

Compliance Determination and Supporting Documentation Submission

The amount of funding that a state will receive each year is determined in part based upon the state's compliance with the core requirements. Therefore, states shall submit the following information via the Compliance Monitoring Tool (CMT) by Monday, August 5, 2024, by 8:59 p.m. ET:

- State compliance data for the Deinstitutionalization of Status Offenders (DSO), Separation, and Jail Removal core requirements of the JJDP Act (34 U.S.C. §§ 11133(a)(11)(A), and (a)(11)(B)(12), and (13)) for the reporting period October 1, 2022, to September 30, 2023, and supporting documentation.
- Certification Regarding State Policy Requiring Training and Certification to Work with Juveniles
- Compliance Monitoring Data Certification.
- Racial and Ethnic Disparities (R/ED) core requirement plan, data, and supporting documentation. R/ED data can be based on the state's calendar year, state's fiscal year, or federal fiscal year. Note: Baseline data should not be older than 3 years. (34 U.S.C. §§ 11133(b)(15))
- The R/ED action plan must designate and name a coordinating body to advise efforts by states, units of local government, and Indian Tribes to reduce racial and ethnic disparities. Pursuant to the JJDP Act at 34 U.S.C. § 11133 (a)(15)(A), states comply by "establishing or designating existing coordinating bodies, composed of juvenile justice stakeholders (including representatives of the educational system) at the State, local, or Tribal levels, to advise efforts by States, units of local government, and Indian Tribes to reduce racial and ethnic disparities."
- The R/ED action plan will align with the Three-Year State Plan. Year 1 of the R/ED action plan should include R/ED data and the state's plan for achieving its goals and objectives. In Year 2 and Year 3, states will be required to submit updates to the Year 1 data and action steps, including evaluation and analysis of the data, outcome-based performance metrics, accomplishments, and barriers. The R/ED submission documents located [here](#) provide additional guidance.
- Plan for Compliance Monitoring describing an effective system of monitoring, as required under 34 U.S.C. § 11133(a)(14).
- Compliance Monitoring Universe. This is a list of the facilities in the monitoring universe during the FY 2023 reporting period. Note that this list should document instances in which any facility within the monitoring universe is located in a jurisdiction that meets the definition of "rural" pursuant to the JJDP Act, meaning that it falls outside a metropolitan statistical area as defined by the Office of Management and Budget (OMB).
- Compliance Plans and Resources Certification, where applicable.
- Where a state was found to be out of compliance for the FY 2023 reporting period with the DSO, Separation, and/or Jail Removal core requirements, the state must also include in this submission a narrative of the plan to achieve compliance with the requirement(s) with which it was found to be out of compliance.