expulsive step.<sup>7</sup>

Third, if the status offender were diverted into the social service delivery network, the remaining juveniles would be those who have committed acts which, under any circumstances, would be considered criminal. It is essential that greater attention be given to serious youth crime, which has increased significantly in recent years. These children and youth are appropriate clients for the formal process of the juvenile justice system. A mugging victim does not care about the age of his or her assailant. The victim believes that it should not have happened and that something must be done. Juveniles constitute nearly half of the people arrested for the serious crime in this country, and the rate of increase outstrips that of adult arrests. The cost to the community is high in many ways. The amounts of money, time, life, property, resources, plus the emotional costs of fear, anger, confusion, and alienation are compelling reasons for the control of crimes committed by juveniles being a priority.

With regard to major problems surrounding juvenile justice system operations, the following factors must be considered. First, juvenile justice systems tend to be fragmented, bifurcated, and localized in their institutional responses to delinquency. Many witnesses before the Committee testified that often the choice for decision-makers is only one of

<sup>7</sup> Hearings, supra note 3, at 420.

incarceration versus release. Often, the special needs and problems of youthful offenders can only be met by agencies who do not have jurisdiction over the youth. Often, the juvenile justice processing agencies are not tied in to the larger social service and human resources networks of the State. The hearings on S. 821 make clear the need to assess the needs and problems of delinquent and non-delinquent youths with respect to the broader capabilities of the social service and human resources agencies of the State.

Second, the need is present to comprehensively assess the effectiveness of traditional institutional procedures for dealing with certain juvenile offenders. There is evidence that traditional procedures (e.g., probation, adjudication) work effectively when applied to certain offenders, yet our body of knowledge in this area lacks precision. Systematic knowledge must be developed regarding where and how the traditional system effectively works to prevent, reduce, and control juvenile crime. Such knowledge will lead to revision and improvement of the system in areas where it is workable as well as development of alternative mechanisms for meeting the needs of youths in areas where the system is ineffective.

Third, the search for alternatives to institutionalization of juvenile offenders must be continued. Juvenile justice officials are increasingly recognizing the need for alternative forms of treatment for serious youthful offenders which are community-based. Custodial incarceration in large statewide institutions has proven to be ineffective as a treatment method; however, evaluation of community-based alternatives has indicated some initial successes but as yet has not been conclusive.

Fourth, in large measure, the agencies and institutions of the juvenile justice system have not been held accountable, and have not been well monitored. The accountability issue occurs on three levels: (a) Accountability to the victim: all procedures to deal with the juvenile offender should in some way satisfy the grievance of the victim. (b) Accountability to the offender: incarceration of youthful offenders has sometimes been physically and mentally injurious to the offender, and there often has been little recourse available for rectifying these abuses. (c) Accountability to the community: the public is entitled to an accounting of the services that are being provided by the criminal justice system for the cost that is levied. The criminal justice system, as with other social service networks, should accept its obligations to hold itself accountable in these three areas.

An unknown number of children and youth present a threat to the community and need the type of social control afforded by the formal procedures of the juvenile justice system, and numerous witnesses before the Subcommittee testified that the current capabilities to both control crime committed by juveniles and to deal effectively with offenders are inadequate and need support.

The problem was clearly stated by the National Advisory Commission on Criminal Justice Standards and Goals in its "Report on

Corrections:"

The United States has a long tradition of dealing differently with juveniles than with adults who are in difficulty

with the law, in the hope that juveniles can be rechannelled into becoming law abiding citizens. However, many of the methods of dealing with juveniles in this country have come to be viewed either as counterproductive or as violations of the rights of children. Thus there is a pressing need for national standards to improve the quality of juvenile contacts with the justice system.

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Assistance must be given to police, courts, and correctional agencies, together with community resources, in their efforts to control and reduce crimes committed by juveniles, to improve the quality of justice for juveniles, and to deal effectively with offenders.

### Need for Federal coordination

In 1971 the Committee began its review of Federal juvenile delinquency programs and found that there was duplication and a lack of coordinated direction in the Federal efforts to prevent and control juvenile delinquency. The Committee in reporting a bill to amend the Juvenile Delinquency Prevention and Control Act of 1968 cited the 1971 annual report of the Youth Development and Delinquency Prevention Administration which concluded that there was:

Little coherent national planning or established priority structure among the major programs dealing with the problems of youth development and delinquency prevention . . . The present array of programs demonstrates the lack of priorities, emphasis and direction in the Federal Government's efforts to combat delinquency.9

The significance of this statement and the need for a fully coordinated Federal effort is clear when one considers that the Bureau of Census reported in April 1974 that there were 116 separate Federal programs in the juvenile delinquency and related youth development area.10 By way of illustration the following are examples of programs identified by the Census Bureau and the Departments together with the agencies administering the program:

The Department of Agriculture: Youth Conservation Corps

Special Interest Groups 4-H Youth Development Programs The Department of Health, Education and Welfare:

School Dropout Prevention Program Drug Abuse Prevention Education Program

Youth Development and Delinquency Prevention Program

The Department of the Interior:

Bureau of Indian Affairs—Program for Detention Facilities— Institutions Operated for Delinquents

Youth Conservation Corps

The Department of Justice:

Law Enforcement Assistance Administration-Program for crime and delinquency prevention and reduction

<sup>&</sup>lt;sup>8</sup> National Advisory Commission on Criminal Justice Standards and Goals, Corrections,

<sup>\*</sup>National Advisory Commission of Commission 1971. To accompany S. 1732, the "S. Rey, No. 92-209, 924 Congress, 1st Session, June 17, 1971. To accompany S. 1732, the "Juvenile Delinquency Prevention and Control Act Amendments of 1971." "Juvenile Delinquency Programs, Fiscal Year 1973, at A-2.

Bureau of Prisons—Operation of juvenile and youth institutions and related programs

The Department of Labor: Manpower Revenue Sharing—Comprehensive Employment Training Act—Special Emphasis Programs for Youth and Youthful Offenders

The Department of Transportation: National Highway Traffic Safety Administration—Youth Organizations United Toward Highway Safety Program

The Civil Service Commission: Federal Employment for Disadvan-

taged Youth Program

Under these and similar programs the Census Bureau estimated that the Federal Government made approximately 120,000 different grants in fiscal year 1972 and the sheer size of the Federal effort alone makes clear the need for a coordinated approach to the problems of

iuvenile delinguency.

In testifying before the Juvenile Delinquency Subcommittee during oversight hearings in 1971 and hearings on S. 821 in 1972 and 1973 many witnesses also testified as to the lack of coordination of Federal juvenile delinquency programs. Mr. Milton Rector, President of the National Council on Crime and Delinquency observed in 1971, for example, that:

In fact a major weakness [in Federal efforts] is the lack of a structure at present where Federal juvenile and criminal justice planning can be coordinated with other human resources agencies. Such a structural linkage is recommended as essential if the Federal Government is to help prevent as well as to help control crime and delinquency.<sup>11</sup>

# Legislative initiatives

The first effort by Congress in recent years to deal with the juvenile delinquency problem came in 1961 with the enactment of the Juvenile Delinquency and Youth Offenses Control Act of 1961 (Public Law 87-274). This measure authorized a three year, ten million dollar per annum grant program to demonstrate new methods of delinquency prevention and control. (Of this \$30 million authorization it is interesting to note that only \$19.2 million was actually appropriated.)

The congressional intent was to assist State and local agencies and to coordinate existing Federal, State, and local programs. The program was placed in the Department of Health, Education and Welfare and the Act required consultation by the Secretary of HEW with the Attorney General and the Secretary of Labor on matters of policy

and procedure arising out of the Administration of the Act.

The Juvenile Delinquency and Youth Offenses Control Act of 1961 expired at the end of its three years of funding and the next congressional attempt to deal with the problem of juvenile deliquency came with the enactment of the Omnibus Crime Control and Safe Streets Act of 1968 and the passage of the Juvenile Delinquency Prevention and Control Act of 1968.

<sup>&</sup>quot; Hearings, supra note 2, at 196.

In enacting the Juvenile Delinquency Prevention and Control Act of 1968. Congress assigned to HEW responsibility for national leadership in developing new approaches to the problems of juvenile delinquency. As the report accompanying the act clearly sets forth. Congress intended that the programs administered under this act served to coordinate governmental efforts in the area of juvenile delinquency. Under the 1968 Act, HEW was expected to help States and local communities strengthen their juvenile justice programs. This assistance was to be broad in scope including courts, correctional systems, police agencies, law enforcement and other agencies which deal with children and was to include a broad spectrum of preventive and rehabilitative services to delinquent and pre-delinquent youth. The Act also provided for the training of personnel, employed or about to be employed in the area of juvenile delinquency prevention and control, and for the development of improved techniques and information services in the field of juvenile delinquency.

Under the Juvenile Delinquency Prevention and Control Act of 1968. HEW was intended to provide assistance to States in preparing and implementing comprehensive State juvenile delinquency plans. Prior to receiving funds this Act, the States were required to submit a satisfactory plan for use of the funds. HEW was chosen to administer the Act because the Department was expected to utilize its particular expertise in dealing with the preventive and treatment aspects of delinquency in assisting States in the development of plans. It was hoped that the placement of this program in HEW would lead to a major commitment on the part of HEW to find solutions to the prob-

lem of invenile delinquency.

The hopes for accomplishment under the 1968 Act were not fulfilled for a number of different reasons including (1) dominance of LEAA in criminal justice planning; (2) weakness in administration; and (3)

inadequacies in appropriations.

The first three years of the administration of the Juvenile Delinquency Prevention and Control Act of 1968 were marked by delay and inefficiency in implementing its broad legislative mandate. More than a year and a half elapsed before a Director was appointed for the Youth Development and Delinquency Prevention Administration (YDDPA), the agency within HEW charged with administering the Act. In its first annual report, YDDPA conceded its own failure to implement the goals of the 1968 Act. With the exception of the portion of the YDDPA budget spent on State comprehensive juvenile delinquency planning, funds were spread throughout the country in a series of underfunded, scattered and unrelated projects.

Further, of the amounts authorized by Congress for 1968 to 1971, only \$49.2 million was requested for the operation of the Act out of a total authorized amount of \$150 million, and then YDDPA did not expend those resources appropriated. From 1968 to 1971, out of the amount appropriated only half was actually expended. This limited view of the role of HEW in developing a program commensurate with the delinquency program made fulfillment of the original purposes

of the 1968 Act difficult.

<sup>12</sup> Youth Development and Delinquency Prevention Administration, "Report for Fiscal Year 1969" at 9.

In 1971, Congress passed a one year extension of the Juvenile Delinquency Prevention and Control Act of 1968.13 The Committee noted in its report on the Juvenile Delinquency Prevention and Control Act Amendments of 1971 that further extension of the Act could not be justified unless HEW showed a marked improvement in its efforts to provide national leadership in dealing with the problems of juvenile delinquency. The 1971 amendments gave YDDPA an additional year to prove a strategy which would efficiently deploy the limited resources of HEW. While the 1971 Amendments authorized \$75 million for the fiscal year ending in June of 1972, only \$10 million for that fiscal year was requested. The year's extension was also viewed as an opportunity for Congress to complete its overview of the programs under that Act and to assess the roles of HEW and LEAA in the delinquency field. The concern of Congress about the lack of coordination of the total Federal effort led to the addition in the 1971 Amendments of a structured coordinating mechanism. The amendments created an Interdepartmental Council consisting of representative of Federal agencies involved in the area of juvenile delinquency which were supposed to meet on a regular basis to review Federal delinguency programs and to coordinate the overall Federal effort.

In 1972, the Juvenile Delinguency Prevention and Control Act was extended for two years under the name "Juvenile Delinquency Prevention Act." 14 This Act at the request of HEW clearly limited the scope of the activities to be undertaken by HEW in the delinquency field. The Committee made clear in its report 15 that HEW in its administration of this Act was to fund preventive programs which are outside the traditional juvenile justice system (which encompasses the police, the courts, correctional institutions, detention homes, and probation and parole authorities). In defining the Department's role in preventing juvenile delinquency more clearly, HEW has specifically concentrated its work on the development of systems which provide coordinated youth services as well as funds for initiation of needed services which are otherwise not available. The Committee report recognized that efforts to combat delinquency within the juvenile justice system were to be assisted by the Department of Justice through its administration of the Omnibus Crime Control and Safe Streets Act. In extending the Act for two years, a majority of the Committee made clear that the extension was no substitute for vigorous national leadership, coordinating authority and the substantial resources necessary to find an effective answer to the delinquency problem.16

Over the years since 1968, LEAA with its larger resources has funded millions of dollars in programs in delinquency prevention and juvenile justice. The Committee has noted in earlier reports that LEAA viewed its role in juvenile delinquency prevention and control as a very limited one.

This was because of the limited role given by the Congress to LEAA in the juvenile delinquency area [juvenile delinquency was never mentioned in the Omnibus Crime Control and Safe Streets Act of 19681 and because of the role of HEW under the Juvenile Delinquency Pre-

<sup>12</sup> Public Law 92-31; 85 Stat. 84.

14 Public Law No. 92-381, 86 Stat. 532.

15 S. Rept. No. 92-1903, 92d Cong., 2d Sess. To accompany H.R. 15635 (1972).

16 Id.

vention and Control Act of 1968. However, LEAA was interested in dealing with delinquency and by the end of 1970, for example, over 40 of the LEAA State planning agencies created under the Safe Streets Act to administer the LEAA program in the State were also administering the Juvenile Delinquency and Control Act Program, although since 1972 state planning agencies have not administered any programs under this Act. The impetus for this came in part from a joint letter issued by the Attorney General and the Secretary of Health, Education and Welfare [Appendix A].

In 1971 amendment to the Omnibus Crime Control and Safe Streets Act <sup>17</sup> were enacted into law which expressed congressional intent that the LEAA should focus greater attention on the juvenile delinquency program. Specifically, a new definition of law enforcement was added to the Safe Streets Act to mention juvenile delinquency and provide

in pertinent part as follows:

"Law enforcement" means any activity pertaining to crime prevention, control or reduction or the enforcement of the criminal laws, including but not limited to . . . programs relating to the prevention, control, or reduction of juvenile delinquency ...18

The Congress also amended the Safe Streets Act to authorize grants to States for:

The development and operation of community-based delinquent prevention and correctional programs, emphasizing halfway houses and other community-based rehabilitation centers for initial preconviction or post-conviction referral of offenders; expanded probationary programs, including paraprofessional and volunteer participation; and community service centers for the guidance and supervision of potential repeat youthful offenders.<sup>19</sup>

Furthermore, Congress added a new Part E corrections program to the Safe Streets Act and required as a condition of receipt of funds an application which:

provides satisfactory emphasis on the development and operation of community-based correctional facilities and programs, including diagnostic services, halfway houses, probation, and other supervisory release programs for preadjudication and postadjudication referral of delinquents, youthful offenders, and first offenders, and community-oriented programs for the supervision of parolees; 200

<sup>&</sup>lt;sup>17</sup> Public Law No. 91-644, 84 Stat. 1880.

<sup>18</sup> Id. § 9. 19 Id. § 4(2). 20 Id. § 6.

Senator Bayh in commenting on these amendments in 1971 during the Juvenile Delinquency Subcommittee hearings noted that:

. . . [O]ne of the reasons that certain language was used in the 1970 amendments to the Omnibus Crime Control and Safe Streets Act was that Congress was concerned that adequate emphasis was not being placed in certain areas. So. we wrote into the act the provision for the prevention and control of juvenile delinquency.21

This, together with the failure of HEW to fully implement the Juvenile Delinquency Prevention and Control Act Program, led to an increased emphasis on juvenile delinquency under the LEAA program. LEAA has estimated that almost \$140 million dollars in its fiscal year 1972 funds had been allocated for juvenile delinquency pro-

grams [see Appendices C and D].

Finally, Congress in the Crime Control Act of 1973 required LEAA to place an ever greater emphasis on juvenile delinquency. The act made a number of changes in the Omnibus Crime Control and Safe Streets Act relative to juvenile delinquency. In the Declaration and Purpose section of the Safe Streets Act made the following statement for the first time:

To reduce and prevent crime and juvenile delinguency, and to insure the greater safety of the people, law enforcement and criminal justice efforts must be better coordinated, intensified and made more effective at all levels of government.<sup>22</sup>

The Crime Control Act of 1973 also required for the first time that each State specifically deal with juvenile delinquency in the comprehensive State plans which must be submitted by the States as a condition for receiving LEAA funds. The Act now requires that:

No State plan shall be approved as comprehensivel, unless it includes a comprehensive program, whether or not funded under this title, for the improvement of juvenile justice.23

As a result of the 1973 amendments a number of new initiatives have been undertaken by LEAA. These have included the establishment of juvenile justice divisions in its Office of National Priority Programs and National Institute of Law Enforcement and Criminal Justice and most significantly the establishment of a juvenile delinquency initiative as one of the major new thrusts of LEAA in fiscal years 1974, 1975, and 1976.24

# LEAA: The appropriate mechanism

The Committee's amendment in the nature of a substitute places the major responsibilities for coordinating, directing, and funding the Federal juvenile delinquency effort in the Law Enforcement Assist-

<sup>&</sup>lt;sup>21</sup> Hearings supra note 2 at 20. <sup>22</sup> Public Law No. 93-83, 87 Stat. 197, § 2 (1973). <sup>23</sup> Id. § 303(a). <sup>24</sup> See statement of Richard W. Velde, hearings, supra note 3, at 635-700.

ance Administration, and there are compelling reasons for this

position.

In recent years LEAA has emerged as the lead agency in Federal juvenile delinquency prevention and control efforts. It already has—as shown above—a substantial legislative mandate to deal with this problem, and it has the program elements necessary for implementing S. 821.

In the juvenile delinquency prevention and control area LEAA presently has a network of 50 State planning agencies that are undertaking crime and delinquency-oriented analyses necessary to develop a truly comprehensive approach to preventing and reducing crime and delinquency. These analyses were mandated by the Crime Control Act of 1873. Under LEAA guidelines every State by 1976 will have been expected to complete a detailed analysis of the problems of crime and delinquency within its State to establish detailed goals, standards, and priorities for reducing crime and delinquency within that State. These same States for the past five years have planned, developed, and funded a significant number of juvenile delinquency programs; and given the high incidence of reported juvenile crime in America it is apparent that these States will be concentrating more and more funding efforts in juvenile delinquency.

If the program is created in another agency, it could seriously hamper the efforts of LEAA and its State planning agencies to develop truly comprehensive plans for dealing with law enforcement within

the States.

Stephen T. Porter, Executive Director of the Louisville and Jefferson County Crime Commission, in testifying on S. 821 in support of this position called on Congress to place the juvenile delinquency program in LEAA and to enact a new part F to the Omnibus Crime Control and Safe Streets Act in order to:

... [a]void duplication of effort, not only at the federal level but at the state level as well. Many states have developed very sophisticated criminal justice planning capabilities. New funds should not be brought into those states in such a manner that might allow duplication and conflict at the state level.<sup>25</sup>

It should be understood that this legislation does not merely call for the creation of a new program. It could cast aside five years of experience by LEAA in establishing meaningful juvenile justice programs by creating a new agency in HEW to compete with the LEAA program.

HEW in recent years has not been involved in juvenile justice programs, and they will have to go through the learning process that LEAA went through in its first five years of operation before they can develop an effective program for dealing with juvenile justice.

This was emphasized during the hearings on S. 821 when a witness from the Department of Health, Education, and Welfare, in testifying on their juvenile delinquency programs, noted that the Law Enforcement Assistance Administration is the lead Federal agency in juvenile justice and corrections. The witness stated that major support is available from LEAA on juvenile delinquency treatment programs on a

<sup>25</sup> Hearings, supra note 3, at 301.

continuing basis and that HEW's juvenile delinquency programs are merely demonstration-types with planned phase-out of individual

programs,26

It is the Committee's view that the creation of the program in HEW would only further fragment and divide the Federal juvenile delinquency effort and delay the development of needed programs. What is needed now is more coordination and less confusion.

LEAA through its programs is the only agency able to provide the leadership and funding for the continuum of responses which must be made to deal with juvenile crime. Efforts must be made to prevent juveniles from committing crime; the non-serious juvenile offender must be diverted from the justice system to the social service and human resource networks: and a strong focus is needed on dealing with the problem of the serious juvenile offender. These goals can only be achieved by tying in juvenile and criminal justice efforts with the larger social service and human resource networks of the States and units of local government.

LEAA is actively pursuing these goals. It has already funded many programs in delinquency prevention, diversion, and control. Stanley Thomas, Acting Assistant Secretary for Health, Education, and Welfare, testifying before the Juvenile Delinquency Subcommittee in 1973 observed, for example, that LEAA's legislative authority in delin-quency prevention was "generally equivalent to HEW's" and that "LEAA grants in juvenile delinquency prevention are also grants at

a high funding level."

The Youth Service Bureaus are an excellent example of LEAA's work in prevention and diversion [See Appendix B]. Earlier in this report, it was noted that Youth Service Bureaus were an extremely important part of the strategy for preventing juvenile delinquency and for diverting out of the formal juvenile justice process those juveniles for whom formal processing was inappropriate. The National Advisory Commission on Criminal Justice Standards and Goals described the role of Youth Service Bureaus as follows:

Youth Service Bureaus should be established to focus on the special problems of youth in the community. The goals may include diversion of juveniles from the justice system; provision of a wide range of services to youth through advocacy and brokerage, offering crisis intervention as needed; modification of the system through program coordination and advocacy; and youth development.27

The California Department of Youth Authority's 1971-72 national survey of youth service bureaus identified approximately 170 youth service bureaus then in operation and found that over 85 percent of those studied were supported at least in part by Law Enforcement Assistance Administration funds.28

In understanding why the Committee placed the juvenile delinquency program in LEAA, it is useful to see how LEAA expends its funds on juvenile delinquency prevention and control. LEAA's alloca-

<sup>\*\*</sup>Statement of Stanley B. Thomas, Acting Assistant Secretary for Human Development, Department of Health, Education, and Welfare, hearings, supra note 3 at 740.

\*\*National Advisory Commission on Criminal Justice Standards and Goals, Community Crime Prevention at 70 (1973).

\*\*Department of California Youth Authority, National Study of Youth Services Bureaus, U.S. Department of Health, Education, and Welfare, at 34, 67 (1972).

tions for juvenile delinquency in fiscal year 1972 are illustrative of the attention given by LEAA to juvenile delinquency and to prevention and diversion activities.

On June 27, 1973, LEAA Associate Administrator, Richard W. Velde, reported to the Senate Committee on the Judiciary, Subcommit-

tee to Investigate Juvenile Delinquency that:

During fiscal 1972, LEAA awarded nearly \$140 million on a wide-ranging juvenile delinquency program. More than \$21 million, or 15 percent, was for prevention; nearly \$16 million. or 12 percent, was for diversion; almost \$41 million or 30 percent went for rehabilitation; \$33 million, or 24 percent, was spent to upgrade resources; \$17 million, or 13 percent, went for drug abuse programs; and \$8 million, or 6 percent, financed the comprehensive juvenile delinquency component of the High Impact Anti-Crime Program.<sup>26</sup>

The dollar amount was determined from a thorough review of all the individual State plans, approved by LEAA, for that year, plus discretionary grants representing additional awards to States from LEAA, including "the High Impact Anti-Crime Program." It is important to understand that this amount had not as yet been subgranted by the States to respective units of government for implementation. These funds represented, in the main, block grant awards to States based on State plans containing juvenile delinquency components. They also represent what the States felt, at that time, were programs and fund allocations in the best interest of the community.

Appendix C includes a series of tables that show the actual breakdown of the "nearly 140 million" figure which in reality was actually \$136,213,334. A breakdown is also included to show how much was expended for services and how much was expended for "hardware" or equipment and the figures show that only 7 percent of the juvenile delinquency funds went for hardware. A further breakdown is included in Appendix D which sets forth a brief description of each and every LEAA program included in the approximately \$21 million in prevention and approximately \$16 million in diversion program areas.

An examination of the programs in Appendix D shows that LEAA has funded a sweeping range of juvenile delinquency prevention and diversion programs. Prevention efforts have included alternate educational programs at the secondary school level, parental training programs for parents of delinquent or potentially delinquent children, work study and summer employment programs for juveniles, drug education in primary and secondary schools, police/juvenile relations units, and police/juvenile recreation programs.

Diversion efforts have included youth service bureaus, juvenile court intake and diversion units, drug abuse treatment programs, pre-trial diversion units, vocational education and manpower training for juveniles diverted from the juvenile justice system, counseling services, and community-based neighborhood centers for juveniles diverted from the justice system.

The need for placing the program in LEAA is even clearer when the focus is placed on the serious offender. The social control of the juvenile and criminal justice system must be applied in dealing with this

<sup>29</sup> Hearings, supra note 3, at 636, 663.

offender, and LEAA is the only Federal agency providing substantial assistance to the police, the courts, and the corrections agencies in their efforts to deal with juvenile crime.

Dr. Jerome Miller, Commissioner of Youth Services for Massachusetts, emphasized this point in testifying before the Juvenile De-

linquency Subcommittee in 1972:

I am of the opinion that the primary and most crucial need, if we are to deal effectively with serious delinquency in contemporary American society, is to reform and restructure, at most basic levels, the juvenile correctional system. Although there can be little question that ultimately, delinquency prevention and diversion programs will be the backbone of a reconstituted juvenile justice system, such programs will not be effective until such time as we have provided alternatives for those youngsters who are most deeply involved in the juvenile justice system . . .30

Dr. Miller in following the goals set forth in his statement urged the closing of major juvenile institutions in Massachusetts. This action was ultimately approved by the Governor and a series of community-based homes were opened throughout Massachusetts for juveniles who were previously incarcerated in State institutions. This action was accomplished through the use of over \$3.5 million in LEAA funds and would not have been possible without the LEAA funds. LEAA has also committed over \$500,000 to evaluate the effectiveness of the program and the initial findings indicate that it has been successful in reducing recidivism by serious offenders.

Since 1971 when Congress enacted the new Part E corrections program for LEAA and gave LEAA specific authorization to fund community-based corrections programs for juveniles, LEAA has funded an impressive array of innovative community-based rehabilitation programs for juveniles. Over \$40 million was allocated in fiscal year 1972 for juvenile corrections programs and over \$30 million of this money as seen in Appendix C, Table II went for community-based programs.

It is extremely important to phase this Juvenile Delinquency effort into an ongoing program. From a practical standpoint, at least three years would pass before any results could be expected from this legisla-

tion if it were not placed within LEAA.

The point at which funds are obligated for actual input on the problems which this legislation attempts to address is tied to a number of activities which must occur as a pre-condition to the actual expenditure

of funds.

For example, Federal Guidelines and regulations must be developed. This can take place only after the Federal organization is in place. State and local planning and administrative organizations must likewise be established. Local plans and State plans must be developed. State and local budget processes must be involved as well as local policy makers. Federal review of plans must be completed and the funding mechanism established. States must begin to approve State agency, private and local applications and this must be accomplished within an established grant system. The grant recipient must then begin the

<sup>30</sup> Id. at 61.

hiring process and implementation stages. Often, the stages which all require months to complete, add up to years before any implementation. Placement in the LEAA program system is not only philosophically right, it is the best possible way to minimize time lag and duplication.

In the LEAA system, annual matching block grants are made to each of the States for planning and implementing programs to improve law enforcement and criminal justice. The grants are called block grants because the grant funds are required by the Act to be allocated in lump sums among the States, on the basis of population, for distribution and expenditure by the State and cities according to criteria and priorities determined by the States and cities themselves.

Block planning grants are utilized by the States to establish and maintain State planning agencies. The State planning agency is created or designated by the Chief Executives of the States and are subject to their jurisdiction. Each State planning agency determines needs and priorities for the improvement of law enforcement throughout the entire State. The State planning agency then defines, develops and correlates programs to improve and strengthen law enforcement for its State and all the units of local government within the State. All of this material and information is incorporated into a comprehensive Statewide plan for the improvement of law enforcement and criminal justice throughout the State which is annually submitted to LEAA for review and approval.

When a State's plan has been reviewed and approved, the State is eligible to receive its allocated block action grant for the fiscal year. LEAA is required by statute to make block grants if the SPA has an approved comprehensive plan which conforms with the purposes and requirements of the Act and with rules, regulations, and procedures established by LEAA consistent with the Act. This system is

currently in full operation in every jurisdiction.

In a programmatic sense, it is to be noted that this bill is most compatable with the broad system approach embodied in LEAA's

legislation.

The purpose of the Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. § 3701, et seq., as amended by the Crime Control Act of 1973, Pub. L. 93-83, 87 Stat. 197 (Aug. 6, 1973) (Act) was never simply to assist States and local governments to improve police activities. Inherent throughout the Act is the emphasis upon all aspects of criminal justice.

Section 601(a) states:

"Law enforcement and criminal justice" means any activity pertaining to crime prevention, control or reduction or the enforcement of the criminal law, including, but not limited to police efforts to prevent, control, or reduce crime or to apprehend criminals, activities of courts having criminal jurisdiction and related agencies (including prosecutorial and defender services), activities of corrections, probation, or parole authorities, and programs relating to the prevention, control, or reduction of juvenile delinquency or narcotic addiction.

The statute also requires that the plan be comprehensive. In Section 601, comprehensive is defined as:

The term "comprehensive" means that the plan must be a total and integrated analysis of the problems regarding the law enforcement and criminal justice system within the State; goals, priorities, and standards must be established in the plan and the plan must address methods, organization, and operation performance, physical and human resources necessary to accomplish crime prevention, identification detection, and apprehension of suspects; adjudication; custodial treatment of suspects and offenders, and institutional and non-institutional rehabilitative measures.

It is clear that to tab LEAA as a police oriented program is not consistent with either the LEAA legislation or its implementation. There are numerous other reasons why the Committee felt that LEAA should be the focal point for the Federal juvenile delinquency efforts. The explanation of the Committee Amendment that follows sets these reasons out in detail.

## EXPLANATION OF COMMITTEE AMENDMENT

The Committee approves the Juvenile Justice and Delinquency Prevention Act of 1974, S. 821, with an amendment in the nature of a substitute.

The major thrust of the Committee Amendment is to meet the need for strong accountable Federal leadership capable of coordinating and directing Federal delinquency programs. S. 821, as amended, creates a Juvenile Justice Delinquency Prevention Office within the Law Enforcement Assistance Administration of the Department of Justice. It will provide the long needed centralized Federal delinquency response and the much needed grant funding programs to States and public and private agencies.

The Committee Amendment recognizes that the Federal Government supplying coordination and national leadership by the strengthening of the existing Interdepartmental Council on Juvenile Delinquency, through the authorizing of staff for the Council, and by the creation of a National Advisory Committee for Juvenile Justice and Delinquency Prevention. The Committee feels that the need is not only for a strong Federal organizational commitment, but for the added impact which can come from a commitment of resources which is commensurate with the nature and extent of the problem.

S. 821, as amended, provides for \$600 million over three years so that extensive resources will be available in a coordinated fashion at the Federal. State, and local level for developing and implementing delinquency prevention, diversion, and treatment programs and providing for the necessary planning, research, training, and evaluation.

The Committee Amendment recognizes that the Federal Government needs to provide leadership and resources, but that the State government must be the focal point for juvenile justice planning and program implementation at the State and local level. For this reason, it was desirable to place this function in an existing agency which has the experience, the relevance, and the organizational structure at the State

and local level to take maximum advantage of the increased Federal

commitment. LEAA has just such a structure.

LEAA legislation, compared in detail below to the Committee Amendment to S. 821, shows that the planning input and administrative process already exists from the local level to the State level and through to the Federal level. Moreover it is ideally suited to the supplemental effort in the juvenile delinquency area because, with little modification, the existing structure can go into action immediately. LEAA has a local planning structure. East State has a substantial State planning and administrative structure. All of these organizations are already doing work in the juvenile delinquency area. Coordination, which has been such an overused term, becomes automatic under the Committee Amendment. When the additional juvenile delinguency funds are appropriated they will find the Federal and State authority, the structure, the data, and the needs spelled out and readv for the action necessary to achieve our intended results. The recognized soundness of this approach is reflected in a resolution of the Governor's Conference where "expanded juvenile jurisdiction and funding by LEAA" was recommended. [See Appendix E.]

On February 13, 1969, the Attorney General and the Secretary of Health, Education, and Welfare recognized this fact in their joint

letter to the Governors. They stated as follows:

In the interest of effective coordination, it is desirable to have a single State planning agency and policy board, which would submit a single comprehensive plan.

The addition of the Advisory Committee for Juvenile Justice and Delinquency Prevention and the strengthening of the Interdepartmental Council on Juvenile Delinquency will complete the mechanism at the Federal level. LEAA has been serving the needs of this Council and has recently added five professional staff members to begin a more intensive effort along with their newly begun juvenile justice priority programs.

The following charts show the ease and consistency with which this

legislation can be integrated into  $\mathbf{LEAA}$  :

# Planning and Informational Input Process From State and Local Governments and Private Agencies

Current LEAA legislation

1. States have established State planning agencies (SPA's) to participate in grant programs § 302.

2. The State plan, to be comprehensive, must provide for adequate assistance to high crime areas, and must include comprehensive plans

for improvement of juvenile justice  $\S 303(a)$ .

3. The State plans have provided for administration of grants by  $\mathrm{SPA's} \ \S \, 303(a)(I)$ . Other requirements specified that the plan must adequately take into account the needs and requests of local governments  $\S \, 303(a)(3)$ ; incorporate innovations and advanced techniques  $\S \, 303(a)(5)$ ; provide for effective utilization of existing facilities  $\S \, 303(a)(6)$ ; provide for nonsupplanting  $\S \, 303(a)(II)$ ; provide eased administrative procedures to major local governments  $\S \, 303(a)(a)(II)$ ; provide for approval of applications within ninety days of submission  $\S \, 303(a)(II)$ .

4. Part E correctional system assurances and statutory areas of emphasis § 453 are built into the overall plan and subject to specific approval.

5. Substantial funds for local planning (40%) are required and currently support a large regional—local planning structure § 203(c).

6. Meetings are all public and records are open § 203(d).

7. The plan must be updated annually,  $\S 303(a)$ .

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1. State plan to be submitted to LEAA before State can receive formula funds § 482(a).

2. Advisory board shall approve the State plan and any modification of the state plan before submission to LEAA  $\S 482(a)(3)$  and (b).

3. The State plan must set forth a detailed study of State needs for effective, comprehensive approach to juvenile delinquency prevention and improvement of juvenile justice system, including the costs \\$482(a)(8).

Must provide for local government participation in the formulation

of the State plan § 482(a)(4).

The mayor of each city shall designate the local government agency best qualified to carry out the city's role  $\S 482(a)(6)$ .

Must provide for the participation of private agencies in the devel-

opment and execution of the State plan § 482(a) (9).

Must provide that fair and equitable arrangements are made to protect the interests of employees affected by assistance under this Act. § 482(a) (18).

4. The LÉAA Administrator shall approve State plans that meet

statutory requirements  $\S 482(c)$ .

5. The SPA shall make an annual review of the State plan, evaluation of the effectiveness of programs, and modification, if any, of the plan, and send it to the Administrator  $\S 482(a)(21)$ .

The following summary depicts the provisions of the Council and

Advisory Committee as they will affect the Federal level effort:

Interdepartmental Council

1. Attorney General serves as Chairman, \$476(b) and the Secretaries of HEW, Labor, HUD, Director SAODAP, and other agency representatives appointed by the President \$476(a) comprise the Council.

2. Chairman appoints one executive secretary and other necessary

employees § 476(e) to serve as staff.

3. The Council coordinates all Federal juvenile delinquency programs  $\S 476(e)$ .

4. The Council meets a minimum of six times a year § 476(d).

National Advisory Committee for Juvenile Justice and Delinquency Prevention

1. Interdepartmental Committee members are ex officio members

 $\S 477(b)$  building in additional coordination.

2. There are 21 members with special knowledge in prevention and treatment of juvenile delinquency or administration of juvenile justice \$477(c) providing expertise from the field through annual recommendations to Administrator for planning, policy, priorities, operations, management of all Federal juvenile delinquency programs \$478(b).

3. For special problems, the Chairman may appoint a subcommittee to advise the Administrator on particular functions or aspects of LEAA work  $\S$  478(c).

4. Chairman designates a subcommittee to advise Administrator on

standards for the administration of juvenile justice § 478(e).

5. Chairman designates a subcommittee as an Advisory Committee

to the National Institute for Juvenile Justice § 478(d).

The most important and vital comparison of current LEAA authority and the new authority anticipated by the Committee Amendment to S. 821 relates to the expansion of LEAA authority to fund programmatic efforts in the juvenile delinquency area. LEAA's current Part C authority provides LEAA with a degree of funding authority in the juvenile delinquency area. In addition, treatment, recruitment, community-based facilities, and drug programs relating to coordination efforts have all been funded. This authority will remain intact and anticipated funding from Part C in the juvenile area will remain at the same or increased levels.

LEAA's Part E authority for funding in the correctional area was added in 1971 in recognition of the need for increased emphasis and Federal funding for correctional activities. While this authority does not go to juvenile delinquency prevention efforts, considerable funds have been awarded for community-based facilities, drug related programs, and diversion efforts. It is vital to understand that the new Part F authority greatly expands LEAA's ability to support pre-delinquent diversion efforts and all activities related to shelter, care, diagnostic treatment, and other programs related to youths who have not had contact with the criminal justice system. The need for the supplemental funds is great. The bill provides that Part F money may only be used for Part F purposes. To the extent Part F purposes overlap with Part E or Part C purposes, both sources of funds may be used to fund a single project. Indeed, the Part F funds may even be used to meet the Part C or Part E matching requirements. Impact on crime can certainly be expected from the greatly increased appropriations specified for exclusive use in the juvenile delinquency area.

While LEAA has gone a long way within the limits of its current authority, it is fully expected that this new Part F will fill the need which has concerned the Federal Agencies and the Congress for such a long time. A summary of current and proposed legislation as it relates to funding authority specific to the juvenile area follows:

# General Programmatic Areas of Funding Authority (Not Including Research)

# Current LEAA legislation

1. Public protection including demonstration, evaluation, implementation, purchase of facilities, equipment, methods to improve law enforcement  $\S 301(b)(1)$ .

2. Recruiting and training of personnel in law enforcement (police,

probation, corrections)  $\S 301(b)(2)$  and  $\S 453(8)$ .

3. Public education re crime prevention including educational programs in schools  $\S 301(b)(3)$ . Construction of facilities including treatment centers  $\S 301(b)(4)$  and  $\S 453(1)$ .

4. Recruiting, training, education, of community service officers to improve: police-community relations, community patrol activities,

encouragement of neighborhood participation in crime prevention

8 301 (b) (7).

5. Development and operation of community-based delinquency and correctional programs; expanded probationary programs, paraprofessional, volunteer participation; community service centers for potential repeat youthful offenders \$ 301(b) (9) and \$ 453(4).

6. Development and operation of narcotic and alcoholism treatment programs in correctional facilities and probation and other super-

visory release programs § 453(9).

7. Accurate and complete monitoring of progress and improvement of correctional system § 453(11).

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- 1. Planning, establishing, operating, coordinating and evaluating projects for the development of more effective-
  - (1) education,
  - (2) training,
  - research.
  - prevention,
  - (5) diversion,
  - (6) treatment, and

(7) rehabilitation in areas of juvenile delinquency § 480.

2. Community-based programs and services (foster-care, shelter care, group, halfway houses, homemaker and home health services, or other diagnostic, treatment, or rehabilitative service) § 482(a) (10)(A).

3. Community-based programs to strengthen the family unit § 482

(a)(10)(B).

4. Youth service bureaus to divert youth from juvenile court § 482

(a)(10)(C).5. Drug abuse education, prevention, treatment, and rehabilitation

\$ 482(a)(10)(D).

6. Education programs to keep delinquents/pre-delinquents in school or alternative learning situations § 482(a)(10)(E).

7. Recruitment and training of probation officers, other professional and paraprofessional personnel and volunteers § 482(a)(10)(F).

8. Monitoring jails, detention and correctional facilities § 483

(a)(15).

9. Develop new approaches, techniques, methods § 483(a)(1).

The importance of the Federal administrative function is often understated in consideration of new legislation. We have heard time and time again, following the enactment of new legislation, that "the Agency is still new" or the "Agency is just getting started." Often for four or five years this excuse for inactivity is put forth and at times

for good reason. Creating, staffing, and mobilizing the resources available to a Federal Agency is a difficult task that requires great reservoirs of talent and expertise. Simple grants of administrative authority are buttressed by many other Federal statutes and regulations which affect the utilization of such authority. Placement of the new Part F, juvenile delinquency effort, in LEAA is expected to result in immediate action. A summary of current LEAA authorities and proposed authorities relating to administrative matters follows. These, of course, are supplemented by a Federal and State administrative structure with experience in implementation in the context of Federal statutes such as the National Environmental Policy Act, the Civil Rights Act, EEO regulations, and State and local statutes and regulations. As can be seen, many of the provisions are already in existence.

## Federal Administrative Mechanism

# Current LEAA legislation

1. The Administration is authorized to establish such rules, regulations and procedures as are necessary § 501.

2. The Administration may delegate to any officer or official of the

Administration such functions as it deems appropriate. § 502.

3. The functions, powers and duties set out in the Crime Control Act shall not be transferred from the Administration without specific authorization from Congress § 503.

4. The Administration or any hearing officer so assigned shall have the power to hold hearings, issue subpoenas and take testimony § 504.

5. The Administration is authorized to hire the necessary employees

and officers subject to civil service and classification laws § 507.

6. The Administration is authorized to use available services, equipment and personnel of other federal agencies (except the CIA) and of State and local government agencies § 508.

7. The Administration is authorized to conduct compliance hearings whenever it appears that a recipient has failed to comply with the Crime Control Act provisions or with any rules and regulations of the Administration, or whenever an applicant has been denied a grant §\$ 509-511.

8. The Administration is authorized to request such data, statistics and program reports from other Federal agencies as are necessary to assure that Federal assistance to State and local governments under the Crime Control Act is carried out in a coordinated manner. § 513.

 The Administration is authorized to reimburse other Federal departments for the performance of any of its functions under the Crime

Control Act § 514.

10. Payments may be made in advance or by way of reimbursement as may be determined by the Administration, § 516.

11. The Administration may employ such experts and consultants as necessary and may appoint technical and other advisory committees

as is necessary § 517.

- 12. All recipients of assistance shall keep such records as the Administration shall prescribe, and make them available to the Administration or the Comptroller General for the purposes of audit and examination  $\S \delta ZI$ .
- 13. The security and privacy of criminal history information shall be adequately provided for by the Administration, and by recipients of assistance § 524.
- 14. No person shall be denied assistance because of race, color, national origin or sex § 518.

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1. The Administrator is authorized to select and employ such officers and employees as are necessary  $\S 472(a)$ .

2. The Administrator is authorized to select and employ a maximum of three officers at salary not to exceed that of GS-18 \$472(b).

3. All Federal agencies are authorized to detail personnel to LEAA

to carry out purposes of the Act § 472(c).

4. The Administrator is authorized to procure services of experts and consultants as necessary  $\S 472(d)$ .

5. The Administrator is authorized to accept and employ voluntary

services § 473.

6. The Administrator may request agencies and departments involved in Federal juvenile delinquency programs to provide him with such data, and conduct such studies and surveys as he may deem necessary \$474(a).

7. The Administrator may delegate any of his functions, except rule

making to any employee of the Administration § 474(d).

8. The Administrator is authorized to utilize the services and facilities of other Federal agencies or any public agency and to pay for such services  $\S 474(e)$ .

9. The Administrator is authorized to transfer funds appropriated under this Act to any Federal agency to develop or demonstrate juve-

nile delinquency programs  $\S 474(f)$ .

10. The Administrator is authorized to make grants to or enter into contracts with any public or private agency, institution or individual \$474(q).

11. All functions of the Administrator shall be coordinated with the functions of HEW under the Juvenile Delinquency Prevention Act

§ 474(h).

12. Juvenile delinquency projects may be funded jointly by several

federal agencies § 475.

13. The Administrator shall initiate a compliance hearing whenever he finds, that a funded project has been changed and no longer complies with the provisions of this title, or whenever he finds that in the operation of a funded program there is a failure to substantially comply with any such provision § 485.

14. Payments pursuant to a grant or contract may be made in

advance or by way of reimbursement § 487(d).

15. Records containing the identity of any juvenile gathered for purposes pursuant to this title may not be disclosed or transferred to any individual or agency, public or private, under any circumstances

§ 415.

The formula or block grant program of LEAA is identical in operation to that set up by Part F. While the percentages for calculating the initial allocation of Part C, Part E and Part F money are different, once a block allocation has been calculated the application can all be incorporated and the LEAA award can be based on a single comprehensive Statewide plan. LEAA has successfully accomplished this beginning in 1971 with the merger of the new Part E into previous Part C comprehensive plan award. It is anticipated that Part F can be immediately implemented in concert with Part C and Part E. It is noteworthy that the current Part F reflects the strongest possible national concern with the juvenile delinquency effort. In 1971 the same concern was shown for the vastly underfunded and neglected State and local correctional systems. Both Parts E and F reflect this special concern and are thus singled out for additional, supplemental funding.

A vital provision of the Committee bill requires that 75 percent of funds available to the States as formula grants be used for advanced techniques in developing, maintaining, and expanding programs and services designed to prevent juvenile delinquency, to divert juveniles from the juvenile justice system, to establish probation subsidy programs, and to provide community-based alternatives to juvenile detention and correctional facilities. Numerous witnesses before the Subcommittee have strongly advocated treatment techniques such as foster care, shelter care, youth service bureaus and counseling and other services supportive of home, school, recreational and employment opportunities for youth which would fall under this provision. Studies of such programs show that they are at least as successful as traditional programs, usually more so and usually cost considerably less

While the current Part E of LEAA's Act does not provide a fixed percentage for advanced practices and techniques, it requires adherence to the same kinds of improvement oriented statutory specifications. Such requirements are being built into each State's planning structure and plan and are a prerequisite to award of Part E funds. It is anticipated that Part F will be similarly handled.

# Tie-In of Formula Grants

Current LEAA Legislation

1. Part C "block" allocations are apportioned among the States according to respective populations \$306(a)(1).

2. Part E correctional program allocations (50%) are available for grants to State planning agencies \$455(a)(I), and LEAA has awarded them according to a population formula.

3. Part B funds are allocated to States according to population after provision for a \$200,000 minimum § 205.

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Part F funds are allocated among the States on basis of relative population of people under age eighteen and no allottment to any State chall be beach.

shall be less than \$200,000  $\S$  481(a).

Another example of the ease and compatibility of integrating LEAA legislation with the Committee Amendment lies in the area of direct categorical program grants. Both Parts C and E have provision for such grants. Part F likewise has this provision. Its implementation can be readily merged into the operations of LEAA's National Priority

Programs and Regional Operations.

Some differences of emphasis exist in the Parts C, E and F provisions. It is most important to work cooperatively with and provide direct funding for programs operated by private as well as public agencies in Part F. Many witnesses before the Subcommittee testified that private agencies are in the forefront in developing and maintaining innovative prevention, diversion and community-based alternatives to traditional programs of institutionalization. Representatives of private youth-serving agencies testified that they have resources, facilities, and volunteers ready to join in a national coordinated effort between the private sector and the government to prevent delinquency. To encourage private involvement the bill provides for direct special emphasis and treatment grants to both public and private agencies to

develop and implement new methods of delinquency prevention, treatment and rehabilitation.

#### TIE-IN OF CATEGORICAL GRANTS

Current LEAA legislation

1. 15 percent of Part C funds are allocated by the Administration to to State, local or private agencies at the agency's discretion § 306

(a) (2).
With special emphasis to programs in areas of high crime incidence and high law enforcement and criminal justice activity

§ 303(a).

Funding incentives to units of general local government that coordinate or combine law enforcement and criminal justice activities § 303(a) (14), as well as National Priority Programs.

2. 50 percent of Part E funds are allocated by the Administration at

the agency's discretion  $\S 455(a)(2)$ .

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At least 25 percent of funds must be used for Special Emphasis Prevention and Treatment. The Administration is authorized to make grants and enter into contracts with public and private agencies, organizations, institutions with priority given to those agencies and institutions who have had experience dealing with youth § 483(b).

In recognition of the importance of a research, training, and evaluation component in any comprehensive program to combat juvenile delinquency, the Committee Amendment creates a National Institute for Juvenile Justice within LEAA. The Subcommittee has heard testimony on a similar, though more limited, concept in the Institute for Continuing Studies of Juvenile Justice, which has support from many of our colleagues in both the Senate and the House. The Committee finds it vitally important that an analysis of all federally assisted programs be conducted on a systematic and continuing basis. Thus, the Committee bill requires that the Institute, which would be a significant component of the current LEAA Institute, evaluate all programs funded under the Act. In concert with the States, who are required to include adequate evaluation in their plans, the Institute will help to insure that the successes and failures of others will be used to improve programs generally. Current LEAA Institute activities in the juvenile delinquency area will be given additional funding and additional emphasis of a separate organizational identity.

Clearinghouse, statistics, and data gathering activities, and ongoing research efforts will all merge smoothly into the new organization. Technical assistance and training activities which are already ongoing will provide the nucleus for expanded and focused juvenile delinquency efforts. It can readily be seen from the following charts that such activities are currently taking place and can smoothly be in-

creased.

Tie-In Research, Information, Training and Data Activities

Current LEAA legislation

1. Block subgrants can be made for training projects § 301(b)(1).

2. The State plan must provide for research and development 8 303 (a)(7).

3. Institute purpose is to provide for and encourage training, re-

search and development to improve law enforcement § 401.

4. The Institute has authorization to fund or conduct research § 402 (b) (1); to make studies, conduct research in approaches, techniques, systems equipment and devices § 402(b)(2); conduct behavioral research § 402(b)(3); grant research fellowships § 402(b)(5); assist in local or regional training programs of State and local law enforcement personnel § 402(b)(6).

5. The Institute can collect and disseminate information gathered

from projects under this title § 402(b) (7).

6. The Institute is a national and international clearinghouse of information with respect to improving law enforcement and criminal justice \( \frac{402}{c} \).

7. Part E State plan must include assurances that the State is conducting projects to improve training of corrections personnel § 453(8).

8. The Administration may fund or provide technical assistance

§ 515(c).

9. The Administration collects and disseminates statistical and other information  $\S 515(b)$ .

## S. 821

1. LEAA authorized to make formula grants to states for education, training and research programs  $\S 480$ .

2. State plans must provide for adequate research, training and

evaluation capacity  $\S 48\bar{2}(a)$  (12).

3. Special Emphasis Programs for development of new approaches, techniques and methods in juvenile delinquency programs § 483(a) (1).

4. NIJJ is an information bank of research data § 409(1).

5. NIJJ is a clearinghouse for publication and dissemination of all

information regarding juvenile delinquency  $\S 409(2)$ .

6. NIJJ authorized to: conduct, encourage, coordinate juvenile delinquency research  $\S 410(1)$ ; encourage development of demonstration projects  $\S 410(2)$ ; develop, conduct, provide training programs for personnel in juvenile delinquency  $\S 411(1)$ ; seminars, workshops and training programs in delinquency prevention and treatment  $\S 411(2)$ .

2. The NILECJ evaluates programs carried out under this title, will survey before June 30, 1976 the personnel needs in law enforcement and criminal justice and the adequacy of Federal, State, and local programs to meet the needs; reports annually to the President, the Congress, SPA's and local governments on the research and development projects conducted by the Institute, grantees and contractors § 402(c).

3. LEAA conducts evaluation studies of programs assisted under this title, and evaluates statistics and information relating to law

enforcement § 515.

4. LEAA makes an annual report to the President and to the Con-

gress on the activities pursuant to this title § 519.

5. LEAA makes an annual report by Attorney General to the President and to the Congress, a report of Federal law enforcement and criminal justice assistance activities, programs conducted, results, and problems discovered \$ 670.

6. Part E grant recipients monitor the progress and improvement of the correctional system § 453(11); States and local governments submit such annual reports as LEAA shall require § 453(12).

7. State plans provide for submission of such reports as NILECJ

shall require to evaluate funded projects § 303(a) (13).

8. LEAA has compiled with assistance from other Departments, the reports for the Interdepartmental Council to coordinate all Federal juvenile delinquency programs.

S. 821

1. LEAA establishes overall policy for all Federal juvenile delinquency programs relating to prevention, diversion, treatment and improvement of the juvenile justice system in the U.S.  $\S$  474(a).

2. LEAA is to evaluate the performance and results of Federal juvenile delinquency programs, and the potential of alternative pro-

grams  $\S 474(b)(3)$ .

3. LÉAA will file an annual report to the President and the Congress evaluating Federal juvenile delinquency programs, and recommending modifications to increase their effectiveness  $\S474(b)(5)$ .

4. LEAA will provide the President and the Congress, a comprehensive plan for Federal juvenile delinquency programs § 474(b)(6).

5. State formula grants can be used for evaluation projects § 480.

6. The State plan must: Include a detailed study of State needs for juvenile delinquency and juvenile justice programs § 482(a)(8); provide for the development of evaluation capacity within the State § 482(a)(12); provide for a system of monitoring jails and institutions, with an annual report for LEAA, to insure juveniles are not detained with adults and juveniles charged with crimes are not placed in detention facilities § 482(a)(15); provide for annual report to

**LEAA** and evaluation of the effectiveness of programs § 482(a) (21). 7. Applications for special emphasis program grants shall: Provide for regular evaluation of the program § 484(b) (4); contain SPA comments in review of the application § 484(b) (6); provide that regular reports will be sent to both the SPA and LEAA § 484(b) (6).

8. The NIJJ is authorized to: Conduct, encourage, coordinate evaluation of any aspect of juvenile delinquency especially new programs § 410(1); provide for evaluation of all programs assisted under this title § 410(3); provide for the evaluation of any other Federal, State, or local juvenile delinquency program upon the request of LEAA § 410(4); disseminate the results of such evaluation § 410(5).

9. The NIJJ shall submit an annual report on research, demonstration, training and evaluation programs funded under this title, including an evaluation of the programs and recommendations for

future programs § 413.

10. The Advisory Committee on Standards for Juvenile Justice shall within one year of the passage of the Act submit a report to the President and the Congress which (1) recommends Federal action to aid in the adoption of recommended standards for the administration of juvenile justice; and (2) recommends State and local action to facilitate this adoption at the State and local level § 414(b).

Finally, it is necessary to amend the Federal Juvenile Delinquency Act to guarantee certain basic procedural and constitutional protections to juveniles under Federal jurisdiction. The Committee believes that the Act should provide for the unique characteristics of a juvenile

proceeding and the constitutional safeguards fundamental to our sysstem of justice. Six years after the Supreme Court in In Re Gault.31 decried the lack of certain due process protections in juvenile proceedings, the Federal Juvenile Delinquency Act has not been changed to reflect those due process rights. The Federal law also needs to be brought up-to-date to incorporate the rehabilitative concept of a juvenile proceeding as promulgated in model juvenile court acts.

Although less than 700 juveniles are annually processed through Federal court,32 the Federal Juvenile Delinquency Act assumes additional importance since the Federal code is often considered a model for state statutes. At a time when many states have already or are re-examining their own juvenile codes, it is essential that the Act be a model code for juveniles, combining the unique benefits of the juvenile system with virtually all the constitutional rights guaranteed an adult in a criminal prosecution. Perhaps the Federal Juvenile Delinquency Act can exercise an important influence on state and local progress towards a higher standard of juvenile justice. 32a

## NATIONAL INSTITUTE OF CORRECTIONS

In Committee, Senator Burdick introduced an amendment for establishment of a National Institute of Corrections within the Bureau of Prisons. 33 This amendment was subsequently incorporated into the amendment in the nature of a substitute offered by Senator Hruska and

adopted by the Committee.

The idea of a National Institute of Corrections has been discussed in correctional circles for many years, and bills to establish such an institute or academy have been introduced in the Congress in several previous sessions over the past twenty years. More recently a firm proposal for the establishment of an Institute was recommended by the National Conference on Corrections held at Williamsburg, Virginia, in December 1971, and attended by a cross-section of some 350 correctional practitioners and educators from all parts of the nation. The proposal was strongly endorsed by the National Advisory Commission on Criminal Justice Standards and Goals in its 1973 Corrections report.34

The need for a National Institute of Corrections has become acute. The field of Corrections has traditionally lacked adequate budgetary support, and this neglect has resulted in many deficiencies and a general lack of effectiveness among the various components of Corrections. Most persons convicted of or arrested for criminal or delinquent acts have previously been clients of the Correctional system one or more

The National Institute of Corrections can result in a coordination of effort and direction. There are more than fifty prison systems in the

 <sup>&</sup>lt;sup>41</sup> 387 U.S. 1 (1967).
 <sup>12</sup> Administrative Office of the United States Courts, Federal Juvenile Offenders, Wash-

<sup>&</sup>lt;sup>2</sup> Administrative Office of the United States Courts, Federal Juvenile Offenders, Washington, D.C. McClellan, on behalf of himself and others, wishes to be on record to the effect that he has reached substantial agreement with the manager of S. 821 regarding certain amendments to title II which would have been added in Committee but for a procedural complication. Senator McClellan would call attention to the fact that Title II falls within the purview of the criminal codification effort currently underway by the State of the Comminstration of the Commission of the Comminstration of the Comminstration of the Comminstration of the Comminstration of the Commission of t

<sup>34</sup> Supra note 8, at 603-4.

United States, and a comparable number of juvenile institutional systems. Within the individual jurisdictions the correctional systems lack unification or consistent philosophies and approaches in dealing with criminal and delinquent behavior among their clients. There are literally thousands of separate probation departments, and thousands of jails, all working in relative isolation. The recent proliferation of community-based corrections projects and the increasing participation of private agencies in corrections, as desirable as this trend has been, has added to the general picture of fragmentation and confusion of objectives and practice.

Personnel deficiencies in the field are deplorable. Correctional agencies are typically understaffed, and the personnel lacking in educational preparation and training. Salary levels in most correctional agencies are gravely unsatisfactory, and performance standards either

absent or unable to be met.

There are wide variations in the use of correctional alternatives. Some jurisdictions rely heavily on prisons and jails, the most expensive and least effective component of corrections. In other jurisdictions, the trend toward the use of the community-based resources and programs proceeds uncertainly, often lacking adequate funding and

public and official understanding.

Although the social sciences are producing a great bank of knowledge and insight concerning human behavior, the application of these findings to corrections has been painfully slow. Correctional agencies typically are equipped only to provide the barest of traditional services. Research efforts have been sporadic, uncoordinated and inadequately funded. The field has not even been able to develop common definitions and methods for recidivism studies; as a result, the effectiveness of individual correctional programs cannot be determined with accuracy, and comparisons in the relative effectiveness of various types of programs and tecluiques cannot be made. In this confusion, many correctional agencies have given up any attempt at measuring the results of their work in reducing crime and delinquency.

There is no commonly accepted national policy for corrections, and efforts to establish realistic goals and standards have only recently been initiated, with the work of the National Advisory Commission on handicapped by the general lack of coordination and communication

in the Corrections field.

The Federal government since 1969 has been engaged in the national drive to reduce the incidence of crime and delinquency, which has reached a level which cannot be accepted or tolerated by a civilized society. Of all the components of the criminal justice system, Corrections is recognized as the weakest and has frequently been characterized as the "step-sister." Under the Omnibus Crime Control and Safe Streets Act of 1968 substantial funds have been made available for the improvement of Corrections, and the states and localities have been budgeting for Corrections about a third of the annual block grants appropriations.

The provision for a National Institute of Corrections in this bill is intended to establish a center in the nation to which the multitude of correctional agencies and programs of the states and localities can look for the many different kinds of assistance that they require. The

Institute would serve as a center of correctional knowledge. It would identify and study the many problems that beset the Correction field. With the advice and active participation of state and local correctional personnel it would develop national policies for the guidance and coordination of correctional agencies. It would evaluate correctional programs and practices. It would work with colleges, universities and correctional agencies to develop educational and training programs for correctional personnel. It would develop a research strategy through which bodies of knowledge in related fields can be applied to Corrections. It would provide highly qualified technical assistance which state and local agencies can draw upon as their needs dictate.

The National Institute of Corrections would fill a need which is now being only partially and inadequately met. It would be an instantly available and highly qualified resource for correctional agencies, which now must choose among a welter of "experts" and correctional literature with frequently conflicting points of view founded upon inadequate or totally absent study, research, and evaluation.

A beginning has already been made. Following the National Conference on Corrections in December 1971, the Department of Justice administratively initiated the development of a National Institute of Corrections. The Department convened a panel of state correctional administrators and other practitioners in the field in April 1972 to develop the general concept of a National Institute, and shortly thereafter a more permanent policymaking Advisory Panel of fourteen members was formed. The Panel was composed of Federal and state correctional practitioners, educators with a special interest in corrections, judges, and laymen. A small staff was contributed by the Bureau of Prisons, in which the Institute was housed, and the Law Enforcement Assistance Administration contributed funds for the support of pilot programs, \$1.7 million the first year and \$2.3 million the second year.

Under the auspices of the National Institute of Corrections a num-

ber of projects have already been undertaken:

Three criminal justice executive institutes—each involving 40 top-level administrators (state correctional directors, wardens, chief probation officers, parole board members, judges, and chiefs of police)—were held at the University of Chicago, California State University at Long Beach, and the University of Southern California. The thrust of the institutes was the development of management capabilities among these administrators to bring about improvements within their respective agencies.

Thirty-three middle management institutes each involving 40 associate wardens, institutional department heads, assistant chief probation officers, and line supervisors—were conducted by the Western Interstate Commission on Higher Education. The emphasis in these institutes was on the improvement of the management process in their

agencies.

The Western Interstate Commission on Higher Education conducted on-site the implementation of organizational development concepts at the Arizona state department of corrections; the Boulder, Coloradorsheriff's office and jail; and the Utah state penitentiary. This effort involved the entire administrative staff of these agencies.

The University of Georgia trained 45 representatives of various state correctional institutions systems in techniques which would en-

able them to train their own personnel in counselling methods to assist

offenders in solving their problems.

The California Youth Authority conducted 5 seminars, involving 125 classroom trainers and managerial trainers of state correctional systems, in training techniques designed to bring about desired change in their respective correctional agencies.

Incident to the training of 120 personnel, from line personnel to agency head, of the South Carolina Department of Corrections, in "management by objectives" techniques, the National Institute of Corrections conducted an evaluation of management performance of the Department before, during, and after the training program. A notable

improvement in management performance was observed.

Six other projects involving new concepts in corrections have been developed and are scheduled for early funding. In addition, the National Institute has been providing technical assistance to state and local correctional agencies as an outgrowth of the projects that have been completed so far, although it has been unable to keep up with

such requests due to limitations of staff and resources.

State and local correctional agencies have shown a high interest in the various seminars, institutes, and training programs conducted by the National Institute, and application to participate from these agencies have vastly exceeded available spaces. The National Institute has also conducted follow-up evaluation to determine whether or not participation in these programs has had the intended results, in terms of bringing about improvements in correctional agencies. The Institute found that the participants have carried through in accomplishing in their respective agencies the changes and improvements to which they had committed themselves during the course of their Institute training.

These pilot programs, although necessarily limited in objective, have given promise that a more intensified effort, involving greater numbers of state and local correctional personnel and a wider scope of National Institute activities, can achieve a significant transformation of the Corrections field in the United States. It is the intent of this bill therefore to provide for the National Institute a statutory base and structure, an expanded role, and a more permanent and prominent status, in keeping with its potential importance in helping the Corrections field to become a truly effective agent in the reduction of

crime and delinquency.

Section 701 of the bill amends title 18 U.S.C. and would establish the National Institute of Corrections in the Burcau of Prisons. The committee is aware of traditional objections to the placement of an activity of this kind in a single operating correctional agency, particularly one heavily involved with institutions at a time when the majority of offenders are being placed on probation or in various types of community-based programs. The committee has also considered the pros and cons of placing in a Federal correctional agency an activity intended primarily to serve state and local agencies on a voluntary basis. However, these objections would appear to be overcome by other provisions of this bill as set forth below. Also, the Administration through policy and the Congress through heavily increased appropriations in recent years are engaged in developing the Federal correctional system into a model which the states might emulate. The placement of the National Institute in the Bureau of Prisons would

facilitate that effort, by bringing to the Bureau a wealth of fresh ideas in corrections and enabling it to serve as a laboratory in experimentation and demonstration programs from which the states and locali-

ties can be expected to receive great benefits.

Section 4351(b) amending title 18 U.S.C. provides that the Institute would be under the general supervision and direction of a Board consisting of fifteen members: five Federal officials, five practitioners in corrections, and five individuals from the private sector who have demonstrated an active interest in the field. The five Federal members would be the Director of the Federal Bureau of Prisons or his designee, the Administrator of the Law Enforcement Assistance Administration or his designee, the Chairman of the United States Parole Board or his designee, the Director of the Federal Judicial Center or his designee, and the Assistant Secretary for Human Development of the department of Health, Education, and Welfare or his designee. The Board would elect its own Chairman and Vice Chairman and establish its governing rules of procedure.

Under section 4351 (h) amending title 18 U.S.C. the Institute would be under the supervision of a Director appointed by the Attorney General after consultation with the Board. It is expected that the Board would canvass the entire nation to identify among the various correctional agencies and educational institutions the best qualified candidates for presentation to the Attorney General. This process would be one factor in minimizing possible objections to the placement of the Institute in an operating Federal correctional agency.

Section 4351(h) amending title 18 U.S.C. further provides that the Director shall have authority to supervise the organization, employees, enrollees, financial affairs, and all other operations of the Institute and may employ such staff, faculty, and administrative personnel, subject to civil service and classification laws, as are necessary to the functioning of the Institute. It is expected that the staff, faculty and other personnel of the Institute will be selected to represent as widely as possible a range of educational institutions and state and local correctional agencies, in order to avoid the development of an in-house Federal character to the Institute, which might handicap the credibility or acceptance of the Institute services to its state and local clientele. This principle would also apply to the authorization in this section for the Director to appoint such technical or other council's comprised of consultants to guide and advise the Institute.

Section 4351(h) amending title 18 U.S.C., in addition, authorizes the Director to acquire and hold real and personal property for the Institute and may receive gifts, donations, and trusts on behalf of the Institute. This provision will enable the Institute to benefit from the contributions of the many private foundations and private individuals who are interested in helping to support the objective correctional

improvement.

Subsection (1) of section 4352(a) amending title 18 U.S.C. would authorize the Institute to receive from or make grants to and enter into contracts with Federal, State and local departments and agencies, private organizations, and individuals to carry out the purposes of the Act. This would enable the Institute to benefit from the resources and expertise of such agencies as the Department of Health, Education, and Welfare, the Department of Labor, the Administrative Office

of the U.S. Courts, and others, as well as comparable state and local agencies and private organizations who have been active in supporting correctional reform efforts. It would also broaden the base of participation in Institute activities and further avoid any possible tendency toward purochialism.

Succeeding subsections would authorize the Institute to-

(1) serve as a clearinghouse and information center for the collection, preparation, and dissemination of information on corrections, probation, and parole;

(2) assist and serve in a consulting capacity to Federal, State,

and local courts, departments and agencies;

(3) encourage and assist Federal, State and local government and private agencies, institutions, and organizations in their efforts to develop and implement corrections, probation, and

parole programs;

(4) devise and conduct in various geographical locations, seminars, workshops, and training programs for criminal justice personnel and other persons, including ex-offenders and paraprofessional personnel, connected with the treatment and reintegration of criminal and juvenile offenders;

(5) develop technical training teams to aid in the development of seminars, workshops, and training programs within the several States and with the State and local departments and agencies which work with prisoners, probationers, parolees, and other

offenders;

(6) conduct, encourage, and coordinate research;

(7) formulate and disseminate correctional policies, goals, and standards recommendations for Federal. State, and local departments and agencies, private organizations, and individuals; and

(8) conduct evaluation programs which study the effectiveness of new approaches, techniques, systems, and programs, em-

ployed to improve corrections, probation and parole.

The projected scope of activities, covering both adult and juvenile offenders and the full range of correctional problems, programs, and needs—with due emphasis on community corrections as opposed to institutional corrections—would establish the Institute as the focal point for a long-belated national drive to bring about vitally needed improvements and reform in corrections. At present such efforts are scattered, uncoordinated, and carried out in a largely piece-meal and token fashion. The Institute would help immeasurably in bringing organization, direction, and public and official recognition and support to such efforts. Its coordinating role would also assist in eliminating the duplication and waste of public and private funds that now often attends correctional reform, particularly in the area of research.

Further subsections would authorize the Institute to-

(1) receive from any Federal department or agency such statistics, data, program reports, and other material as the Institute deems necessary to carry out its functions;

(2) arrange with and reimburse the heads of Federal departments and agencies for the use of personnel, facilities or equip-

ment of such departments and agencies;

(3) confer with and avail itself of the assistance, records, and facilities of State and local departments or agencies, private organizations, or individuals;

(4) enter into contracts with State and local departments and agencies, private organizations, or individuals for the perform-

ance of any of the duties of the Institute; and

(5) procure the services of experts and consultants.

These provisions will further augment the resources available to the National Institute and insure a broader base of participation by in-

terested Federal agencies as well as State and local agencies.

Further sections provide for annual reports to the President and to the Congress, records to be kept by recipients of assistance under this bill, the auditing of grants by the Institute and the Comptroller General of the United States, and the appropriation of such sums as may be necessary to carry out the activities of the Institute.

The current state of relative ineffectiveness of the Corrections field, the lack of confidence in the field by the public and other criminal justice agencies, and the still-rising rate of crime and delinquency dictate the development of a nationally coordinated effort to transform Corrections into a system that is capable of performing the functions that it is supposed to. The National Institute of Corrections, as conceived in this bill, can provide the needed coordination, stimulus, and leadership. It can do this without contributing to the needless proliferation of "Institutes." A National Institute of Corrections fits within the sphere of Federal influence because of the total and complete recognition that the State of Correctional activities in the United States amounts to a national problem of such moment that only this course can yield the results which we hope to achieve.

#### Conclusion

The Committee believes that this nation has reached a turning point in the way we handle children in trouble. It is imperative that this nation devote its resources and talents to resolving the legal and social issues involved in the prevention and control of delinquency. We can continue upon the same paths, locking children up in institutions, often for acts which are not crimes, where the only "rehabilitation" is brutalization or, at best, alienation. Alternatively, we can seize upon a unique opportunity—the chance to develop new methods of redirecting behavior that endangers society, unhampered by the forms and restrictions of our traditional juvenile correctional system. Many states and localities have already embarked upon this new direction, but are finding that it requires new resources, resources that are unavailable at the state and local level.

The Committee is firmly convinced that the Federal government must supply the needed resources to combat delinquency. Yet the Committee has found that present Federal efforts are limited, and that lack of leadership and coordination further disperses the assistance available. The Committee believes that S. 821, as amended, can produce the desperately needed national leadership in the fight against delinquency. Passage of S. 821, as amended, will provide both the authority and resources that have so long been needed to make a concerted, effective national attack on the prevention and treatment of iuvenile delinquency.

Cost Estimates Pursuant to Section 252(a) of the Legislative REORGANIZATION ACT OF 1970

Pursuant to Section 252(a) of the Legislative Reorganization Act of 1970 (Public Law 91-510), the Committee estimates the cost that would be incurred in carrying out this legislation, titles I-V, is as follows:

For Fiscal Year 1974, \$100,000,000. For Fiscal Year 1975, \$200,000,000. For Fiscal Year 1976, \$300,000,000.

To carry out title VII, such sums as may be necessary.

## TABULATION OF VOTES CAST IN COMMITTEE

Pursuant to Sections 133(b) and (d) of the Legislative Reorganization Act of 1946, as amended by Public Law 91-510, the following is

a tabulation of votes in Committee:

1. Amendment offered by Senator Hruska to delete all references to HEW Administration and establish a new Part F in the LEAA legislation entitled, "Juvenile Delinquency Prevention and Control," and other changes, and modified by Senator Burdick's amendment which would establish a National Institute of Corrections in the Bureau of Prisons. Adopted: 8 yeas; 5 nays.1

YEAS-8

McClellan Burdick Hruska Fong

Scott Thurmond Gurney Eastland

NAYS-5

Hart Kennedy Bayh

Tunney Mathias

2. Motion by Senator Bayh to order reported S. 821, as amended. Adopted: unanimously.

## Section-by-Section Analysis of S. 821

#### TITLE I

Title I states the findings and declaration of purpose of the legisla-

tion and defines certain terms.

Section 101 contains eight specific findings of the Congress regarding juvenile crime and delinquency, existing juvenile facilities, institutions and programs, and the need for action by the Federal Government.

Section 102 enumerates nine purposes of the act.

Section 103 amends Section 601 of the Omnibus Crime Control and Safe Streets Act of 1968, as amended by adding at the end of that section definitions of the terms "community-based" facility, program or

<sup>&</sup>lt;sup>1</sup>Although he was absent on business from the May 8 Executive Session of the Committee, Senator Ervin has requested that he be associated with the views set forth herein indicating support for LEAA, as opposed to HEW, as the responsible agency under S. S21.

service, "Federal juvenile delinquency program," and "juvenile delinquency program."

# TITLE II—AMENDMENTS TO THE FEDERAL JUVENILE DELINQUENCY ACT

Section 201 amends 18 U.S.C. § 5031 to include within the definition of juveniles those persons over 18 years of age who are alleged to have committed an act of juvenile delinquency prior to their eighteenth birthdays, and to include capital crimes within the definition of

"juvenile delinquency".

Section 202 amends 18 U.S.C. § 5032 in the following manner: A juvenile shall not be proceeded against in Federal court unless the State courts refuse jurisdiction, or do not have adequate services available. If a juvenile is proceeded against in Federal court, he or she shall be proceeded against as a juvenile unless he or she requests, with advice of counsel, to be treated as an adult, or unless he or she is over sixteen years old, is allegedly to have committed a felony, and after a hearing upon motion of the Attorney General at which the juvenile is accorded all due process rights, is found by the court to have no reasonable prospects for rehabilitation before his or her twenty-first birthday. Specific criteria are listed by which the court shall assess the prospects for rehabilitation, and findings are required with regard to each criterion. Subsequent proceedings on the basis of the alleged act are barred in any court after a plea has been entered in one court. Statements made prior to a transfer to criminal prosecution are made inadmissable for the purposes of any criminal prosecution. In present law, the choice of juvenile or adult trial is with the discretion of the Attorney General.

Section 203 amends 18 U.S.C. § 5033 to provide that a juvenile when taken into custody, must be advised of his or her rights, in a manner consonant with his or her age, and taken to a magistrate forthwith. Notice of the apprehension, nature of the alleged offense, and rights of the juvenile must also be given to parents, guardians

and custodians.

Section 204 amends 18 U.S.C. § 5034 to provide that when a juvenile appears before the magistrate, he or she must be represented by counsel, at court expense if necessary. Following the appearance, the juvenile must be released to the custody of parents, guardian, custodian or other responsible adult, unless the court affirmatively finds, after a hearing, that detention is necessary to secure the juvenile's timely appearance before the court or to insure the safety of the juvenile or others. The new section establishes a presumption for release of the juvenile.

Section 205 amends 18 U.S.C § 5035 to provide as follows: If the magistrate orders the juvenile detained, such detention must be in as non-restrictive an environment as possible. In no circumstances may a juvenile be detained in an institution in which adult persons convicted of, or alleged to have committed a crime, are detained. Alleged and adjudicated delinquents must be separated. In all forms of detention, a juvenile must be provided with adequate food, heat, light, sanitary facilities, bedding, clothing, recreation, education, and medical care, including necessary psychiatric, psychological or other treatment.

Section 206 amends 18 U.S.C. § 5036 to provide that a delinquent must be brought to trial within 30 days of arrest. The remedy for a failure to comply is a dismissal of the information with prejudice. Exceptions are made for unavoidable or consensual delay, except that due

to court calendar congestion.

Section 207 amends 18 U.S.C. § 5037 to provide that a juvenile is entitled to all rights that would be accorded an adult in a criminal prosecution, except the right to a grand jury indictment. The right to a public trial is limited by permitting access only by the press, and only under the condition that information that could identify the juvenile not be disclosed. Violation of the condition is made punishable as contempt of court.

Section 208 amends Title 18, U.S.C. by adding a new section 5038 to provide as follows: Following an adjudication of delinquency the court may order further study or hold a separate dispositional hearing. The dispositional hearing must be held within 20 days, and attorneys for both the juvenile and the government must receive copies of the presentence report at least three days prior to the hearing. At the hearing, the court may suspend the adjudication of delinquency with conditions as it deems proper; or it may place the juvenile on probation or commit the invenile to the custody of the Attorney General for a period not to extend beyond the juvenile's twenty-first birthday, or the maximum term for which a sentence could have been imposed on an adult convicted of the same offense, whichever comes first. If the court orders further study, or desires such study prior to adjudication, it may commit the juvenile after notice and hearing. Such study must be conducted on an out-patient basis, unless the court determines that in-patient study is essential. Results of the study must be reported within thirty days, unless the court grants additional time.

Section 209 amends Title 18, U.S.C. by adding a new section 5039, subsection (a) of which would provide that the files and records of any delinquency proceeding are to be sealed after completion of the proceeding, and released only to courts of law, persons preparing reports for courts of law, law enforcement agencies investigating a crime or position within the agency, the director of a facility to which the juvenile is committed, or government personnel for national security reasons. When an inquiry is related to employment, license, bonding, or any civil right or privilege, the information may not be released, and the response may not differ from responses about persons

not involved in delinquency proceedings.

Subsection 5039(b) would provide for the destruction of all records of proceedings in which an adjudication of delinquency was not entered.

Subsection 5039(c) would provide that District courts must inform the juvenile and his or her parents or guardian of rights regarding the confidentiality of records, in language comprehensible to those persons.

Subsection 5039(d) provides that records prepared by governmental employees in the course of juvenile proceedings are subject to the

same disclosure restrictions as court records.

Subsection 5039(e) provides that a juvenile shall not be photographed or fingerprinted, except by court order, unless he or she is

transferred for criminal prosecution under § 5032, and that neither

the name nor picture of the juvenile may be made public.

Section 210 amends Title 18 U.S.C. by adding a new section 5040 to provide that a juvenile committed to the Attorney General has a right to treatment, and that conditions of confinement must reflect as nearly as possible an adequate home environment, including but not limited to, adequate food, heat, light, sanitary facilities, bedding, clothing, recreation, education and medical care, including necessary psychiatric, psychological, or other care. Juveniles may not be confined in adult jails or correctional institutions. There is a presumption in favor of commitment to a nonrestrictive foster home or community-based facility wherever possible.

Section 211 amends Title 18 U.S.C. by adding a new section 5041 to authorize the Attorney General to contract with public and private entities for the provision of services for juveniles in his custody, and to promulgate such regulations and expend appropriations as are

necessary for this purpose.

Section 212 amends Title 18 U.S.C. by adding a new section 5042 to provide for release of juveniles on conditions deemed necessary by the Board of Parole, as soon as the Board is satisfied that the juvenile is not likely to violate the law.

Section 213 amends Title 18 U.S.C. by adding a new section 5043 to provide for notice and hearing with counsel before a juvenile may

have his probation or parole revoked.

Section 214 amends the table of sections of chapter 403 of Title 18 U.S.C. in accordance with the above amendments.

#### TITLE III

Title III further amends the Omnibus Crime Control and Safe Streets Act of 1968, as amended, to add a new Part F dealing with juvenile delinquency prevention and control to Title I of that Act.

Section 301 is the operative provision of the Title. Nine new sections

are included in the new Part F, as follows:

Section 471 creates within the Department of Justice, Law Enforcement Assistance Administration (LEAA) an Office of Juvenile Justice and Delinquency Prevention (Office), to be headed by a Director who is subject to the direction of the Administrator of LEAA. A Deputy Director is also provided for. An Assistant Director is to supervise and direct the National Institute for Juvenile Justice established under section 501 of the Act.

Section 472 authorizes the Administrator to select, employ and fix the compensation of officers and employees of the Office. Three officers may be appointed at a rate not above that prescribed for government grade GS-18. Provision is also made for use of experts and consultants and the detailing of employees from other Federal agencies.

Section 473 permits the acceptance of voluntary and uncompensated

services, not with standing the provisions of 41 U.S.C. 665 (b).

Section 474 requires the Administrator to establish overall policy and develop objectives and priorities for all Federal juvenile delinquency, juvenile justice and related programs and activities. The Administrator shall consult in this effort with the Interdepartmental Council on Juvenile Delinquency and the National Advisory Commit-

tee for Juvenile Justice and Delinquency Prevention. To carry out the purposes of the Act, the Administrator is authorized and directed to undertake a number of responsibilities. These include advising the President, assisting other agencies when necessary, conducting and supporting evaluations and studies of juvenile delinquency programs and activities, coordinating programs and activities among Federal departments, developing analysis and evaluation of Federal functioning under the Act, developing a comprehensive plan for Federal juvenile delinquency programs, and providing technical assistance. The Administrator may utilize the services of other Federal agencies on a reimbursable basis, and may request information and reports from the agencies as necessary. Funds may be transferred to other Federal agencies for the development of new methods or supplement existing programs in the area of juvenile delinquency prevention and rehabilitation. The Administrator is further authorized to make grants and enter into contracts to carry out the purposes of the Act, and he may delegate any functions except that of making regulations. The Administrator must coordinate his activities as necessary with the Secretary of H.E.W. as regards the Juvenile Delinquency Prevention Act (42 U.S.C. 3801 et seq.).

Section 475 provides for unified administration of juvenile delinquency programs funded by more than one Federal agency. The Administrator may request one agency to act for all. A single non-Federal share requirement may be established, and technical requirements may

be waived where inconsistent.

Section 476 establishes an Interdepartmental Council on Juvenile Delinquency consisting of the heads of various Federal agencies whose programs have a direct bearing on the problems surrounding juvenile delinquency. The Attorney General is to serve as Chairman of the Council. The Council shall meet a minimum of six times per year and shall coordinate all Federal juvenile delinquency programs. An Executive Secretary and such personnel as necessary shall be appointed by the Chairman. Provision is made for the designees of the Council

members to serve in their place.

Section 477 establishes a National Advisory Committee for Juvenile Justice and Delinquency Prevention consisting of 21 members. Interdepartmental Council members or their designees are to be ex officion members of the Committee. The regular members are to be appointed by the President and are to have special knowledge or experience concerning juvenile delinquency and juvenile justice. A majority of the members, including the Chairman designated by the President, are not to be full-time employees of Federal, State or local governments. At least seven of the members must be under the age of 26 at their appointment. The members will be appointed to a four-year term, on a staggered basis.

Section 478 specifies the duties of the Advisory Committee. The Committee must meet a minimum of four times a year and will make recommendations to the Administrator regarding planning, policy, priorities, operations and management of all Federal juvenile delinquency programs. Subcommittees may be designated for particular purposes. One five-member subcommittee shall serve as members of an Advisory Committee for the National Institute for Juvenile Justice.

Another five-member subcommittee shall serve as an Advisory Com-

mittee on Standards for Juvenile Justice.

Section 479 provides for the reimbursement of expenses of Advisory Committee members and for the compensation of members not employed by the Federal Government.

Section 302 of Title III redesignates Parts F, G, H, and I of Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as

amended, as Parts G, H, I, and J, respectively.

#### TITLE IV

Title IV adds eight additional sections to the newly created Part F of Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended. These provisions establish a Federal assistance program for State and local government for juvenile justice, delinquency and related programs. Sections 480 through 482, create a formula grant program. Sections 483 and 484 provide for special emphasis prevention and treatment programs.

Section 401 is the operative provision of Title IV. The eight sec-

tions added to Part F are as follows:

Section 480 authorizes the Administrator to make grant to States and local government to assist them with programs and activities

related to juvenile justice and juvenile deliquency.

Section 481 provides for allocations of funds under Part F among the States on the basis of population of people under age 18. No State is to get less than \$200,000, except for certain island territories. Funds unallocated at the end of any fiscal year are to be reallocated in an equitable manner. Any reallocated amounts are in addition to the amounts already available. Not more than 15 percent of a State's allotment may be used for developing a State plan and administering the program. Local governments are to share in this planning and administration money. Such allocations are subject to regulation.

Section 482 requires that each State have a plan for carrying out the purposes of the legislation in order to get formula grants. The requirements for the State plans are set forth in twenty-two enumer-

ated paragraphs and are as follows:

The State planning agency already established to implement the Omnibus Crime Control and Safe Streets Act is to be solely responsible for planning and administration of the plan:

The State planning agency must be shown to have authority to

implement the plan:

An advisory group shall be appointed by the chief executive of the State to advise the State planning agency and its supervisory board. The make-up of the advisory group, similar to that of the National Advisory Council for Juvenile Justice and Delinquency Prevention, is specified;

Local governments must be actively consulted and local needs

taken into account:

50 percent of the funds received by a State are to be expended through local government programs, unless waived by the Administrator because juvenile services are organized primarily on a statewide basis:

The chief executive officer of the local government shall designate a local agency responsible for preparation, administration

and supervision of the local part of the State plan and local pro-

grams funded;

Funds received must be equitably distributed within a State; A detailed study of State needs for an effective, comprehensive, coordinated approach to juvenile justice and delinquency prevention must be set forth. This study is to include an estimate cost for implementation;

Private agencies are to be consulted and participate in development and execution of the State plan. Existing programs are to

be used where feasible;

75 percent of the funds available to a State are to be used for advanced techniques and programs for prevention of delinquency, diversion of juveniles from the juvenile justice system, use of probation subsidies, and provide community-based alternative to detention. Six examples of advanced techniques are specified;

A statewide program is to be provided for which is aimed at reducing commitments of juveniles to correctional facilities, increasing the use of community based facilities, and discouraging

the use of secure detention and incarceration:

Within two years after submission of the plan, the State must assure that juveniles who have committed or been charged with offenses not criminal if committed by an adult, are placed in shelter facilities rather than correction or detention facilities.

Juveniles alleged or adjudicated to be delinquents are not to be detained or confined in any institution in which they have regular

contact with alleged or adjudicated adult criminals;

The State must provide for monitoring of jails and detention and correctional facilities to assure that the requirements of the preceeding two paragraphs are complied with. Findings are to be reported to the Administrator annually;

Assurance must be made that assistance will be equitably available to all youths, including those who may be handicapped or a

member of a minority group;

Procedures are to be established for protecting the rights of recipients of services and assuring privacy of records regarding such services;

Arrangements are to be made to protect the interests of em-

ployees affected by assistance under the Act;

Fiscal control and fund accounting must be provided for;

Assurance must be made that Federal funds available will be used to supplement and increase, not supplant, other available State, local and non-Federal funds;

The State planning agency must review its plan at least annually and submit an analysis, evaluation and any necessary

modifications to the Administrator;

The plan is to contain such other terms and conditions as the Administrator reasonably prescribes to assure program effectiveness.

The State advisory group is to approve the State plan and any modifications prior to its submission. The Administrator is to approve any plan which meets the requirements of the section. If a State does not submit a plan, or submits one which the Administrator finds, after

notice and hearings, does not meet the section's requirements, then the Administrator is to make the State's allotment otherwise available for special emphasis prevention and treatment programs, as defined in Section 483.

Section 483 authorizes the Administrator to make grants and enter into contracts for developing and implementing new approaches, techniques and methods for juvenile delinquency programs, for developing and maintaining community-based alternatives to incarceration, for developing and implementing new means of diversion, for improving the capability of public and private agencies to provide services to delinquents and those in danger of becoming delinquents, and for facilitating adoption of the recommendations of the Advisory Committee on Standards for Juvenile Justice. Priority for grants is to be given to private organizations or institutions who have had experience dealing with youth. No less than 25 percent of the funds appropriated each fiscal year pursuant to Part F are to be available only for special emphasis prevention and treatment programs.

Section 484 requires submission of an application for grants under Section 483 and sets forth the requirements for such application. The application must provide for supervision by the applicant, a program carrying out one of the purposes of Section 483, proper and efficient administration, regular evaluation, review by the State planning agency when appropriate, regular reports to the Administrator, and necessary fiscal control and fund accounting procedures. In determining whether or not to approve applications, the Administrator must take into account cost and effectiveness of proposed programs, the extent the program is new or innovative, the extent to which the program is consistent with the State's plan, the increase in capacity of the applicant to provide necessary services, the rates of youth unemployment, school dropout and delinquency in the community to be served, and the extent to which the program facilititates the implementation of the recommendations of the Advisory Committee on Standards for Juvenile Justice.

Section 485 provides for operation of the withholding provisions of of the Act if the Administrator finds that a program or activity which was the subject of a grant has so changed that it no longer complies with the provisions of the title or operates without so complying. Such a finding will be made only after due notice and hearing.

Section 486 provides that funds paid may be used for securing, developing or operating programs carrying out the purposes of the part, or for up to 50 percent of the construction cost of innovative community-based facilities for less than 20 persons which the Administrator feels are necessary for carrying out the purposes of the

part.

Section 487 sets forth the policy of Congress that programs should receive continued funding if evaluation is satisfactory. At the Administrator's discretion, a State may use 25 percent of the funds available to it under the part to meet the non-Federal matching share requirement for any other Federal juvenile delinquency grant. There must be no other way for a necessary project to be funded. Otherwise, the Administrator may require a grant recipient to contribute money, facilities, or services.

#### TITLE V

Title IV further amends the Onmibus Crime Control and Safe Streets Act of 1968, as amended, to e tablish within the existing National Institute for Law Enforcement and Criminal Justice a National Institute of Juvenile Justice and a National Institute of Corrections. Section 501 adds eight new sections creating the National Institute of Juvenile Justice. Sections 502 and 503 establish the National Institute of Corrections.

Section 404 establishes a National Institute for Juvenile Justice within the National Institute of Law Enforcement and Criminal Justime. It is to be under the supervision and direction of the Administrator and headed by an Assistant Director of the National Institute of

Law Enforcement and Criminal Justice.

Section 405 authorizes the National Institute for Juvenile Justice to serve as an information bank by collecting and synthesizing data concerning juvenile delinquency, and to serve as a c'earinghouse and information center for the preparation, publication and dissemination

of all information regarding juvenile delinquency.

Section 406 authorizes the National Institute for Juvenile Justice to conduct, encourage and coordinate research and evaluation into any aspect of juvenile delinquency, encourage development of demonstration projects using new and innovative techniques, evaluate assisted programs, and disseminate the results of evaluations, research, and demonstration projects.

Section 407 anthorizes the National Institute for Juvenile Justice to develop, conduct, and provide for training programs, seminars, and workshops for personnel engaged in work or preparing to work in

areas related to juvenile delinquency.

Section 408 provides that the Advisory Committee for the National Institute for Juvenile Justice (established in Section 478) shall advise. consult with, and make recommendations regarding the overall policy and operations of the Institute.

Section 409 provides that the Assistant Director is to report annually on the programs of the Institute to the Administrator. A summary of this report shall be included in the Administrator's annual report to the President and Congress, as required by Section 474.

Section 410 requires the National Institute for Juvenile Justice, under the supervision of the Advisory Committee on Standards for Juvenile Justice, to review existing reports and data and develop standards relating to juvenile justice. Within one year of passage of the section, a report is to be made to the President and Congress recommending Federal, State, and local action to facilitate adoption of the standards developed. The Advisory Committee can get information as needed from other Federal agencies.

Section 411 provides that records containing the identity of individual juveniles gathered for purposes of the title may not be disclosed or transferred to any individual or other public or private

agency.

Section 502 of Title V redesignates Sections 403, 404, 405, 406 and 407 of Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended, as Sections 411, 412, 413, 414 and 415, respectively.

#### TITLE VI

Section 601 authorizes the appropriation of \$100,000,000 for the fiscal year ending June 30, 1974, \$200,000,000 for the fiscal year ending June 30, 1975, and \$300,000,000 for the fiscal year ending June 30, 1976.

Section 602 specifies that no more than 15 percent of the funds appropriated annually for the purposes of the Act are to be used for

Title V.

## TITLE VII

Title VII creates a National Institute of Corrections within the Bureau of Prisons, by amending Title 18 U.S.C. by adding a new Chapter 319.

Section 701 is the operative provision of the Title. Three new sec-

tions are included in the new Chapter 319, as follows:

Section 4351 establishes a National Institute of Corrections within the U.S. Bureau of Prisons. A 15 member Advisory Board is to supervise the overall policy and operations of the National Institute of Corrections. Five Federal officials are designated as ex-officio members. Five members are to be qualified as a practitioner in the field of corrections, probation or parole, while five are to be from the private sector. Advisory Board members are to be appointed by the Attorney General for three-year, staggered terms. A chairman and vice-chairman are to be elected from among the Board's members. Provision is made for compensation and reimbursement for expenses.

The Advisory Board is authorized to appoint advisory and technical committees as necessary, without regard to the civil service laws, and may delegate its powers. A Director, appointed by the Attorney General after consultation with the Board, will have general super-

visory powers over functioning of the Institute.

Section 4352 sets out certain powers of the National Institute of Cor-

rections. Essentially these are as follows:

To receive or make grants and contracts with governmental and private agencies and individuals;

To serve as a clearinghouse and information center for infor-

mation regarding corrections;

To assist Federal, State and local agencies in the development and maintenance of programs and facilities for offenders;

To encourage and assist improved corrections programs:

To conduct seminars, workshops and training sessions for personnel connected with the treatment and rehabilitation of offenders;

To develop technical training teams:

To conduct, encourage and coordinate research;

To formulate and disseminate correction policy, goals and standards recommendations;

To conduct evaluation programs;

To receive information and data from other Federal agencies; To arrange reimbursement to other Federal agencies for the use of personnel, facilities and equipment;

To confer with and get assistance from governmental and pri-

vate organizations and individuals;

To contract with public or private agencies, organizations or individuals for performance of Institute functions; and,

To procure services of experts and consultants.

The National Institute of Corrections must report annually to the President and Congress. Each recipient of assistance must keep complete records of his activities. Books and records pertinent to grants received shall be audited by the Institute and the Comptroller of the United States, or their authorized representatives. These provisions apply to all recipients of assistance, whether direct grantees or contractors, or subgrantees or subcontractors.

Section 4353 authorizes to be appropriated such funds as may be

required to carry out the purposes of this chapter.

## CHANGES IN EXISTING LAW

In compliance with subsection 4 of rule XXIX of the Standing Rules of the Senate, changes in existing law made by the bill as reported are shown as follows:

Existing law in which no change is proposed is shown in roman; new matter is shown in italic; existing law proposed to be omitted is enclosed in black brackets.<sup>35</sup>

# OMNIBUS CRIME CONTROL AND SAFE STREETS ACT OF 1968, AS AMENDED

## TITLE I—LAW ENFORCEMENT ASSISTANCE

### NATIONAL INSTITUTE FOR JUVENILE JUSTICE

Sec. 403. (a) There is hereby established within the National Institute of Law Enforcement and Criminal Justice a National Institute for Juvenile Justice.

(b) The National Institute for Juvenile Justice shall be under the supervision and direction of the Administrator, and shall be headed by an Assistant Director of the National Institute of Law Enforcement and Criminal Justice appointed under section 471(e).

#### INFORMATION FUNCTION

Sec. 404. The National Institute for Juvenile Justice is authorized to—

(1) serve as an information bank by collecting systematically and synthesizing the data and knowledge obtained from studies and research by public and private agencies, institutions, or individuals concerning all aspects of juvenile delinquency, including the prevention and treatment of juvenile delinquency;

(2) serve as a clearinghouse and information center for the preparation, publication, and aissemination of all information regarding juvenile delinquency, including State and local juvenile delinquency prevention and treatment programs and plans, availability of resources, training and educational programs, statistics, and other pertinent data and information.

<sup>\*\*</sup> The format corresponds to current statutory sections and not to the sequence as found in the bill.

# RESEARCH. DEMONSTRATION, AND EVALUATION FUNCTIONS

SEC. 405. The National Institute for Juvenile Justice is authorized

(1) conduct, encourage, and coordinate research and evaluation into any aspect of juvenile delinquency, particularly with regard to new programs and methods which show promise of making a contribution toward the prevention and treatment of juvenile delinquency;

(2) encourage the development of demonstration projects in new, innovative techniques and methods to prevent and treat juvenile

delinquency;
(3) provide for the evaluation of all juvenile delinquency programs assisted under this title in order to determine the results and the effectiveness of such programs;

(4) provide for the evaluation of any other Federal, State, or local juvenile delinquency program, upon the request of the Administrator;

(5) disseminate the results of such evaluations and research and demonstration activities particularly to persons actively working in the field of juvenile delinquency.

#### TRAINING FUNCTIONS

Sec. 406. The National Institute for Juvenile Justice is authorized to—

(1) derelop, conduct, and provide for training programs for the training of professional, paraprofessional, and volunteer personnel, and other persons who are or who are preparing to work with juveniles

and invenile offenders:

(2) develop, conduct, and provide for seminars, workshops, and training programs in the latest proven effective techniques and methods of preventing and treating juvenile delinquency for law enforcement officers, juvenile judges, and other court personnel, probation officers, correctional personnel, and other Federal, State, and local government personnel who are engaged in work relating to juvenile delinquency

## INSTITUTE ADVISORY COMMITTEE

SEC. 407. The Advisory Committee for the National Institute for Juvenile Justice established in section 478(d) shall advise, consult with, and make recommendations to the Assistant Director for the National Institute for Juvenile Justice concerning the overall policy and operations of the Institute.

## ANNUAL REPORT

Sec. 408. The Assistant Director for the National Institute for Juvenile Justice shall develop oneually and submit to the Administrator after the first year the legislation is enacted, prior to June 30, a report on research, demonstration, training, and evaluation programs funded under this title, including a review of the results of such programs, an assessment of the application of such results to existing and to new juvenile delinquency programs, and detailed recommendations for future research, demonstration, training, and evaluation programs. The Administrator shall include a summary of these results and recommendations in his report to the President and Congress required by section 474(b)(5).

#### DEVELOPMENT OF STANDARDS FOR JUVENILE JUSTICE

SEC. 409. (a) The National Institute for Juvenile Justice, under the supervision of the Advisory Committee on Standards for Juvenile Justice established in section 478(e), shall review existing reports, data, and standards, relating to the juvenile justice system in the United States.

(b) Not later than one year after the passage of this section, the Advisory Committee shall submit to the President and the Congress a report which, based on recommended standards for the administration of juvenile justice at the Federal, State, and local level—

(1) recommends Federal action, including but not limited to administrative and legislative action, required to facilitate the adoption of these standards throughout the United States; and

(2) recommends State and local action to facilitate the adoption of these standards for juvenile justice at the State and local level.

(c) Each department, agency, and instrumentality of the executive branch of the Government, including independent agencies, is authorized and directed to furnish to the Advisory Committee such information as the Committee deems necessary to carry out its functions under this section.

SEC. 410. Records containing the identity of individual juveniles gatherea for purposes pursuant to this title may under no circumstances be disclosed or transferred to any individual or other agency, public, or

private."

# Part F-Administrative Provisions

## PART F-JUVENILE DELINQUENCY PREVENTION AND CONTROL ESTABLISHMENT OF OFFICE

Sec. 471. (a) There is hereby created within the Department of Justice, Law Enforcement Assistance Administration the Office of Juvenile Justice and Delinquency Prevention (referred to in this Act as the "Office")

(b) There shall be at the head of the Office a Director (referred to in this Act as the "Director") who shall be appointed by the Administrator of the Law Enforcement Assistance Administration.

(c) The Director shall exercise all necessary powers, subject to the direction of the Administrator of the Law Enforcement Assistance Administration.

(d) There shall be in the Office a Deputy Director who shall be appointed by the Administrator of the Law Enforcement Assistance Administration. The Deputy Director shall perform such functions as the Director from time to time assigns or delegates, and shall act as Director during the absence or disability of the Director or in the event of a vacancy in the office of the Director.

(e) There shall be established in the National Institute of Law Enforcement and Criminal Justice an Assistant Director, who shall be appointed by the Administrator, whose function shall be to supervise and direct the National Institute for Juvenile Justice established under section 501

of this Act.

# PERSONNEL, SPECIAL PERSONNEL, EXPERTS, AND CONSULTANTS

Sec. 472. (a) The Administrator is authorized to select, employ, and fix the compensation of such officers and employees, including attorneys, as are necessary to perform the functions vested in him and to prescribe their

functions.

(b) The Administrator is authorized to select, appoint, and employ not to exceed three officers and to fix their compensation at rates not to exceed the rate now or hereafter prescribed for GS-18 of the General Schedule by section 5332 of title 5 of the United States Code.

(c) Upon the request of the Administrator, the head of any Federal agency is authorized to detail, on a reimbursable basis any of its personnel to the Director to assist him in carrying out his functions under this Act.

(d) The Administrator may obtain services as authorized by section 3109 of title 5 of the United States Code, at rates not to exceed the rate now or hereafter prescribed for GS-18 of the General Schedule by section 5332 of title 5 of the United States Code.

## VOLUNTARY SERVICE

Sec. 473. The Administrator is authorized to accept and employ, in carrying out the provisions of this Act, voluntary and uncompensated services not withstanding the provisions of section 3679(b) of the Revised

Statutes (41 U.S.C. 665(b)).

SEC. 474. (a) The Administrator shall establish overall policy and develop objectives and priorities for all Federal juvenile delinquency programs and activities relating to prevention, diversion, training, treatment, rehabilitation, evaluation, research, and improvement of the juvenile justice system in the United States. In carrying out his functions, the Administrator shal consult with the Interdepartmental Council and the National Advisory Committee for Juvenile Justice and Delinquency Prevention.

(b) In carrying out the purposes of this Act, the Administrator is author-

ized and directed to-

(1) advise the President as to all matters relating to federally assisted juvenile delinquency programs and Federal policies regarding

juvenile delinquency;

(2) assist operating agencies which have direct responsibilities for the prevention and treatment of juvenile delinquency in the development and promulgation of regulations, guidelines, requirements, criteria, standards, procedures, and budget requests in accordance with policies, priorities, and objectives he establishes;

(3) conduct and support evaluations and studies of the performance and results achieved by Federal Juvenile delinquency programs and activities and of the prospective performance and results that might be achieved by alternative programs and activities supplementary to

or in lieu of those currently being administered;

(4) coordinate Federal juvenile delinquency programs and activities among Federal departments and agencies and between Federal juvenile delinquency programs and activities and other Federal programs and activities which he determines may have an important bearing on the success of the entire Federal juvenile delinquency effort:

(5) develop annually with the assistance of the Advisory Committee and submit to the President and the Congress, after the first year the legislation is enacted, prior to September 30, an analysis and evaluation of Federal juvenile delinquency programs conducted and assisted by Federal departments and agencies, the expenditures

made, the results achieved, and plans developed, and problems in the operations and coordination of such programs. This report shall include recommendations for modifications in organization, management, personnel, standards, budget requests, and implementation plans

necessary to increase the effectiveness of these programs;

(6) develop annually with the assistance of the Advisory Committee and submit to the President and the Congress, after the first year the legislation is enacted, prior to March 1, a comprehensive plan for Federal juvenile delinquency programs, with particular emphasis on the prevention of juvenile delinquency and the development of programs and services which will encourage increased diversion from the traditional juvenile justice system; and

(7) provide technical assistance to Federal, State, and local governments, courts, public and private agencies, institutions, and individuals, in the planning, establishment, funding, operation,

or evaluation of juvenile delinquency programs.

(c) The Administrator may request departments and agencies engaged in any activity involving any Federal juvenile delinquency program to provide him with such information and reports, and to conduct such studies and surveys, as he may deem to be necessary to carry out the purposes of this Act.

(d) The Administrator may delegate any of his functions under this title, except the making of regulations, to any officer or employee of the

Administration.

(e) The Administrator is authorized to utilize the services and facilities of any agency of the Federal Government and of any other public agency or institution in accordance with appropriate agreements, and to pay for such services either in advance or by way of reimbursement as may

be agreed upon.

(f) The Administrator is authorized to transfer funds appropriated under this Act to any agency of the Federal Government to develop or demonstrate new methods in juvenile delinquency prevention and rehabilitation and to supplement existing delinquency prevention and rehabilitation programs which the Director finds to be exceptionally effective or for which he finds there exists exceptional need.

(g) The Administrator is authorized to make grants to, or enter into contracts with, any public or private agency, institution, or individual

to carry out the purposes of this Act.

(h) All functions of the Administrator under this Act shall be coordinated as appropriate with the functions of the Secretary of the Department of Health, Education and Welfare under the Juvenile Delinquency Prevention Act (42 U.S.C. 3801 et seq.).

### JOINT FUNDING

Sec. 475. Notwithstanding any other provision of law, where funds are made available by more than one Federal agency to be used by any agency, organization, institution, or individual to carry out a Federal juvenile delinquency program or activity, any one of the Federal agencies providing funds may be requested by the Administrator to act for all in administering the funds advanced. In such cases, a single non-Federal share requirement may be established according to the proportion of funds advanced by each Federal agency, and the Administrator may order any such agency to waive any technical grant or contract requirement (as defined in such

regulations) which is inconsistent with the similar requirement of the administering agency or which the administering agency does not impose.

## INTERDEPARTMENTAL COUNCIL

Sec. 476. (a) There is hereby established an Interdepartmental Council on Juvenile Delinquency (hereinafter referred to as the "Council) composed of the Attorney General, the Secretary of Health, Education, and Welfare, the Secretary of Labor, the Director of the Special Action Office for Drug Abuse Prevention, the Secretary of Housing and Urban Development, or their respective designees, and representatives of such other gaencies as the President shall designate.

(b) The Attorney General or his designee shall serve as Chairman of

the Council.

(c) The function of the Council shall be to coordinate all Federal

juvenile delinquency programs.

(d) The Council shall meet a minimum of six times per year and the activities of the Council shall be included in the annual report required by section 474(b)(5) of this title.

(e) The Chairman shall appoint an Executive Secretary of the Council and such personnel as are necessary to carry out the functions of the

Council.

### ADVISORY COMMITTEE

SEC. 477. (a) There is hereby established a National Advisory Committee for Juvenile Justice and Delinquency Prevention (hereinafter referred to as the 'Advisory Committee') which shall consist of twenty-one members.

(b) The members of the Interdepartmental Council or their respective

designee shall be ex officio members of the Committee.

(c) The regular members of the Advisory Committee shall be appointed by the President from persons who by virtue of their training or experience have special knowledge concerning the prevention and treatment of juvenile delinquency or the administration of juvenile justice, such as juvenile or family court judges; probation, correctional, or law enforcement personnel; and representatives of private voluntary organizations and community-based programs. The President shall designate the Chairman. A majority of the members of the Advisory Committee, including the Chairman, shall not be full-time employees of Federal, State, or local governments. At least seven members shall not have attained twenty-six years of age on the date of their appointment.

(d) Member's appointed by the President to the Committee shall serve for terms of four years and shall be eligible for reappointment except that for the first composition of the Advisory Committee, one-third of these members shall be appointed to one-year terms, one-third to two-year terms, and one-third to three-year terms; thereafter each term shall be four years. Any members appointed to fill a vacancy occurring prior to the expiration of the term for which his predecessor was appointed, shall be appointed for the

remainder of such term.

### DUTIES OF THE ADVISORY COMMITTEE

Sec. 478. (a) The Advisory Committee shall meet at the call of the Chair-

man, but not less than four times a year.

(b) The Advisory Committee shall make recommendations to the Administrator at least annually with respect to planning, policy, priorities, operations, and management of all Federal juvenile delinquency programs.

(c) The Chairman may designate a subcommittee of the members of the Advisory Committee to advise the Administrator on particular functions or

aspects of the work of the Administration.

(d) The Chairman shall designate a subcommittee of five members of the Committee to serve as members of an Advisory Committee for the National Institute for Juvenile Justice to perform the functions set forth in section 407 of this title.

(e) The Chairman shall designate a subcommittee of five members of the Committee to serve as an Advisory Committee to the Administrator on Standards for the Administration of Juvenile Justice to perform the functions set forth in section 409 of this title.

### COMPENSATION AND EXPENSES

Sec. 479. (a) Members of the Advisory Committee who are imployed by the Federal Government full time shall serve without compensation but shall be reimbursed for travel, subsistence, and other necessary expenses incurred by them in carrying out the duties of the Advisory Committee.

(b) Members of the Advisory Committee not employed full time by the Federal Government shall receive compensation at a rate not to exceed the rate now or hereafter prescribed for GS-18 of the General Schedule by section 5352 of title 5 of the United States Code, including traveltime for each day they are engaged in the performance of their duties as members of the Advisory Committee. Members shall be entitled to reimbursement for travel, subsistence, and other necessary expenses incurred by them in carrying out the duties of the Advisory Committee.

### FORMULA GRANTS

Sec. 480. The Administrator is authorized to make grants to States and local governments to assist them in planning, establishing, operating, coordinating, and evaluating projects directly or through contracts with public and private agencies for the development of more effective education, training, research, prevention, diversion, treatment, and rehabilitation programs in the area of juvenile delinquency and programs to improve the juvenile justice system.

ALLOCATION

Sec. 481. (a) In accordance with regulations promulgated under this part, funds shall be allocated annually among the States on the basis of relative population of people under age eighteen. No such allotment to any State shall be less than \$200,000, except that for the Virgin Islands, Guam, and American Samoa, no allotment shall be less than \$50,000.

(b) Except for funds appropriated for fiscal year 1974, if any amount so allotted remains unobligated at the end of the fiscal year, such funds shall be reallocated in a manner equitable and consistent with the purposes of this part. Funds appropriated for fiscal year 1974 may be obligated in accordance with subsection (a) until June 30, 1976, after which time they may be reallocated. Any amount so reallocated shall be in addition to the amounts already allotted and available to the State, the Virgin Islands, American Samoa, and Guam for the same period.

(c) In accordance with regulations promulgated under this part, a portion of any allotment to any State under this part shall be available to develop a State plan and to pay that portion of the expenditures which are necessary for efficient administration. Not more than 15 per centum of the total annual allotment of such State shall be available for such purposes.

The State shall make available needed funds for planning and administration to local governments within the State on an equitable basis.

#### STATE PLANS

SEC. 482. (a) In order to receive formula grants under this part, a State shall submit a plan for carrying out its purposes. In accordance with regulations established under this title, such plan must—

(1) designate the State planning agency established by the State under section 203 of this title as the sole agency for supervising the

preparation and administration of the plan;

(2) contain satisfactory evidence that the State agency designated in accordance with paragraph (1) (hereafter referred to in this part as the "State planning agency") has or will have authority, by legislation if necessary, to implement such plan in conformity with

this part:

(3) provide for an advisory group appointed by the chief executive of the State planning agency and its supervisory board (A) which shall consist of not less than twenty-one and not more than thirty-three persons who have training, experience, or special knowledge concerning the prevention and treatment of juvenile delinquency or the administration of invenile justice, (B) which shall include representation of units of local government, law enforcement and juvenile justice agencies such as law enforcement, correction or probation personnel. and juvenile or family court judges, and public agencies concerned with delinquency prevention or treatment such as welfare, social services, mental health, education or youth services departments; (C) which shall include representatives of private organizations: con-cerned with delinquency prevention or treatment; concerned with neglected or dependent children; concerned with the quality of juvenile justice, education, or social services for children; which utilize volunteers to work with delinquents or potential delinquents; community based delinquency prevention or treatment programs; and organizations which represent employees affected by this Act, (D) a majority of whose members (including the Chairman) shall not be fulltime employees of the Federal, State, or local government, and (E) at least one third of whose members shall be under the age of twenty-six at the time of appointment:

(4) provide for the active consultation with and participation of local governments in the development of a State plan which adequately takes into account the needs and requests of local governments;

(5) provide that a least 50 per centum of the funds received by the State under section 481 shall be expended through programs of local government insofar as they are consistent with the State plan, except that this provision may be varied at the discretion of the Administrator for any State if the services for delinquent or potentially delinquent youth are organized primarily on a statewide basis;

(6) provide that the chief executive officer of the local government shall assign responsibility for the preparation and administration of the local government's part of a State plan, or for the supervision of the preparation and administration of the local government's part of the State plan, to that agency within the local government's structure (hereinafter in this part referred to as the "local agency")

which can most effectively carry out the purposes of this part and shall provide for supervision of the programs funded under this part by that local agency:

(7) provide for an equitable distribution of the assistance received

under section 481 within the State:

(8) set forth a detailed study of the State needs for an effective. comprehensive, coordinated approach to juvenile delinquency prevention and treatment and the improvement of the juvenile justice system. This plan shall include itemized estimated costs for the development and implementation of such programs:

(9) provide for the active consultation with and participation of private agencies in the development and execution of the State plan; and provide for coordination and maximum utilization of existing juvenile delinquency programs and other related programs, such as

education, health, and welfare within the State;

(10) provide that not less than 75 per centum of the funds available to such State under section 481, whether expended directly by the State or by the local government or through contracts with public or private agencies, shall be used for advanced techniques in developing, maintaining, and expanding programs and services designed to prevent juvenile delinquency, to divert juveniles from the juvenile justice system, to establish programs as set forth in section 482(11). and to provide community-based alternatives to juvenile detention and correctional facilities. That advanced techniques include-

(A) community-based programs and services for the prevention and treatment of juvenile delinquency through the development of foster-care and shelter-care homes, group homes, halfway houses, homemaker and home health services and any other designated community-based diagnostic, treatment, or rehabilita-

tive service:

(B) community-based programs and services to work with parents and other family members to maintain and strengthen the family unit, so that the juvenile may be retained in his home;

(C) youth service bureaus and other community-based programs to divert youth from the juvenile court or to support, counsel, or provide work and recreational opportunities for delinquents and youth in danger of becoming delinquent;

(D) comprehensive programs of drug abuse education and prevention and programs for the treatment and rehabilitation of drug addicted youth, and "drug dependent" youth (as defined in section 2(q) of the Public Health Service Act (42  $U.S.C.\ 201(q)$ ;

(E) educational programs or supportive services designed to keep delinquents or youth in danger of becoming delinquent in elementary and secondary schools or in alternative learning situations:

(F) expanded use of probation and recruitment and training of probation officers, other professional and paraprofessional personnel and volunteers to work effectively with youth:

(11) provides for a statewide program through the use of probation subsidies, other financial incentives or disincentives to units of local government, or other effective means, that may include but are not limited to programs designed to:

(A) reduce the number of commitments of juveniles to any form of juvenile facility as a percentage of the State juvenile population:

(B) increase the use of non-secure community-based facilities as a percentage of total commitments to juvenile facilities; and (C) discourage the use of secure incarceration and detention.

(12) provides for the development of an adequate research, training.

and evaluation capacity within the State;

(13) provide within two years after submission of the plan that juveniles who are charged with or who have committed offenses that would not be criminal if committed by an adult, shall not be placed in juvenile detention or correctional facilities, but must be placed in shelter facilities:

(14) provide that juveniles alleged to be or found to be delinquent shall not be detained or confined in any institution in which they have regular contact with adult persons incarcerated because they have been convicted of a crime or are awaiting trial on criminal

charges:

(15) provide for an adequate system of monitoring jails, detention facilities, and correctional facilities to insure that the requirements of section 482(13) and (14) are met, and for annual reporting of the results of such monitoring to the Administrator;

(16) provide assurances that assistance will be available on an equitable basis to deal with all disadvantaged youth including, but not limited to, females, minority youth, and mentally retarded or

emotionally handicapped youth;

(17) provide for procedures to be established for protecting the rights of recipients of services and for assuring appropriate privacy with regard to records relating to such services provided to any individual under the State plan:

(18) provide that fair and equitable arrangements are made to protect the interests of employees affected by assistance under this

(19) provide for such fiscal control and fund accounting procedures necessary to assure prudent use, proper disbursement, and accurate

accounting of funds received under this title;

(20) provide reasonable assurance that Federal funds made available under this part for any period will be so used as to supplement and increase, to the extent feasible and practical, the level of State, local, and other non-Federal funds that would in the absence of such Federal funds be made available for the programs described in this part, and will in no event supplant such State, local, and other non-Federal funds:

(21) provide that the State planning agency will from time to time, but not less often than annually, review its plan and submit to the Administrator an analysis and evaluation of the effectiveness of the programs and activities carried out under the plan, and any modifi-cations in the plan, including the survey of State and local needs,

which it considers necessary; and

(22) contain such other terms and conditions as the Administrator may reasonably prescribe to assure the effectiveness of the programs

assisted under this title.

(b) The Board appointed pursuant to Sec. 482(a)(3) shall approve the State plan and any modification thereof prior to submission to the Administrator.

(c) The Administrator shall approve any State plan and any modifica-

tion thereof that meets the requirements of this section.

(d) In the event that any State fails to submit a plan, or submits a plan or any modification thereof, which the Administrator, after reasonable notice and opportunity for hearing in accordance with sections 500, 510, and 511, determines does not meet the requirements of this section, the Administrator shall make that State's allotment under the provisions of 481(a) available to public and private agencies for Special Emphasis Prevention and Treatment Programs as defined in section 483.

### SPECIAL EMPHASIS PREVENTION AND TREATMENT PROGRAMS

Sec. 483. (a) The Administrator is authorized to make grants to and enter into contracts with public and private agencies, organizations, institutions, or individuals to—

(1) develop and implement new approaches, techniques, and

methods with respect to juvenile delinguency programs;

(2) develop and maintain community-based alternatives to traditional forms of institutionalization:

(3) develop and implement effective means of diverting juveniles

from the traditional juvenile justice and correctional system;

(4) improve the capability of public and private agencies and organizations to provide services for delinquents and youths in danger of becoming delinquent; and

(5) facilitate the adoption of the recommendations of the Advisory Committee on Standards for Juvenile Justice as set forth pursuant

to section 409(b).

(b) Not less than 25 per centum of the funds appropriated for each fiscal year pursuant to this part shall be available only for special emphasis prevention and treatment grants and contracts made pursuant to this section.

(c) Among applicants for grants under this part, priority shall be given to private organizations or institutions who have had experience in

dealing with youth.

### CONSIDERATIONS FOR APPROVAL OF APPLICATIONS

SEC. 484. (a) Any agency, institution, or individual desiring to receive a grant, or enter into any contract under section 483, shall submit an application at such time, in such manner, and containing or accompanied by such information as the Alministrator may prescribe.

(b) In accordance with guidelines established by the Administrator,

each such application shall—

(1) provide that the program for which assistance is sought will be administered by or under the supervision of the applicant;

(2) set forth a program for carrying out one or more of the purposes

set forth in section 482;

(3) provide for the proper and efficient administration of such program;

(4) provide for regular evaluation of the program;

(5) indicate that the applicant has requested the review of the application from the State planning agency and local agency designated in section 482, when appropriate, and indicate the response of such agency to the request for review and comment on the application;

(6) provide that regular reports on the program shall be sent to the Administrator and to the State planning agency and local agency, when appropriate; and

(7) provide for such fiscal control and fund accounting procedures as may be necessary to assure prudent use, proper disbursement, and

accurate accounting of funds received under this title.

(c) In determining whether or not to approve applications for grants under section 483, the Administrator shall consider—

(1) the relative cost and effectiveness of the proposed program in

effectuating the nurnoses of this part;

(2) the extent to which the proposed program will incorporate new

or innovative techniques:

(3) the extent to which the proposed program meets the objectives and priorities of the State plan, when a State plan has been approved by the Administrator under section 482(c) and when the location and scope of the program makes such consideration appropriate;

(4) the increase in capacity of the public and private agency, institution, or individual to provide services to delinquents or youths

in danger of becoming delinquents;

(5) the extent to which the proposed project serves communities which have high rates of youth unemployment, school dropout, and

delinguency; and

(6) the extent to which the proposed program facilitates the implementation of the recommendations of the Advisory Committee on Standards for Juvenile Justice as set forth pursuant to section 409.

### GENERAL PROVISIONS WITHHOLDING

Sec. 485. Whenever the Administrator, after giving reasonable notice and opportunity for hearing, to a recipient of financial assistance under this title, finds—

(1) that the program or activity for which such grant was made has been so changed that it no longer complies with the provisions of this

title; or

(2) that in the operation of the program or activity there is failure

to comply substantially with any such provision;

the Administrator shall initiate such proceedings as are appropriate under sections 509, 510, and 511 of this title.

### USE OF FUNDS

Sec. 486. Funds paid to any State public or private agency, institution, or individual (whether directly or through a State or local agency) may be used for:

(1) securing, developing, or operating the program designed to

carry out the purposes of this part;

(2) not more than 50 per centum of the cost of the construction of innovative community-based facilities for less than twenty persons (as defined in sections 601(f) and 601(p) of this title) which, in the judgment of the Administrator, are necessary for carrying out the purposes of this part.

PAYMENTS

Sec. 487. (a) In accordance with criteria established by the Administrator, it is the policy of Congress that programs funded under this title shall continue to receive financial assistance providing that the yearly evaluation of such programs is satisfactory.

(b) At the discretion of the Administrator, when there is no other way to fund an essential juvenile delinquency program not funded under this part, the State may utilize 25 per centum of the formula grant funds available to it under this part to meet the non-Federal matching share requirement for any other Federal juvenile delinquency program grant.

(c) Whenever the Administrator determines that it will contribute to the purposes of this part, he may require the recipient of any grant or

contract to contribute money, facilities, or services.

(d) Payments under this part, pursuant to a grant or contract, may be made (after necessary adjustment, in the case of grants, on account of previously made overpayments or underpayments) in advance or by way of reimbursements, in such installments and on such conditions as the Administrator may determine.

# PART G-DEFINITIONS

# PART H-DEFINITIONS

(p) the term "community-based" facility, program. or service, as used in part F, means a small, open group or home or other suitable place located near the adult offender's or juvenile's home or family and programs of community supervision and service which maintain community and consumer participation in the planning, operation, and evaluation of their programs which may include, but are not limited to, medical, educational, vocational, social, and psychological guidance, training, counseling, drug treatment, and other rehabilitative services;

(q) the term "Federal juvenile delinquency program" means any juvenile delinquency program which is conducted, directly, or indirectly, or is assisted by any Federal department or agency, including any program

funded under this Act;

(r) the term "juvenile delinquency program" means any program or activity related to juvenile delinquency prevention, control, diversion, treatment, rehabilitation, planning, education, training, and research, including drug abuse programs; the improvement of the juvenile justice system; and any program or activity for neglected, abandoned, or dependent youth and other youth who are in danger of becoming delinquent."

# PART G—ADMINISTRATIVE PROVISIONS

# ZPART [—CRIMINAL PENALTIES]

PART I—CRIMINAL PENALTIES

# [PART I—ATTORNEY GENERAL'S BIENNAL REPORT OF FEDERAL LAW ENFORCEMENT AND CRIMINAL JUSTICE ACTIVITIES]

PART J—ATTORNEY GENERAL'S BIENNAL REPORT OF FEDERAL LAW ENFORCEMENT AND CRIMINAL JUSTICE ACTIVITIES

# TITLE 18-UNITED STATES CODE-CRIMES AND CRIMINAL PROCEDURE

# CHAPTER 403—JUVENILE DELINQUENCY

Sec. 5031. Definitions.

5032. Proceeding against juvenile delinquent. Delinquency proceedings in district

courts; transfer for criminal prosecution. 5033. [Jurisdiction; written consent; jury trial precluded.] Custody prior to appearance before magistrate.

5034. [Probation; commitment to custody of Attorney General; support.] Duties of magistrate.

Arrest, detention and bail. Detention prior to disposition. Contracts for support; payment. Speedy trial. Parolc. Rights in general.

5038. Dispositional hearing. 5039. Use of juvenile records.

5040. Commitment.

5041. Support. 5042. Parole.

5043. Revocation of parole or probation.

### § 5031. Definitions.

For the purposes of this chapter a "juvenile" is a person who has not attained his eighteenth birthday, or who has not attained his twenty-first birthday and is alleged to have committed an act of juvenile delinquency prior to his eighteenth birthday, and "juvenile delinquency" is the violation of a law of the United States committed by a Ljuvenile and not punishable by death or life imprisonment. person prior to his eighteenth birthday which would have been a crime if committed by an adult.

## § 5032. [Proceeding against juvenile delinquent.] Delinquency proceedings in district courts; transfer for criminal prosecution.

A juvenile alleged to have committed one or more acts in violation of a law of the United States not punishable by death or life imprisonment, and not surrendered to the authorities of a state, an act of juvenile delinquency shall not be proceeded against as a juvenile delinquency if he consents to such procedure, in any court of the United States unless the Attorney General, In his discretion, has expressly directed otherwise. I after investigation, certifies to an appropriate district court of the United States that the juvenile court or other appropriate court of a State (1) does not have jurisdiction or refuses to assume jurisdiction over said juvenile with respect to such alleged act of juvenile delinquency, or (2) does not have available programs and services adequate for the rehabilitation of juveniles.

If the Attorney General does not so certify, such juvenile shall be

surrendered to the appropriate legal authorities of such State.

If an alleged delinquent is not surrendered to the authorities of a State or the District of Columbia pursuant to this section, any proceedings against him shall be in an appropriate district court of the United States. For such purposes, the court may be convened at any time and place within the district, in chambers or otherwise. The Attorney General shall proceed by In such event the juvenile shall be proceeded against by information and no criminal prosecution shall be instituted for the alleged [violation.] act of juvenile delinquency except as provided below.

A juvenile who is alleged to have committed an act of juvenile delinquency and who is not surrendered to State authorities shall be proceeded against under this Chapter unless he has requested in writing upon advice of counsel to be proceeded against as an adult except that with respect to a juvenile sixteen years and older alleged to have committed an act after his sixteenth birthday which if committed by an adult would be a felony punishable by a maximum penalty of ten years imprisonment or more, life imprisonment, or death, criminal prosecution on the basis of the alleged act may be begun by motion to transfer of the Attorney General in the appropriate district court of the United States if such court finds, after hearing, that there are no reasonable prospects for rehabilitating such juvenile before his twenty-first birthday.

Evidence of the following factors shall be considered, and findings with regards to each factor shall be made in the record, in assessing the prospects for rehabilitation: the age and social background of the juvenile; the nature of the alleged offense; the extent and nature of the juvenile's prior delinquency record; the juvenile's present intellectual development and psychological maturity; the nature of past treatment efforts and the juvenile's response to such efforts: the availability of programs designed to treat the

juvenile's behavioral problems.

Reasonable notice of the transfer hearing shall be given to the juvenile, his parents, guardian, or custodian and to his counsel. The juvenile shall be assisted by counsel during the transfer hearing, and at every other

critical stage of the proceedings.

Once a juvenile has entered a plea with respect to a crime or an alleged act of juvenile delinquency a subsequent criminal prosecution or juvenile proceedings based upon such alleged act of delinquency shall be barred. Statements made by a juvenile prior to or during a transfer hearing under this section shall not be admissible at subsequent criminal prosecu-

tions.

# § 5033. [Jurisdiction; written consent; jury trial precluded.] Custody prior to appearance before magistrate.

[District Courts of the United States shall have jurisdiction of proceedings against juvenile delinquents. For such purposes, the court may be convened at any time and place within the district, in chambers or otherwise. The proceeding shall be without a jury. The consent required to be given by the juvenile shall be given by him in writing before a Judge of the District Court of the United States having cognizance of the alleged violation, who shall fully apprise the juvenile of his rights and of the consequences of such consent. Such consent shall be deemed a waiver of a trial by jury.]

Whenever a juvenile is taken into custody for an alleged act of juvenile delinquency, the arresting officer shall immediately advise such juvenile of his legal rights, in language comprehensible to a juvenile, and shall immediately notify the Attorney General and the juvenile's parents, guardian, or custodian of such custody. The arresting officer shall also notify the parents, guardian, or custodian of the rights of the juvenile and

of the nature of the alleged offense.

The juvenile shall be taken before a magistrate forthwith. In no event shall the juvenile be detained for more than twenty-four hours before

being brought before a magistrate.

# § 5034. [Probation; commitment to custody of Attorney General; support.] Duties of magistrate.

If the court finds a juvenile to be a delinquent, it may place him on probation for a period not exceeding his minority, or commit him to the custody of the Attorney General for a like period.

[Such commitment shall not exceed the term which might have been imposed had he been tried and convicted of the alleged violation.

The Attorney General may designate any public or private agency or foster home for the custody, care, subsistence, education, and training of the juvenile during the period for which he was committed.

If the court desires more detailed information as a basis for determining whether to place any juvenile delinquent on probation or to commit him to the custody of the Attorney General under the first paragraph of this section, the court may commit such delinquent to the custody of the Attorney General for observation and study at an appropriate classification center or agency. The Director of the Bureau of Prisons, under such regulations as the Attorney General may prescribe, shall, after the delinquent has been so committed. cause a complete study to be made of the delinquent, including a mental and physical examination, to ascertain his personal traits, his capabilities, pertinent circumstances of his social background, any previous delinquency or criminal experience, any mental or physical defect or other factor contributing to his delinquency, and any other factors which the Director may consider pertinent. A full and complete report of the results of such study, together with any recommendations which the Director believes would be helpful to the court in making its determination, shall be furnished to the court by the Director within sixty days after the date such delinquent is ordered committed to the custody of the Attorney General under this paragraph unless the court grants additional time for further study. No delinguent shall be committed under this paragraph for a period exceeding his minority or the term which might have been imposed had be been tried and convicted of the alleged violation for which he was determined delinquent, whichever occurs first.

[The cost of such custody and care may be paid from the appropriation for "Support of United States prisoners" or such other appro-

priation as the Attorney General may designate.]

If counsel is not retained for the juvenile, or it does not appear that counsel will be retained, the magistrate shall appoint counsel for the juvenile. Counsel shall be assigned to represent a juvenile when the juvenile and his parents, guardian, or custodian are financially unable to obtain adequate representation. In cases where the juvenile and his parents, guardian, or custodian are financially able to obtain adequate representation but have not retained counsel, the magistrate may assign counsel and order the payment of reasonable attorney's fees or may direct the juvenile, his parents, guardian, or custodian to retain private counsel within a specified period of time.

The magistrate may appoint a guardian ad litem if a parent or guardian of the juvenile is not present, or if the magistrate has reason to believe that the parents or guardian will not cooperate with the juvenile in preparing for trial, or that the interests of the parents or guardian and those

of the juvenile are adverse.

If the juvenile has not been discharged before his initial appearance before the magistrate, the magistrate shall release the juvenile to his parents,

guardian, custodian, or other responsible party (including, but not limited to, the director of a shelter-core facility) upon their promise to bring such juxphile before the appropriate court when requested by such court unless the magistrate determines, after hearing at which the juvenile is represented by counsel, that the detention of such juvenile is required to secure his timely appearance before the appropriate court or to insure his safety or that of others.

# § 5032. [Arrest, detention and hail.] Detention prior to disposition.

Whenever a juvenile is arrested for an alleged violation of any law of the United States, the arresting officer shall immediately notify the

Attorney General.

Eff the juvenile is not forthwith taken before a committing magistrate, he may be detained in such juvenile home or other suitable place of detention as the Attorney General may designate for such purposes, but shall not be detained in a jail or similar place of detention, unless, in the opinion of the arresting officer, such detention is necessary to secure the custody of the juvenile, or to insure his safety or that of others.

In no case shall such detention be for a longer period than is necessary to produce the juvenile before a committing magistrate.

The committing magistrate may release the juvenile on bail, upon his own recognizance or that of some responsible person, or in default of bail may commit him to the custody of the United States marshal, who shall lodge him in such juvenile home or other suitable place of detention as the Attorney General may designate for that purpose.

The juvenile shall not be committed to a jail or other similar institution, unless in the opinion of the marshal it appears that such commitment is necessary to secure the custody of the juvenile or to

insure his safety or that of others.

EA juvenile detained in a jail or similar institution shall be held in custody in a room or other place apart from adults if facilities for

such segregation are available.

A juvenile alleged to be delinquent may be detained only in a juvenile facility or such other suitable place as the Attorney General may designate. Whenever possible, detenion shall be in a foster home or community based facility located in or near his home community. The Attorney General shall not cause any juvenile alleged to be delinquent to be detained or confined in any institution in which adult persons convicted of a crime or awaiting trial on criminal charges are confined. Alleged delinquents shall be kept separate from adjudicated delinquents. Every juvenile in custody shall be provided with adequate food, heat, light, sanitary facilities, bedding, clothing, recreation, education, and medical care, including necessary psychiatric, psychological, or other treatment.

# § 5036. [Contracts for support; payment.] Speedy trial.

The Director of the Bureau of Prisons may contract with public or private agencies or foster homes for the custody, care, subsistence, education, and training of juvenile delinquents and may defray the cost of such custody, care, subsistence, education, and training from the appropriation for "Support of United States prisoners" or such other appropriation as the Attorney General may designate.

If an alleged delinquent who has been detained pending trial is not brought to trial within thirty days from the date when such juvenile was arrested, the information shall be dismissed with prejudice, on motion of the alleged delinquent or at the direction of the court, unless the Attorney General shows that additional delay is unavoidable, caused by the juvenile or his counsel, or consented to by the juvenile and his counsel. Unavoidable delay may not include delays attributable solely to court calendar congestion.

# § 5037. [Parole.] 1 Rights in general.

IA juvenile delinquent who has been committed and who, by his conduct, has given sufficient evidence that he has reformed, may be released on parole at any time under such conditions and regulations as the Board of Parole deems proper if it shall appear to the satisfaction of such Board that there is reasonable probability that the juvenile will remain at liberty without violating the law.

A juvenile charged with an act of juvenile delinquency shall be accorded the constitutional rights guaranteed an adult in a criminal prosecution, with the exception of indictment by grand jury. Public trial shall be limited to members of the press, who may attend only on condition that they not disclose information that could reasonably be expected to reveal the identity of the alleged delinquent. Any violation of that condition may be munished as a contempt of court.

### § 5038. Dispositional hearing.

(a) If a juvenile is adjudicated delinquent, a separate dispositional hearing shall be held no later than twenty court days after trail unless the court has ordered further study in accordance with subsection (c). Copies of the presentence report shall be provided to the attorneys for both the juvenile and the Government at least three court days in advance of the hearing.

(b) The court may suspend the adjudication of delinquency or the disposition of the delinquent on such conditions as it deems proper, place him on probation, or commit him to the custody of the Attorney General. Probation, commitment, or commitment in accordance with subsection (c) shall not extend beyond the juvenile's twenty-first bitthday or the maximum term which could have been imposed on an adult convicted of the same

oftense, whichever is sooner.

(c) If the court desires more detailed information concerning an alleged delinquent, it may commit him after notice and hearing at which the juvenile is represented by counsel, to the custody of the Attorney General for observation and study by an appropriate agency. Such observation and study shall be conducted on an outpatient basis, unless the court determines that in patient observation and study are essential. No alleged delinquent may be committed to the custody of the Attorney General for study and observation without the consent of his attorney and his parent, custodian, or guardian. Unless the juvenile upon advice of counsel consents, no judge who has read or heard social data regarding an alleged delinquent as a result of such study, or in the course of a transfer hearing, shall preside over the hearing to adjudicate the delinquency of the juvenile. In the case of an adjudicated delinquent, such study shall not be conducted on an inpatient basis without prior notice and hearing. The agency shall make a complete study of the alleged or adjudicated delinquent to ascertain his personal traits, his capabilities, his background, any previous delinquency or criminal experience, any mental or physical defect, and any other rele-

<sup>&</sup>lt;sup>1</sup> See hereinafter § 5042. Parole.

vant factors. The Attorney General shall submit to the court and the attorneys for the juvenile and the Government the results of the study within thirty days after the commitment of the juvenile, unless the court grants additional time.

## § 5039. Use of juvenile records.

(a) Upon the completion of any formal juvenile delinquency proceeding, the district court shall order the entire file and record of such proceeding sealed. After such sealing, the court shall not release these records except under the following circumstances:

(1) inquiries received from another court of law;

(2) inquiries from an agency preparing a presentence report for

another court;

(3) inquiries from law enforcement agencies where the request for information is related to the investigation of a crime or a position within that agency;

(4) inquiries, in writing, from the director of a treatment agency or the director of a facility to which the juvenile has been committed

by the court; and

(5) inquiries from an agency considering the person for a position

immediately and directly affecting the national security.

Information about the sealed record may not be released when the request for information is related to an application for employment, license, bonding, or any civil right or privilege. Responses to such inquiries shall not be different from responses made about persons who have never been involved in a delinquency proceeding.

(b) The entire file and record of juvenile proceedings where an adjudication of delinquency was not entered shall be destroyed and obliterated by

order of the court.

(c) District courts exercising jurisdiction over any juvenile shall inform the juvenile, and his parent or guardian, in writing, of rights relating to the sealing of his juvenile record. The information in these communications

shall be stated in clear and nontechnical language.

(d) During the course of any juvenile delinquency proceeding, all information and records relating to the proceeding, which are obtained or prepared in the discharge of official duty by an employee of the court or an employee of any other governmental agency, shall not be disclosed directly or indirectly to anyone other than the judge, counsel for the juvenile and the government, or others entitled under this section to receive sealed records.

(e) Unless a child who is taken into custody is prosecuted as an adult—
 (1) neither the fingerprints nor a photograph shall be taken, without

the written consent of the judge; and

(2) neither the name nor picture of any child shall be made public by any medium of public information in connection with a juvenile delinquency proceeding.

### § 5040. Commitment.

A juvenile who has been committed to the Attorney General has a right to treatment and is entitled to custody, care, and discipline as nearly as possible equivalent to that which should have been provided for him by his parents. No juvenile may be placed or retained in an adult jail or correctional institution.

Every juvenile who has been committed shall be provided with adequate food, heat, light, sanitary facilities, bedding, clothing, recreation, education,

and medical care, including necessary psychiatric, psychological, or other care.

Whenever possible, the Attorney General shall commit a juvenile to a foster home or community-based facility located in or near his home community.

## § 5041. Support.

The Attorney General may contract with any public or private agency or individual and such community-based facilities as halfway houses and foster homes, for the observation and study and the custody and care of juveniles in his custody. For these purposes, the Attorney General may promulgate such regulations as are necessary and may use the appropriation of "support of United States prisoners" or such other appropriations as he may designate.

### § 5042. Parole.

The Board of Parole shall release from custody, on such conditions as it deems necessary, each juvenile delinquent who has been committed, as soon as the Board is satisfied that he is likely to remain at liberty without violating the law.

# § 5043. Revocation of parole or probation.

Any juvenile parvies or probationer shall be accorded notice and a hearing with counsel before his parole or probation can be revoked.

# APPENDIX

(The following information is included as an appendix to the Committee's report on S. 821:)

### APPENDIX A

JOINT LETTER FROM ATTORNEY GENERAL AND SECRETARY OF HEALTH, EDUCATION, AND WELFARE URGING ESTABLISHMENT OF SINGLE STATE PLANNING AGENCIES FOR THE LEAA PROGRAM AND THE HEW JUVENILE DELINQUENCY PREVENTION AND CONTROL ACT PROGRAM (FEBRUARY 13, 1969)

### OFFICE OF THE ATTORNEY GENERAL, Washington, D.C.

DEAR GOVERNOR—: Many States have indicated an interest in the fullest possible integration at the Federal, State and local levels of crime and juvenile delinquency programs being developed in response to the Omnibus Crime Control and Safe Streets Act of 1968 and the Juvenile Delinquency Prevention and Control Act of 1968. In fact, eighteen governors have designated a single state planning agency to coordinate programs under both Acts. The Department of Justice and the Department of Health, Education, and Welfare fully support the view that the coordination of these programs at all levels of government, both in planning and action efforts, is essential to quality results and best return for funds expended.

In the interest of effective coordination, it is desirable to have a single State planning agency and policy board, which would submit a single comprehensive plan. Admittedly, current Federal guidelines have not fully reflected this kind of unification. To state the Federal position more clearly with regard to requirements for State planning agencies, the two Departments have agreed to the guides listed below which supersede any conflicting requirements in existing directives

of either Department.

1. The State planning agency must be in the executive branch and be empowered to conduct comprehensive planning functions and to receive and disburse funds.

2. The planning agency must have a policy making board which is responsible for reviewing, and maintaining general oversight

for the State plan and its implementation.

3. The policy board must be broadly representative of police services, juvenile delinquency, the courts, corrections, general units of government, and citizen interests. It must approximate proportionate representation of local and State interests. Juvenile delinquency representation should include persons from both public and private agencies concerned with delinquency prevention and rehabilitation.

OU

4. Membership of policy boards should be large enough to adequately reflect the foregoing representative elements and not

too large to impede working efficiency.

5. The policy board should be supported by committees, task forces or panels of specialized persons as necessary to accomplish its mission and provide broader involvement of professionals and citizens. For example, there may be several of these groups for juvenile delinquency prevention and control each covering separate aspects of the program and providing a voice for all interests, including those of youth, concerned with problems of juvenile delinquency.

6. Qualifications of full-time professional staff of planners should show evidence of varied backgrounds with regard to substantive programs, planning, and managerial experience.

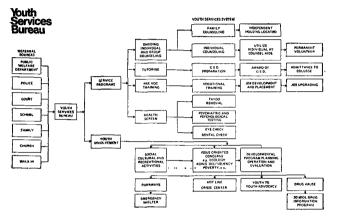
Our two Departments are exploring the possibility of integrating the requirements for State comprehensive plans, a single application, and joint funding. We also plan to actively explore opportunities for greater cooperation with other federal agencies and programs concerned with this area. We will be pleased to have suggestions from States on this matter.

Sincerely,

John N. Mitchell, Attorney General.

Secretary, Department of Health, Education, and Welfare.

### APPENDIX B



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# APPENDIX C

# TABLE I.-BREAKDOWN OF FISCAL YEAR 1972 JUVENILE DELINQUENCY EXPENDITURES BY LEAA

	Amount	Percent	Percent of \$136, 213, 334
Prevention :  Block Discretionary	\$19, 934, 592 1, 096, 442	94.8 5.2	
Total	21, 031, 034		15.4
Diversion: Block Discretionary	14, 143, 396 1, 540, 096	89. 2 10. 8	
Total	15, 683, 492		Yi.5
Rehabilitation : Blöck	37, 779, 491 3, 013, 773	92.0 8.0	
Total	40, 793, 264		29, 9
Upgrading resources: Block. Discretionary	30, 725, 095 2, 212, 286	93.3 6.7	24.2
:	32, 937, 361		
Drugs:	14, 431, 179 3, 262, 002	77. 4 22. 6	·····
Total	17, 693, 181 8, 075, 000		13.0 6.0
Total	117, 013, 735 11, 124, 599		100.0 85.4 14.6
Total	136, 213, 334		

### TABLE II

Prevention: 1. Information, education, public relations	\$1, 534, 153	\$100, 265	-
1 Information education public relations	\$1,534,153	\$100 265	
			6, 53
2. Police/community/youth relations	4, 365, 4/9	500, 000	10.02
3. School and community programs	4, 985, 479 9, 842, 309		0
4. Youth involvement	863.750		0
5. Volunteers	269, 675 2, 772, 794		0
Special youth services     Research and development	2, 772, 794	23, 400	84
/. Research and development	762, 874		0
Total	21, 031, 034	623, 665	2.96
iversion:			
1. Youth service bureaus 4 320 941			0
2. Advocacy programs 60 000			Ķ
2. Advocacy programs 60,000 3. Diagnostic and treatment services 2,466,278 4. Practical diverse 000 194 6. Practical diverse 000 19			ň
4. Pretrial diversion 909,184 5. Special youth services 7,877,089			ň
5. Special youth services 7.877.089			ň
e. Research Sp,000			Ō
Total 15,683,492		. 0	0
ehabilitation—Special treatment:			
1. Institutions	2 104 251	*** 700	F 01
	3, 104, 251	181, 790	5.8
	4, 294, 672 590, 250	100, 384	2. 3
	558, 503	25, 000	4, 2
5. Diagnosis/screening	1, 492, 087	Ų	ŭ

### TABLE 11-Continued

	Total amount	Hardware amount	Percent
REHABILITATION—COMMUNITY-BASED			
6. Probation/parole. 7. Residential centers. 8. Detention. 9. Research and development.	\$10,650,566 16,563,295 1,969,839 1,569,801	0 0 \$1, 353, 839 395, 000	0 0 68. 72 25. 16
	40, 793, 264	2, 056, 013	5. 04
Upgrading resources—Personnel:  1. Tranning/education. 2. Staffing. 3. Upgrading systems, equipment, procedures, facilities. 4. Construction. 5. Resparch/evaluation/planning. 6. Public relations and education in schools.	5, 749, 027 9, 691, 331 8, 956, 523 5, 636, 294 2, 802, 181 102, 115	0 0 861, 544 5, 636, 204 0	0 9.61 100 0
Total	32, 937, 381	6, 497, 748	19. 72
Drugs: 1. Prevention/education 2. Treatment/rehabilitation 3. Research/development 4. Personnel	5, 432, 370 11, 780, 417 420, 394 69, 000	0 0 0	0 0 0 0
Total . Final totals: Prevention Diversion Rehabilitation Upgrading resources.	17, 693, 181 21, 031, 034 15, 683, 492 40, 793, 264 32, 987, 381 17, 692, 181	0 623, 665 0 2, 056, 013 6, 497, 748	0 2.96 8 5.04 19.72
Total	128, 138, 352	9, 177, 426	7. 16

### APPENDIX D

# Law Enforcement Assistance Administration, Juvenile Delinquency Project Summaries for Fiscal Year 1972

Final totals LEAA fiscal year 1972 funding	
Prevention	\$21, 031, 034
Diversion	15, 683, 492
Rehabilitation	40, 793, 264
Upgrading resources	32, 937, 381
Drugs	17, 693, 181
Juvenile delinquency total	128 138 352

In addition to the above monies, approximately 25 percent of action funds available for the High Impact Cities Program (\$32.3 million in fiscal year 1972) will be spent in the area of juvenile de-

linquency.

Note.—The following is an extract from the total report of Fiscal Year 1972 funds. It shows all the prevention and diversion programs. It does not include rehabilitation, upgraded resources and drugs because of the volume of material involved.

PREVENTION	
Community involvement:	Amount
Information, education, public relations	\$1, 534, 153
Police/community/youth relations	4, 985, 479
Police/community/youth relations School and community programs	9, 842, 309
routh involvement	863 750
volunteers	960 675
Special youth services	269, 675 2, 772, 794
_	
Subtotal	20, 268, 060
Research and development	762, 874
_	
Prevention total	21, 031, 034

# PREVENTION: COMMUNITY INVOLVEMENT INFORMATION, EDUCATION. PUBLIC RELATIONS

State and title	l.D.	1972 funds	Summary
Alaska: Crime prevention equipment education. Community education program California: Develop and support munity education in criminal	com	\$15, 000 C/B 5, 000 C/B 609, 050 C/B	tiated; information projects will be initiated in 5 agencies; and a conference with the news media will be planned.
system operations. Connecticut: Public education, in tion and development of ant programs.	ccrime	25, 900 C/B	munity, Hiring of police cadets is a program possibility.
Florida: Community relations  Kansas: Prevention of crime-law er		C/B 50, 000	tem. This should be accomplished through increased communications between the of citizens and law enforcement agencies.  To provide public awareness of the extent of crime, and the respective responsibilities of citizens and law enforcement agencies.
ment. Improvement of community	rela	40, 000	Target groups are all youth of student age, including civic organizations involving Journal activities and programs for create new or expand the 3 existing community relations programs in metropolitan areas such as police cadet programs and police junior aide programs.
Massachusetts: Correctional pub formation. Michigan: Identification and pre- of potentially delinquent behi	vention	C/B 133, 333 C/B	by the Departments of Correction and Youth Services, fur Farine Board, and to Bolice or the Services of delinquent behavior on the part of youth who have been identified as "in danger of becoming delinquent by providing their parents with specialized training aimed at helping them guide and control the children.
child management training for P Missouri: Prevention and control and civil disorders by im community relations and publi	arents. of ricts	26, 849 C/B	enforcement response to civil disorders and the administration of justice under riot conditions.
cation.  New Mexico: Promote the invol of criminal justice system ag community relations program emphasis on evaluation of pr effectiveness and activities to in communication and understar with local poverty and minority people.	ency— s with rogram mprove nding	1, 750	To purchase films and splicer unit to be used by State police in an estimated 1,664 presentations annually statewide, to improve law enforcement—community relations with emphasis on minority youth.
North Carolina: Public education information regarding the content of the content	on andriminal	36, 000 Black	ponent parts, to youth.
justice system. North Dakota: Prevention of crir public education. Oklahoma: Educational program law and the criminal justice pro Pennsylvania: Educational progras signed to inform the public recrime and delinquency and to sticommunity action.	1: The		the alcohol abuser.  To improve understanding on the part of youth of the criminal justice system and to improve rapport between youth and those involved in the criminal justice system.  To increase public awareness of the nature and score of the crime problem and inform the public of measures which they may To increase public awareness of the nature and score of the crime problem and inform the public of measures which they may

### PREVENTION: COMMUNITY INVOLVEMENT—Continued

### INFORMATION, EDUCATION, PUBLIC RELATIONS-Continued

State and title	I.D.	1972 f <b>u</b> nds	Summary
Texas: Law reform  Virginia: Juvanile delinquency prevention programs—community/corrections program.		-C/B	public, including adults and juveniles, of existing and proposed laws.
Mashington: Provide improved public education, information and under- standing of crime, came prevantion, and the criminal justice system.		50, 000 C/B	To provide public information designed to increase understanding of crime and delinquancy, their prevention, and the operation of the criminal justice system.
West-Virginia: Community crime preven- tion.		20, 000 C/B	To inform the public on ways of preventing crime, delinquency and drug abuse through the dissemination of information by units of local government, fraternal, social, civic or professional organizations. It is expected that up to 50 percent of the State's 1,1748,237 residents will be reached.
Guam: Law enforcement, public and .		C/B	Applice community relations seminar will be held and will include such participants as police, youth, religious representatives, social workers, teachers and others.
Puerto Rico: Public education on crime .			To support a general public education project implemented by the Kiwanis which will include Operation Crime Stop and a drug prevention project by the "Agape Experience."
Montana: Juvenile training program	72-DF-08-0012	8, 472 .CD	To educate 2D male juveniles of the Northern Cheyenne Tribe in youth problem areas emphasizing the law enforcement role in the over-all process.
			.POLICE/COMMUNITY/YOUTH RELATIONS
Alabama: Augmentation of Birmingham -Police Department's Youth Aid Bureau (high crime area).		\$81, 510 C/B	A group of men will be specially selected to work with jureniles. This will include seeking areas, where youths congregate, eabblishing capport with the young people, and assisting regular uniform officers in situations where juypailes, are the offenders.
Alaska: Police—community program		- 4,400 C/B	To continue to upgrade the police mage in youth, ethnic, and minority groups within the State. To work with youth in their ownenvironment, and to inform the public of ring prevention methods.
California: .improve law enforcement . . capacity.to prevent and control juventle delinguency.		- 691, 882 C/B	To reduce juvanile dilinguancy by praventing tis observence through taw enforcement activity in the community. One project will develop makes of intervence there saves programs at the plant of police intake, another will establish specialized delinquency prevention units in several law enforcement againsts.
Colorado: Strengthening and improving .	·	_ 2,0,000 C/B	To implement a pilot police-youth center in a metropolitan core area.
Delawere: To upgrade the programs and resources of the juvenile justice sys- tem—special police-youth programs.		. 15,000 C/B	To develop programs within local police departments that will promote a fair, consistent and understanding approach in handling , juyanile problems.
Georgia: Juvenile delinquency prevention		14, 583 G/B	To stimulata the interest of youth, to inform them of the duties and functions of law enforcement agencies and to teach by <del>"example the virtues of responsible citizanship while offering contact and interaction with police in a nonbelligerent setting."</del>
Police community relations		<sub></sub> 9, 375	The primary objective of this program is to bring the police and the citizens of the community, including youth, together in a -entinuing relationship in order to -reduce suspicion, misunderstanding-and-hostility, and to discover their mutuality of in terest and responsibilities.
School relations officer			The primary objective of this program is to foster an improved relationship between the schools and the police department by

Indiana: Community based prevention	69, 400	To establish programs to involve police and youth in group recreational and related activities in 10 cities.
profitains-	C/B	To endeurage youth to become acquainted with law enforcement personnel on an informal basis, and to reduce the tension that
Louisland: Law enforcement—youth*	114, 56U	10 ensourage years to second adulation with the control of the second adulation to continue increase in a continue in the control of the cont
Massachusetts: My friend the policemen.	40, 000	City of Boston: Programmarranges wisits for minority-groups of disadvantaged Boston: Section control of the Con
Missouri: Law enforcement and com-	C/B 55, 168	
munity relations.	C/B	youth corps (involving approximately 200 youths, agos 12 to 18) will be established in St. Louis,
Nebraska: Police/youth relations pro-	39,000 C/B	To support recreational programs to help policemen understand and dearwith juveniles at the point of dearwith juveniles at the point of
grams. Community relations projects	19.000	To encourage and improve police community relations with the general public (adults and students) through public control
	C/B	with law enforcement officers in an unrestrictive atmosphere.
New Jersey: Improvement of police-juvenile re-	300 '000'	To develop and implement programs within police departments that will promote a fair, consistent and understanding approach
lationships.	C/B	in handling juvanile problems, and that may help to create a lavolable police image allows young to create a lavolable police image allows
On the local second in least to	1 022 000	the law.  To establish delinquency prevention projects in the community that involve active participation by interested citizens able
Community involvement in local juvenite delinquency prevention pro-	C/B	to differ and and answering to yours who are elimen without the support of interviews
grams.	F80 000	economic deprivation and social alternation.
New York: Improving police services to	C/B	To expand and improve police services to youth; projects will include adult printing and training pera professionals to assist trained youth officers; dependralizing some existing juvenile bureaus; recruiting and training pera professionals to assist.
•		Juvenile officers, etc.  To prevent civit disorders through a variety of activities which promote dialogue and improve understanding among diverse
North Carolina: Multi-agency community programs to prevent and control civil	50,000 Block	community groups. Interaction with youth will be stressed.
disturbances.	Dicon	vinnent, gp.
Ohio: Comprehensive autotheft prevention	241 000	To reduce-opportunities for auto theft by increased public education efforts and to involve youth themselves in prevention
program	C/B	programs.
Police-community relations	378, 100 C/B	
	U/B	
		gram, multimedia center, and neighborhood action coordinating countries.
Oregon: Primary prevention programs	333, 595 C/B	
	-,-	to work in the schools in a specific district, incorporation of the schools in the school of delignment prone
Rhode Island: Expansion of police-	5, 000	To expanse 9 molecular services and area of the services of position and t
invenile seminars	20 1000	parity youth's understanding of police operations.  To enable police officers and the public, especially young people in low-income areas; to meet each other on a nonpolice basis.
Texas: Off-duty police in civils and rec- creation programs.	C/B	To shadio parce dilicato and the position of t
IIIah -	E2 225	To establish a close haison between police and school in approach to youth behavioral problems.
School resource officer and criminaliustice system educational program.		
Public safety athletic league	6, 000 C/B	:- white man in reconstituted activities of disadvantaged Volto
Virginia: Strengthening and improving	16, 666	
crime prevention—special projects.	C/B	

### PREVENTION: COMMUNITY INVOLVEMENT-Continued

### POLICE/COMMUNITY/YOUTH RELATIONS-Continued

		SCHOOL AND COMMUNITY PROGRAMS
New Jersey. Juvenile delinquency pre- 72-DF-02-0004 vention.	98, 740 C/D	qualified youth patrol project designed to improve points community foliations, storigeness and address storigeness.
hichigan: Police-community relations 72-DF-05-0036	C/D	police. It will provide for 2 degreed officers to act in a liaison capacity with the students.  To fund a youth patrol project designed to improve police-community relations, strengthen the city's efforts to recruit and trai
ouisiana: Selective Juvenile delinquency 72-DF-06-0009 prevention program.		This will utilize police personnel to work jointly with public and private agencies, as wen as community groups, in a concern effort to prevent delinque by in the city of Baton Rouge.
(entucky: Improvement of police/com- 72-DF-04-0033 munity cooperation and understanding.	C/D	community. Various projects include: Teens on patrol and work study programs; neighborhood assistance community only work opportunities now program; community youth auxiliary; and training program for police personnel and youth pa
Safe streets unit		conflicts at the junior ingr. night sciencion, and cultege levers.  To continue the operation of the safe streets until which was established to work in the ghetto area and specialize in juveni delinquency, community services and family crisis intervention.  To enhance cooperation between the police and the community by focusing police efforts and resources on the community of focusing the community of the comm
londa.  Coral Gables youth dialogue and 72-DF-04-0039  crime prevention project.		To provide for a special unit within the police department specifically designed to prevent youth/community and youth/police conflicts at the junior high, high school, and college level.
alifornia. Neighborhood police service 72-DF-09-0017 center.	50, 000 C/D	To establish a neighborhood police service center in the Fresno model neighborhood area which will coordinate some existi programs and work toward preventing delinquency and crime.
Police-social workers		To provide referral and counseling services to youths and parents who have inamiested a need through police contects.
Police-juvenile bureaus	225, 000 C/B	To establish youth aid divisions within local police agencies and to supplement the services of those divisions are say in order
Police-youth interaction	70, 000 C/B	To prevent juvenile delinquency, promote police-youth understanding and cooperation, and create interest among youth police work as a career.
Visconsin: Police-school (iaison	60, 000 T C/B	To utilize police and school personnel in identifying and treating the potential delinquent and the causes of delinquency.

1972 funds Summary LD. State and title

Georgia: Community relations improve-

ment—city of Brunswick.

Connecticut: Education programs to assist the ..... troubled youth. and to assist achools to aid children at risk from becoming delinquent. Statewide crisis intervention center for correctional agencies.

operation of local government.

\$89,500. To promote the development of alternative educational situations in large urban schools to meet the needs of different groups of students; to identify school procedures which contribute to delinquency in schools and to promote changes among them : and to assist sensors to all conteres at the state of the

Interprogram in consumed to cutize described where the requirative and control necture and aggressive young me develop-ment of a constructive personal relationship between workers and youth. The major emphasis is on provision for alternatives and choices through proper leadership for idle youths.

To continue operation of its multiservice community conter which operates a complaint referral service, a summer employment program, a youth theater group, a junior police league, and a micro-city governmental program to acquaint youth with the District of Columbia A proposal for the continuation of the roving leader secondary school project.

Hawaii:  Delinquency prevention through		The objectives are to approach delinquency prevention at the most basic level, that of the community, to develop a network of community youth service centers, to join together public and private resources and to develop centers to which youth increasingly gravitate because of meaningful rejationships and services offered by concerned adults and peers, and the Te encourage the statewide school system to define its responsibility for the prevention and treatment of delinquency, and the
port to the development of preven- tive programs in the schools.	C/B	To encourage the statewide school system to define its responsibility for the prevention and treatment of communication of services it needs from the community, to develop close working reliationships between the schools and the communities, and to provide support to programs in the schools and in the communities.  To provide training to 200 high school teachers in the legal process, so they impart to students a greater understanding of the
Public education	76, 400 C/B	
School delinquency programs		assign them specialized casendars of pre-called in a juvenile probation department.
lowa: Special enforcement problems— youth enforcement. Kansas: Prevention and control of ju+	106, 900	go from school to school to work in solected cities for court enforced programs designed to provide socially rewarding activities to
vernie delinquency—carrections. Kentucky: Development of community re- sources—school delinquency preven-	Block 387, 000 C/B	obtentially delinquent youth to achieve possible diversion from the juvenile plasses and the properties of juvenile offenders. The objective is to involve Kentucky's educational system in delinquency presentions and inchesitations for juvenile offenders, through three demonstration projects which will provide educational, vocational and recreational activities of provided classroom halp for delinquents in line or institutionalization and provide specialized educational settings for delin- grounds classroom halp for delinquents in line or institutionalization and provide specialized educational settings for delin-
tion demanstration.  Louisiana Identification and reduction of	300, 000	quents returning from institutions of indifferent values of the public school system to deal more effectively with
Louisiana Identification and reduction of causes of crime.  Massachusetts Somerville community vouth program.	65, 000 C/B C/B	adjudicated delinquents; and undersup using programs as out-reach conters, the project staff develops contact and Using informal coffee houses in 4 target street corner gang locations as out-reach conters, the project staff develops contact and rapport with community youth and has initiated program planning based on the expressed interests and needs of the partici-
Massachusetts: Boston youth advocacyprogram.	265, 000 C/B	pants in each cottee house.  Program consists of 4 components addressing the problems of community, school, juvenile justice system, and coordination.
Michigan: Michigan: Co mmunity relations—police school haison units-	125, 714 C/B	This project will provide specially trained police units to work in schools with students to improve community relations, encourage cooperation, and inform them about the criminal justice system. Potentially 15,000 students will benefit from the program in 1972.
Identification and prevention of po-	133, 334 C/B	program in 1972. To reduce the number of incidents of juvenile offenses by organizing neighborhood adults in such ways as to decrease the op- portunities for delinquent behavior.
neighborhood delinquency preven- tion organization. Community alternatives to officied court processing for apprehended youth—educational opportunities	233, 334 C/B	certain classifications and who have been expelled, excluded, suspended of have dropped dut of the solution of
for behavior problem youth. Minnasota: Provision of direct services to youth and others prior to formal contact with the criminal justice system.	320, 000 C/B	To assist persons with problems that otherwise may have direct conflict with the law, by early identification of and appropriate community response to those problems.
New Hampshire: Specialized teacher training in iden- tification of predelinquent be-	5, 000 C/B	To contribute to reducing juvenile delinquency by providing teachers with the training and skills necessary for the early identifi- cation of predelinquent behavior.
havior. Multiservico contars for juveniles	74, 000 C/B	

### PREVENTION: COMMUNITY INVOLVEMENT-Continued

### SCHOOL AND COMMUNITY PROGRAMS-Continued

State and title	1.D.	1972 fund	Summary
New York: Comprehensive crime prey programs for high crime net hoods.	rentionghbor-	2, 650, 000 C/B	Approximetely 8 projects to organize residents especially youth, of high crime neighborhoods around issue of crime and delin- quempy in order to create a structure which enables the organized residents to define-critical crime problems in the community and to influence desirively the planning, development and operation of program activities amend at ameliorating these problems, to deliver range of needed services in areas of education, employment, youth recreation, basic social serv- ices, and youth advoccy, and case diversion from criminal justice agencies.
Single-purpose prevention so for residents of high crime borhoods.	neigh-	1, 325, 000 C/B	Projects funded will emphasize 1 of the following elements: Efforts to organize residents of high crime neighborhoods; efforts
North Carolina: Provide program identification and prevention of tially delinquent behavior.	poten-	C/8	To establish programs that will provide for adult guidance; comprehensive evaluation and the improvement of services to pre- definquent youth: In addition, research to devise a model for determining what children are apt to become delinquent will be carried out.
North Dakota: Prevention of crim public education.	e and	40, 000 C/B	To allow communities to develop prevention programs dealing with the use of alcohol and/or the commission of criminal acts.
Oklahoma: School related program	ns	189, 500	To increase communication and coordination between school personnel and juvenile justice personnel: to identify and physical treatment for precision personnel and delinquent youth in school, and to prevent and treat delinquency by providing tutorial courseling-services, high school programs leading-to-certified graduation, work study and other training for youth's in mest of
Pennsylvania: Establishment of programs des	signed	1, 234, 313	To establish community-based definquency prevention programs. Various school and community-based programs are in-
to prevent deimquency. Improvement of community tions through the establish and/or expansion of crimina tice system—community reli programs:	rela-	100, 000 C/B	visioned.
South Dakota: Juvenile delinquency troi programing.	corr	120, 000 C/B	To continue to develop and expand methods for the prevention and control of juvenile delinquency. Various prevention projects are pleaned.
Texas: Delinquency prevention- demic and sucret adjustment prive	-aca+		Objective is early detection of potentially delinquent behavior and referral of the child and his family to appropriate resources to for estal idejinquent behavior.
West Virginia: School social adjust and guidence unit.	ment	50, 000 C/B	To (1) identify youth who exhibit behavioral and adjustment problems; (2) provide group and individual counselfing especialists designed for overcome children's learning and behavioral problems; and (2) train teachers and parents in modern methods of redirecting the misbehavior of young people. It is anticipated that this program will serve 603 students, 300 parents; and 24 teachess:
Wisconsin: Delinquency prevention control in educational settings.  American Samoa: Establishment of s		150, 000 C/B 5, 475	To provide alternatives to the currently over-taxed personnel and facilities of the traditional school system-for providing adva- cational and related services to problem youth.  To improve the image other parties of epartment in the public school system; and to provide a deterrant factor toward delinquency
safety patrol. Massachusetts: Boston high school		C/B 64, 910	by channeling the energies of grade-school students toward constructive activities.  To provide funds to hire minority teaching interns, to sid newly formed advisory souncils and to encharge connective efforts.
response programs. Michigan: Rehabilitative day care an care for juvenile and youth offende	d full 72–ED–005 ers.		between the school and police departments in order to improve school disorder prevention egpabilities.  To provide special education and counseling programs for youth whose disruptive and predefin quent behavior has necessitated their removal from the regular school classroom (will reach an estimated 216 suspendees).

New York: Disorder reduction through 72-DF-02-0011 effective campus administration of	64, 497 C/O	To reduce-compus disorders through increasing communication, developing compus criminal justice models, preparing standards and training majorial for security and other personnel, and expanding a published monograph into a text.
justice. North Carolina: Cherokee Boys Home 72–ED-04–0012 training and enrichment program.	40, 000 E/D	To provide a home, vocational training, jobs, education, and recreation for approximately 100 Cherokee boys as a solution to many of the delinquency and predelinquency problems on the reservation.
		YOUTH INVOLVEMENT
Alaska: Community center assistance	\$30, 000 C/B	To provide financial assistance to public or private agencies or organizations to establish delinquency prevention programs in centralized community youth center operations. From 100 to 200 young people (age range 12 to 18 yr) should be involved in approximately 2 or 3 cities.
Connecticut: Delinquency prevention—summer youth employment.	C/B	
Youth involvement.	C/B	To involve approximately 200 to 250 youths in projects aimed at involving youth in legal and judicial decisionmaxing processes and services designed to a feet youth; to increase experience of youth at an early aga to the workings of the criminal justice and services designed to a feet youth; to increase experience of youth at an early aga to the workings of the criminal justice.
District of Columbia: A proposal to pro- vide equipment for youth courtesy patrols.	C/B	The purpose is to reduce the likelihood of viginent reminal acts per pure lated upon circuits in a performance in performance that use of tenenge youth a ching as sentinels in places where women and young girls living, in the community are most likely to be attacked. The youth courtesy patrol's main function would be to be on the alart for acts of violence and to report any
Hawaii: Youth involvement	C/B	The objectives include involvement of youth in the planning and implementation or programs andressed to juverine uninquency and control, support of local initiatives addressed to youth involvement and integration in program planning and implementation, and the provision of technical assistance in assessing local needs and resources, development of models, provision of
Alaska: Community center assistance program.	30 <sub>7</sub> -000 C/E	To provide financial assistance to public or private agencies or organizations to establish definduency prevention programs in
Connecticut: Delinquency prevention—summer youth employment.	C/B	
Youth involvement	C/B	To involve approximately 200 to 250 youths in projects aimed at involving youth in legal and judicial discission making processes and services designed to affect youth; to increase exposure of youth at an early age to the verkings of the criminal justice and services designed to affect youth; to increase exposure of youth at an early age to the verkings of the criminal justice.
District of Columbia: A proposal to provideequipment for youth courtesy parrols.	18, 750 C/E	The purpose is to reduce the likelihood of violent criminal acts perpetrated boon criteris in a particular are grounded into an unconstitute use of teenage yearth acting as sendinels in places where wo near and young girls living in the cammanity are most likely to be affected. The youth claudesy patrol's might function would be to be on the alert for act of violence and to report any
Hawaii: Youth invo'vem:n'	20, 000 C/E	and control, support of local initiatives addressed to youth involvement and integration in program planning and implementa- tion and the provision of technical assistance in assessing local-needs and resources, development of models, provision of
Rhode Island: Educational, vocational,recreational atternatives—youth can-	C/E	To provide afternative activities to unlawful behavior, through encouraging youth planning and administration of projects.
tars. Wisconsin: Prevention of delinquency in the community.	520; 000 C/E	To focus on the target group of youth which has greatest likelihood of developing delinquent integrations; programs will attempt to involve youth in planning and carrying out programs.

### PREVENTION: COMMUNITY INVOLVEMENT-Continued

### VOLUNTEERS

State and title	1 D.	1972 fund	Summary
Alabama. Parole and probation off projects for the city of Birmingham. Kantucky: Development of commun-resources—community volunteers. Nebraska:		\$5, 800 C/B 165, 375 C/B	and probation.
Volunteer programsVolunteer programs State staff		71, 500 C/B 27, 000 Block	To find toubled youth in the community either by seeking out the predelinquents and to match them up on a one-to-one basis with a volunteer in the community.  To expand the model project in order to enable every court to have volunteer court counseling services and to enable every county to have an organization which can provide a halping relationship between a troubled youth, a responsible adult and services for youth.
			SPECIAL YOUTH SERVICES
Connecticut: Community-based youth service pr grams.		\$220, 000 C/B	To promote development of new or experimental youth services or techniques of service delivery which have a capability to respond to the needs of the youth population as they define them; and to encourage development of alternatives to the
Youth services bureau		95, 000 C/B	criminal justice system.  To provide support for the coordination of both community services for juveniles and delinquency prevention programs. The focus of the bureau will be on utilizing available community resources to provide services to delinquent or troubled youth. Each bureau is expected to serve between 150 to 400 vouths per vear.
District of Columbia: A proposal for the implementation of project women. Hawaii: Counseling service for children affected by divorce or separation proceedings of their parents.	en	36, 564 C/B 25, 000 C/B	This program hopes to involve girls between the ages of 12 and 17 from disadvantaged environments in socially and educationally productive personal experience to counteract conditions and/or attitudes that disposed them toward juvenile delinquency.
Indiana: Community-based prevented programs. lowa: Preventive counseling		C/B	To continue support of 17 existing youth services bureaus and to start programs in 9 additional cities. These programs refer youth in danger of becoming delinquent to appropriate treatment lograms. Approximately 7,500 youths will be reached. To aid in the prevention of adult and juvenile crimes as well as drug abuse through programs which recognize and check signs of potential deviant behavior. It will include youth centers, crisis intervention programs, juvenile employment programs and drue programs.
Michigan: Identification and prevention potentially delinquent behavior—ser- ices to neighborhood youth reference groups.	v-	133, 333 C/B	
New Mexico: Support of community-based your involved in delinquency prever tion programs.	1-	125, 055 C/B 7, 500	To support the expansion or initiation of at least 4 community-based juvenile delinquency prevention and control programs with priority given to communities with high delinquency rates or potential and to programs with active youth involvement in their formulation and operation.  To deal with the treatment of youth problems involving the children of ex-offenders who have unique problems which when
Social reorientation of publ offenders. Ohio: Center for forensic psychiatry		Block 23, 400 C/B	combined often cause these youth to be considered delinquent.  Among other things, center will consult with local agencies in provision of preventive treatment for juveniles and adult pre- delinquents.
Rhode Island: Group day care and mult service centers.		50, 000 C/B	To provide services to prevent youths "at risk" from entering the criminal justice system.
Vermont: Youth resource bureaus		24, 000 C/B	To establish centralized youth resource bureaus to stimulate detection and prevention of juvenile delinquency and to establish and coordinate community resources to aid youth who exhibit symptoms of delinquent behavior.

Virginia:		
Juvenile delinquency prevention pro- grams—Youth services training section.	87, 000 C/B	include identifying training needs. providing scholarship and tuiting assistance establishing energy conditions of the section
Juvenile delinquency prevention pro- grams—Innovative prevention programs.	215, 000 C/B	in-service training and centralization of training to assure coordination.  To institute certain innovative programs such as delinquency prevention boards, coordination of preventive units and special counseling.
Guam: Prevention and control of juvenile delinguency.	C/R	
Virgin Islands: Prevention and control of juvenile delinquency: Counseling and guidance.	22, 600 C/B	
Alabama: Expanded Juvenile delinquency 7 program.		To combat juvenile delinquency through 4 projects; a prevention project; probation and parole services; a community service
Montana. Group foster home 7	E/D	UMICET; and a vouth auxiliary police program
New Hampshire: Crisis intervention cen- ter.	72-DF-01-0024 38, 180	system as potential proports or predefinquents.  To establish a drop-in center through which the youth of Manchester will be afforded information, referral services, social, and recreational programs.
New Jersey: Comprehensive program for 7 prevention of juvenile delinquency.	72 - DF-02-0003 130, 600 C/D	To develop a comprehensive youth service program designed to expend the recreational educational and training posturities
New Jersey: Juvenile delinquency pre- 7 vention package.	72-DF-02-0002 96, 445 C/D	offered to youth and thereby prevent juvenile delinquency.  To combine an educational center, social, and recreational activity and drug education for youths in the city of Hoboken.
	72-DF-01-0025 45, 521 C/D	To provide means and motivation for income and self-improvement of crime susceptible youth, compatible with continuing public school education where possible.
		RESEARCH AND DEVELOPMENT
Maryland: Early identification and treat	CIP	The objective is to develop methods for identifying potential delinquents at early stages, and for bringing community service to bear.
Minnesota: School practices	18, 000 C/B	
New Hampshire: Delinquency prevention research.	C/B	To determine whether delinquency can be effectively reduced by providing drop-outs with alternative forms of education.
New York: Establishing and strengthen- ing prevention and research and pro- gram assessment capability in high incidence/activity areas.	460, 000 C/B	To create or improve prevention research and program assessment capability of major cities and counties.
North Dakota: Community programs for the prevention and control of juvenile delinquency.	30, 000 C/B	To enable a State university to develop a research center to conduct relevant research on crime and delinquency.
Oklahoma: Community-based prevention programs.	C/R	To continue 3 and develop 2 additional demonstration projects focusing on minority problems, areas of rapid economic and
Rhode Island: The collection of baseline data on invenile crime in Rhode Island		population change or unusually high delinquency rates and urban-rural differences.  To provide information for planning and program development in specific problem areas for which currently little or no data is collected.
Rhode Island: Early intervention, detec- tion, and treatment research.	28,000 C/B	To develop valid and reliable diagnostic measures capable of identifying children "at risk" of developing serious behavior problems; to develop specialized intervention techniques for overcoming problems indicated in diagnostic assessments of
Alabama: Phase III, planning grant 73		young children. To initiate a planning effort for phase III of LEAA's overall criminal justice program effort; focus is on prevention of crime and criminality
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Youth service bureaus	Amount \$4, 320, 941
Youth service bureaus Advocacy programs Diagnostic and treatment services Pretrial diversion Special youth services Research	2, 466, 278 909, 184 7, 877, 080
Research Diversion total	50, 000 15, 683, 492

#### DIVERSION-YOUTH SERVICE BUREAUS

State and title	I.D.	1972 fund	Summary
Alabama: Community relations and youth development. Arkansas: Comprehensive community based juvenile program.		CIB	To establish youth services bureaus which will coordinate the fragmented work of existing youth-serving agencies, and which will provide job placement assistance, counseling services and recreational programs for youth.  To establish youth service bureaus, which should possess the capability to coordinate the activities of the public agencies operating in the community, including the juvenile correction system, private voluntary organizations and exical service operating to the community, including the juvenile correction and state of the properties of the propert
Colorado: Prevention, diversion and treat- for youth at the community level (youth service bureaus).		100; 000 C/B	To provide for the formation of a nanosrciva agency staffed to accept referrals from 1sw enforcement agenties, courts, community agencies, parents, and youth themselves. 4 basic functions: (1) Diagnoss, immediate service, and referral; (2) resource identification and coordination; (3) resource development; and (4) youth advocate. To provide services for an estimate 10 for months.
Connecticut: Community multi-service		70, 000 C/B	To provide support services for pre-delinquent and delinqent youths referred to the center by parents, police, school officials, Juvenile Court and other agencies.
center for juveniles (field service unit). District of Columbia: A proposal for the implementation of a pilot youth service center.		80, 705 C/B	The major objectives of this program is to provide the community with a place where youth who need assistance can be referred to the proper sources.
tilinois: Continuing youth service bureaus		90,000	To those YSB's having formed a close relationship with criminal justice agencies in the area and demonstrating impact upon
New youth service bureaus		C/B 300, 000	the community.  To provide for the expansion of the Youth Service Bureau concept. It is expected that drug abuse projects will be incorporated.
Iowa: Special enforcement problems— Youth enforcement. Maine: Youth service bureau		.G/B 81, 875 C/B 65, 500 C/B	An area service bureau to help youth meet their needs. Services to include counseling, psychological help, social service and edu-
Maryland: Community-based delin- quency prevention program.		831, 000 C/B	To develop a State-wide system of community-based programs incorporating all the various services within the community that
Massachusetts: Youth resources bureau		262, 254 C/E	To provide a means for coordinating and providing services for delinquent youths in Brockton, Cambridge, New Bedford, Spring
Michigan: Community alternatives to official court processing for apprehended youth—Youth services and resources bureau.		C/B	3 To provide for the formation of a noncoercive agency staffed to accept referrals and to provide both referral and direct services aimed at diverting youth from the criminal justice system.
Nebtaska: Youth service bureaus		62, 000 C/E	To establish referral alternatives for police, schools, parents and juvenile courts. To bridge the gap between available services, and youth in need of them. To establish developed expand old programs, and to modify established institutions, attitudes and practices which discriminate against troubled youths and thereby contribute directly or indirectly, to their antisocial behavior.
Oklahoma: Community-based prevention programs.		385, 000 C/E	To establish 3 youth services bureaus to direct youth from the courts, and to continue 5 youth service projects and develop 5- additional projects to provide services such as counseling, employment and recreation to approximately 200 youths per year per project. A total of 4,000 children should be served.
Pennsylvania: Establishment of youth service bureau.	l	458, 309 .C/E	To provide readily accessible centralized community services to youths who are in danger of becoming definquent or who have

State and title	1.0.	1972 funds	Summary
Texas: Youth services bureaus (YSB Virginia: Juvenile delinquency prever programs—Youth services bureaus Wisconsin: Diversion of juveniles the court to community youth serv	ntion	500,000 C/B 75,000 C/B 150,000 C/B	Acting as a community service/counseling center these bureaus refer children who are in danger of becoming delinquent away from the juvenile court system and into appropriate con munity treatment programs.  Jurisdictions characterized by high incidence of delinquency cases will be encouraged to set up community youth services programs whose main purpose will be to take referrals and provide service to juvenile cases that are not appropriate for
programs. Indiana: Juvenile delinquency prever and control program/expanded y	ition 72-DF-05-0013	C/D	
service bureau. Michigan: Youth service bureau Dhio: Youth service bureau			3 To provide for 24-hr emergency counseling service for youth as well as acting as a liaison and advocate for youth with existing
			DIVERSION—ADVOCACY PROGRAMS
lassachusetts: Boston youth advocat	у	\$60, 000 C/B	To divert youth from the juvenile justice system and help them gain access to community resources. Provides legal assistance and encourages use of informal dispositions.
		DIV	ERSION—DIAGNOSTIC AND TREATMENT SERVICES
Florida: Predelinquent identification treatment.	and	640, 996 C/B	To provide services, directed at inner-city youths, that establish criteria and identification of delinquency proneness or pre- delinquency, and subsequently to provide services that divert the identified pre-delinquent from the criminal justice system to prevent further potential delinquency.
llinois : Evaluation an 1 treatment cen	ter	75, 000 E/B	Provides funding for continued development of a county juvenile evaluation and treatment center begun in 1971.
ouisiana: Expanded and improved on nostic and treatment services.	=	150, 000 C/B 80, 000	To assist local governments in developing diagnostic capabilities for children referred to the juvenile justice system prior to final disposition by the court.  To reduce inventies 'moviement with the juvenile justice system by establishing a mechanism for examining and evaluating
Massachusetts: Intake committees New Jersey: Expand and improve diagnostic services available to		C/B 250, 000 C/B	the circumstances of cases referred to the court.
juvenile court. lew Jersey: Improvement of juvenile tention practices and programs. thio: Diagnostic services to delinqu and juvenile offen Jers. Washington: Establish and improve grams to divert juvenile offenders i	ents	200, 000 C/B 185, 282 C/B 575, 000 C/B	disposition; and to assist counties in planning and designing juvenile shalters where suitable facilities are lacking.  To develop and implement diagnostic treatment programs for juveniles at their earliest contact with the juvenile justice system to assure appropriate individual treatment and rehabilitation.

Guam: Guidance and counseling, a Jointproject. South Carolina: Diagnostic and consulta- 72-ED-004-0004 tive center.	C/B	To reduce the number of Juvenile referrals to the courts and reduce Juvenile delinquency and recidivism.  To expand the services of the Central State Diagnostic and Consultative Center to serve all local and county courts.
		DIVERSION—PRE-TRIAL DIVERSION
Connecticut: Pretrial diversion of young	E/B	Program aimed at youthful and first "offenders", will provide alternatives to formal judicial adjudication by generating informa-
Massachusetts: Adult diversion and pro- bation. Minnesota: Alternatives to official court processing.	185, 000 C/B 263, 000	To increase the diversion of lesser, youthful and 1st-offenders in 3 major-city district courts through pre-trial counseling and placement in community manpower and service resources. To fund a number of projects designed specifically for juvenile post-arrest diversion.
New York: Pretrial release and early di- version program.	125, 000 C/B	Emphasis on pretrial release, diversionary programs which earn dismissal, and those programs which are an outgrowth of or relate to an early case assessment project.
		DIVERSION—SPECIAL YOUTH SERVICES
California: Develop a program to attack	3, 332, 156 C/B	11 crisis intervention projects will be established to provide short term assistance to 1,100 families or youths. 6 early identifica- tion projects to provide counseling and family education for 600 youths will be established.
Prevention, diversion, and treatment for youth at the community level (alternative education system for behavior problem youth).	25, 000 C/B	To develop alternative educational systems for youth who are presently unsuccessful in the traditional school system and who can be classified as delinquent or in danger of becoming delinquent. It is estimated that 40 to 60 youths will be served.
Prevention, diversion, treatment for		To make mental health services available to delinquent or predelinquent youth on a top priority basis, Project will develop an outreach model requiring workers to work directly with youth within their own subculture. Workers are expected to provide services for a minimum of 2000 youths.
connecticut: Demonstration diversion of	C/B	(1) To explore the cost and feasibility, as well as the efficacy in reducing recidivism, of diverting certain offenders out of the criminal process at the street level, and (2) to offer at the prosecutorial level rehabilitative alternatives to prosecution of minor and first offenses where the offender is young or shows other including the rehabilitation.
Delaware: Comprehensive localized juye- nile delinquency prevention and diver- sion program.	171, 000 C/B	To develop direct prevention and diversion resources through existing or modified local structures.
District of Columbia: A proposal for the	37, 774 C/B	The focus of this project is to document the extent of truancy in 3 junior high school areas; to provide specific services aimed at curtailing truancy and to provide these services in a multi-agency context.
lawaii: Priot juvenile counseling program	C/B	The primary objective of this program is to provide counseling and follow-up services to potential delinquents and delinquents brought to the attention of the police and the family court.
Pilot intern program to counsel juveniles at the crime prevention division of the Honolulu Police Department. llinois:	27, 000 C/B	This program's objective is to prevent, control, and reduce (uvenile delinquency by the establishment by June 30, 1974 of a program at the Crime Prevention Division of the Honoluluy Police Department to counsel 1,200 juveniles analyt. This phase (1972) includes continued counseling of 850 juveniles and the recruitment, training etc. of 8 to 10 new intern counselors as well as modification of design and operations.
Grant-in-aid project	C/B	To award grants-in-aid to local organizations—public or private—that either promise to divert youngsters from the juvenile justice system or assist to keep adjudicated delinquents in community-based programs. To provide support to urban area juvenile courts to obtain specialized services for youths referred to the court though not proceeding on to official adjudication on a purchase of service basis from public and private youth service agencies.

State and title I.D.	-1972 fund	Summary
Michigan: Community alternatives to	233, 333 C/B	To expand and specialize efforts to divert youth apprehended for juvenile offenses from the adjudication process through th provision of specialized services such as parentlyouth training, sheller for runaways, counseling on school problems, work services and follow-up organized.
Minnesota. Alternatives to official court	75, 000 C/B	
Nebraska: Mental health center outreach	Block	A competent behavioral specialist will be hired and will work with up to 5 counties in aiding judges and probation officers i evaluation, disposition, investigation etc.
N.C.: Provide programs for community	C/B	To involve community agancies and volunteers in rendering and coordinating rehabilitative services for youth prior tadjudication.
Ohio: Community-based juvenile delin-	1, 758, 893 C/B	To divert juveniles from delinquency by providing programs of aternative activities, vocational counseling, and job development, aducational and referral services. Opportunities should reach over 5,000 youth.
Oklahoma: Court probation and volunteerservice coordination.	123, 700 C/B	To coordinate and develop community services for youths, including volunteer programs, and to improve probation services to those placed on probation. The emphasis of the program is on diversion from the juvenile justice system. 700 juveniles, will be affected.
exas: Vocational education/training— potential delinquents, delinquents, and young adult offenders.	150, 000 C/B	
visconsin: Alternative to confinement and supervision by criminal justice agencies.	300, 000 C/B	To develop community-based treatment programs which directly relate to the juvenile offense such as running away from home or chronic truancy.
strict of Columbia: Project GROWN 72-DF-03-	0013 . 40,000 C/D	To implement a program which domonstrates the use of a community center in prevention and control of jumenile and aguing adult crimes. The project will provide aid in readjustment to the community, acts as a deterrant to community crisis situations, provides programs leading to self sufficiency for youths and provides programs leading to self sufficiency for youths and provides programs leading to self sufficiency for youths and provides programs leading to self sufficiency for your provides programs leading to self sufficiency for the provides programs leading to self-sufficiency for the provides programs leading to self-sufficiency for the provides provides provides programs leading to self-sufficiency for the provides provides provides programs leading to self-sufficiency for the provides programs and the provides programs and the provides provides programs are provided programs.
daho : Neighborhood probation center 72-ED-10-4	0002 115,084 E/D	A crisis intervention center involving all areas of a youngster's existence; family, school, employment, police, etc. Service available from noon to 10.00 p.m. and will provide group and individual therapy, tutoring, and volunteer services.
lew York: Demonstration of intensive probation 72-ED-02-		To determine whether intensive intake-services can reduce court congestion and whether a structured environment in the
services for family court.  Intensive family-centered services 72-ED-02-t for troubled children in the com- munity.	0008 264, 635 E/D	community can serve as an effective substitute for commitment of juveniles to institutions.  To divert children and families from the courts and to serve, at the intake level, as a resource for cases which have reache the courts. Emergency care includes a crash pad, and temporary foster homes.
ennessee:		
Problem Luyenile counseling and 72-ED-04-4 rehabilitation center.	E/D	tionalization; will reduce probation caseload by 200 cases each year.
Improvement of Juvenile court dis- 72-DF-04-4 positions: Special services for Juveniles.	0017 225,000 C/D	2 projects are included in this program: the "Metro Juvenile Court" and "Metro Richland Village." Jointly these project will yield a program for the identification and rehabilitation of juvenile offenders.
exas: Small group care homes for pre- 72-ED-06-		To provide residential care for runaway and predelinquent girls in 4 group homes and 1 emergency home. Homes will serve
delinquent adolescent girls. Jashington: King County youthful of- 72-DF-10-0 fender program.	0006 1,59, 547 C/D	58 gits at any one time. To provide counseling and referral services to youths arrested in King County, but not referred to the Juvenile Court, providin alternative recourses to law enforcement officers.
		.DIVERSION—RESEARCH
lignesote: Youth service bureaus	50,000	Continued evaluation of the effectiveness of the youth service bureaus in reducing juvenile delinquency and the tendency of

50,000 Continued evaluation of the effectiveness of the youth service bureaus in reducing juvenile delinquency and the tendency of juveniles to become adult-affenders.

#### REHABILITATION

REHABILITATION	
Special treatment:	Amount
Institutions	\$3, 104, 251
Community	4, 294, 672
Altercare	590, 250
Education and training of offenders	558, 503
Diagnosis/screening	1, 492, 087
Subtotal	10, 039, 763
Community-based:	
Probation/parole	10, 650, 566
Residential centers	16, 563, 295
Detention	1, 969, 839
Children 1	00 100 700
Subtotal	29, 186, 700
Research and development	
Rehabilitation total	40 793 264
	10, 100, 201

### APPENDIX E

National Governors' Conference Resolution on Juvenile Delinquency

In recognition of the key role which state governments play in the intergovernmental effort to prevent and control juvenile delinquency, the National Governors' Conference urges each State to act as the focal point for the coordination of planning and services of all state and federal agencies which contribute to the prevention, control and treatment of juvenile delinquency.

To achieve that objective, greater emphasis should be placed on coordination of effort between the numerous federal agencies with juvenile delinquency programs and between federal and state agencies.

Recognizing that juvenile delinquency is a problem broader than the criminal justice system, planning for programs should promote coordination and utilization of private and public, social and educational services to youth to the maximum extent feasible.

Further, recognizing that the key to a meaningful reduction in juvenile delinquency lies in its prevention, each State should emphasize and strengthen its commitment to basic prevention programs giving particular emphasis to home, school and community centered pro-

grams aimed at youth in danger of becoming delinquent.

The States have increasingly recognized the importance of preventive programs and made notable progress in implementing new programs and experimenting with new ways of preventing delinquency. What is lacking is a federal commitment to the prevention of juvenile delinquency. The National Governors' Conference, therefore, urges the Congress to adequately fund and amend legislation to support state juvenile delinquency prevention efforts. Such legislation should focus on the following objectives:

1. Encourage expanded juvenile jurisdiction and funding by LEAA and those programs at the Srate and local level, and improving coordination of federal programs affecting juveniles. Such coordination should provide a clear delineation of authority and responsibility between programs funded by the Law Enforcement Assistance Administration and those of the Department of Health, Education, and

Welfare.

2. Broadening and planning structure and capabilities at the local

and state levels.

3. Substantially increased funding for action and special impact by States and localities. A protion of the federal funds under the act should be available for the matching requirements of other federal funds, thus increasing the scope of the funding.

4. Providing an ongoing capability for legislative and staff monitoring and evaulation of all programs and activities funded under-the act as a basis for developing hard data for making decisions on long

range needs.

5. Utilization of the existing structure of the State Planning Agencies for law enforcement in the achievement of the above objectives.

### ADDITIONAL VIEWS OF SENATOR BAYH

The Juvenile Justice and Delinquency Prevention Act of 1974 was designed to provide for the desperately needed Federal leadership and coordination of the resources necessary to develop and implement at the state and local community level effective programs for the prevention and treatment of juvenile delinquency. S. 821 is the product of a three-year bipartisan effort to improve the quality of juvenile justice in the United States and to provide a comprehensive expanded Federal approach to the problems of juvenile delinquency.

I was gratified when on March 5, 1974, the Senate Subcommittee to Investigate Juvenile Delinquency reported S. 821 unanimously to the full Judiciary, and when on May 8, 1974, the Judiciary Committee reported the bill as amended. The Committee's action means that the possibility of enacting a comprehensive forward-looking juvenile delinquency program is closer to realization. It was clearly recognized in the Judiciary Committee meeting that there was a need to develop comprehensive services to prevent delinquency, to divert juveniles from the juvenile justice system, and to provide community-based

alternatives to traditional juvenile correctional facilities.

I have mixed feelings that S. 821 as amended and reported by the full Judiciary Committee does not place the program in the Department of Health, Education and Welfare (HEW) as envisaged by my bill. S. 821 originally proposed the creation of an Office of Juvenile Justice and Delinquency Prevention in the Executive Office of the President. The Subcommittee was concerned about the establishment of a coordinating body in the White House at a time when the Subcommittee believes there is a serious need to strengthen existing departments of government. The bill, as reported from the Subcommittee created a Juvenile Justice and Delinquency Prevention Administration in HEW to provide leadership in pr venting delinquency and "minimizing" contact with the juvenile justice system. This decision was made after careful consideration by the Senate Subcommittee to Investigate Juvenile Delinquency of the best placement of this program, including possibly placement in the Law Enforcement Assistance Administration (LEAA), S. 821 was amended in the Judiciary Committee to transfer the comprehensive juvenile delinquency effort to LEAA. Such a change requires consideration of the numerous administrative and institutional implications of this program which is supposed to provide a wide-range of services for delinquents and potential delinquents. It is important to consider the consequences of this decision to the youth of this country who may have to be identified in a law enforcement context in order to receive social services.

### BACKGROUND

One of the major problems in defining the Federal role in juvenile delinquency prevention and control has been the confusion in roles between the Department of Health, Education, and Welfare and the Department of Justice. The recent history of the role of the Federal government in juvenile delinquency prevention and control began with the passage of the Juvenile Delinquency Prevention and Control Act of 1968 administered by the Department of Health, Education, and Welfare and the establishment of the Law Enforcement Assistance Administration of the Department of Justice set up under the Omnibus Crime Control and Safe Streets Act of 1968.

Congress in passing the Juvenile Delinquency Prevention and Control Act of 1968 expected HEW to become the national leader in establishing innovative and effective efforts to find solutions to the problems of juvenile delinquency. HEW was assigned the responsibility for assisting states in developing comprehensive state juvenile justice plans because the Department had skills in dealing with the preventive

and rehabilitative aspects of social services.

LEAA with vastly larger resources than HEW soon became dominant in the criminal justice planning field. LEAA defined its primary responsibility as adult crime control and the major focus of its state block grant programs and expenditures has accordingly been on the problems of the adult criminal population. In 1971, there was no specific juvenile delinquency unit within LEAA, nor any uniform guidelines or mechanism to monitor the content and quality of the juvenile delinquency components of state plans. While LEAA was not providing leadership in juvenile delinquency planning, few states looked to HEW for assistance in this field. The failure of the White House to request more than a small portion of the amounts authorized by Congress for each fiscal year resulted in pitifully small appropriations for the HEW delinquency effort.

In an exchange of letters on May 25, 1971 2 the Secretary of HEW and the Attorney General acknowledged the existing inadequacy in coordinating the juvenile delinquency activities of their respective agencies. The May 25 letters specified that each state should develop a single comprehensive criminal justice plan which would comply with the statutory requirements of both the Omnibus Crime Control and Safe Streets Act and the Juvenile Delinquency Prevention and Control Act. The Secretary and the Attorney General agreed that HEW was to concentrate its efforts on prevention and rehabilitation programs administered outside the traditional juvenile correctional system while LEAA was to focus its efforts on programs within the juvenile correctional system. This 1971 letter agreement clearly allocated the responsibility for prevention to HEW. Nevertheless, the narrow view of HEW's goals combined with its minimal level of funding raised questions about HEW's ability to provide national prevention leadership.

<sup>&</sup>lt;sup>1</sup> See staff report of the Subcommittee to Investigate Juvenile Delinquency, Committee on the Judiciary, U.S. Senate, "Legislative Oversight Hearings on Federal Juvenile Delinquency Programs, March 31 and April 1, 1972" ('92d Congress, 1st Session, December 1971). <sup>2</sup> Hearings before the Subcommittee to Investigate Juvenile Delinquency, Committee on the Judiciary, U.S. Senate, "S. 1732, the Juvenile Delinquency Amendments of 1971," Exhibit Nos. 3 and 4, pp. 21–23 ('92d Congress, 1st Session, May 26, 1971).

The Juvenile Delinquency Subcommittee recommended and Congress subsequently passed both a one-year extension of the 1968 Act in 1971 and a two-year extension of this legislation now entitled the Juvenile Delinquency Prevention Act in 1972.4 These extensions resulted in a limitation of the role of HEW in the delinquency field to preventive programs outside the juvenile justice field. Although the dimensions of the HEW program was a continuing concern to citizens and organizations and members of Congress interested in an effective Federal juvenile delinquency effort, in fiscal years 1972, 1973 and 1974. the White House has requested and HEW has received only \$10 million dollars in each of these years. Due to this level of appropriations, HEW has increasingly restricted its role to the development of youth services systems, which may well be a worthwhile goal, but certainly cannot cannot begin to grapple with the delinquency problem in this country. The administration of the Act has been submerged within HEW so an outsider cannot even find the location of HEW's delinquency prevention programs.

HEW has had long experience in developing social programs and human resource plans must be coordinated with juvenile justice planning if delinquency prevention is to become a reality in this country. Moreover, HEW operates programs in related areas, particularly in education and health, which must necessarily be part of a comprehensive effective Federal delinquency effort. HEW has broad contacts with private voluntary agencies and could be expected to work out a partnership between these organizations and government essential to the successful administration of S. 821. HEW has had considerable experience in its many different education and social programs working with youth and youth involvement which are vital to an effective approach to the delinquency problem and to the creation of a nucleus of alternative services for youth. For these reasons, the Subcommittee to Investigate Juvenile Delinquency recognized the potential leadership pessibilities in HEW placing the administration of S. 821 in

The decision to place the administration of S. 821 in LEAA must be viewed in the context of LEAA's role in the delinquency field since its creation in 1968. Over the years since 1968, LEAA with its vast resources and administrative staff; though dominant in the criminal justice field, has never asserted the leadership in the field of juvenile justice. LEAA has consistently viewed it srole in juvenile delinquency prevention and control as a very limited one. Despite the fact that it is the primary Federal crime control agency and juveniles account for almost half of the serious crimes in the country, LEAA has never spent even a quarter of its available funds on juvenile delinquency programs and usually far less. In fiscal 1970, LEAA allocated less than 12 percent of its appropriations on juvenile delinquency programs; in fiscal 1971 it still remained under 20 percent. In fiscal 1972, according to LEAA's estimate, a possible 21 percent of its total

that department.

appropriations went to juvenile delinquency.

a See Senate Report No. 220, the "Juvenile Delinquency Prevention and Control Act Amendments of 1971" (92d Congress, 1st Session, June 17, 1971).

See Senate Report No. 1003, the "Juvenile Delinquency Prevention Act," (92d Congress, 2d Session, July 27, 1972).

In the past LEAA's main responsibility has been to improve and strengthen law enforcement and its concern in the delinquency field has been primarily with improvement of the functioning of the juvenile justice system. LEAA has primarily devoted its programs for adjudicated delinquents and has not tended to coordinate its efforts with public and private organizations outside the juvenile justice system. LEAA has had little experience with youth or private agencies concerned with youth who are vital to a successful delinquency prevention effort. It should be pointed out that there is some question of how much legislative authority LEAA has had in this area. As a result of the 1973 amendments to the Crime Control Act, LEAA has started to take initiatives in the field of delinquency prevention and treatment which suggest that LEAA could expand its role in the director of delinquency prevention including the vital involvement of public and private human service agencies.

LEAA will have to take leadership in the prevention and treatment. of juvenile delinquency in order to administer S. 821, as amended. The theory of the Omnibus Crime Control and Safe Streets Act. which created LEAA, is that the vast bulk of funds should go to the states for law enforcement and criminal justice improvement and that the states decide how to use their funds. Under S. 821 which creates a new "Part F" to the Omnibus Crime Control and Safe-Streets Act, LEAA will have to push forward with new national. initiatives to help reduce involvement of children in the criminal justice system. LEAA will be expected to work with human serviceagencies at the national and the state level to coordinate their efforts. to prevent juveniles from entering the juvenile justice system. In order to administer S. 821, LEAA with also have to develop its juvenile delinquency research and vastly improve its evaluation of Federal. juvenile delinquency programs.

LEAA is of course experienced in administering block grant criminal justice plans combined with discretionary funds similar to aspects of S. 821's juvenile justice plans and direct special emphasis grants. Hopefully LEAA will use this expertise with a clear mandate to prevent delinquency, such as is contained in S. 821, to produce an effective delinquency prevention rehabilitation program in LEAA. If Congress. mandates the role for LEAA, I will vigilantly review LEAA's activities. to assure that the strong accountable Federal response to the delin-

quency crisis required by S. 821 is forthcoming.

# NEED FOR THIS LEGISLATION

After three years of study, I know it is vitally important to pass: S. 821 as soon as possible. Juvenile delinquency is not a priority concern of any department of the Federal Government and uncoordinated juvenile delinquency programs are scattered throughout the Departments of HEW, Justice, Labor and Housing and Urban Development,

and other agencies.

Unfortunately efforts at the state and local level to combat delinquency are equally uncoordinated. Federal fragmentation has resulted in lack of coordination at the state and local level and many agencies and groups crucial to the fight on delinquency do not see themselves as any part of the solution to the delinquency problem. S. 821, with its emphasis on coordination at all levels of government, can provide the long-needed focus for this problem.

In closing, I want to sum up S. 821 in one word, "prevention." The need at the present time is to prevent children from coming under the iuvenile justice system or being involved with the traditional juvenile correctional system. The juvenile justice system has proven itself incapable of turning young people away from lives of crime. The recidivism rate for persons under 20 is the highest of any age group, close to 75 percent within four years. Witnesses before the Subcommittee have repeatedly testified about the tragic failure of our juvenile justice and correctional system. Our overcrowded, understaffed invenile courts, probation services, and training schools rarely have the time, energy, or resources to offer the individualized treatment which the juvenile justice system was designed to provide. Once a young person enters the juvenile justice system he will probably be picked up again for delinquent acts, and eventually he will graduate

to a life of adult crime.

Witnesses before the Subcommittee have emphasized their frustration that in many communities there are few if any services for a vouth until he becomes involved in the juvenile justice system. Equally frustrating for those involved in the juvenile justice system, is how few alternatives are available within the juvenile justice system. Frequently a juvenile judge only has the possibility of returning a juvenile to his home, putting the child on probation or in an institution. What is needed are programs in communities aimed at preventing children with a high probability of delinquent involvement from behavior leading into the juvenile justice process. At each step along the way that children seem headed for trouble, the community should be able to choose the least amount of intervention necessary to change the undesirable behavior. It is often vital that the youth be reached before becoming involved with the formal juvenile justice system. In the first instance, preventive services should be available for identifiable, highly vulnerable groups to reduce their expected or probable rate of delinquency. If children commit acts which result in juvenile court referral, then an attempt should be made to divert them from the juvenile court. When youth commit serious crimes and must clearly be subjected to the jurisdiction of the juvenile justice system, then the preferred disposition should be community-based treatment.

S. 821 is the long-needed comprehensive Federal program to provide meaningful alternatives for youth inside and outside the juvenile justice system. The development of these alternatives is vital to the

well-being of our nation's youth.

BIRCH BAYH.