

U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention



**OJJDP FY 2021 Title II Formula Grants Program
FY 2021 Title II Grant Solicitation
Year 1 of the 3-Year Plan**

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Application JustGrants Deadline:	July 13, 2021 11:59 PM

Overview

The [U.S. Department of Justice \(DOJ\)](#), [Office of Justice Programs \(OJP\)](#), [Office of Juvenile Justice and Delinquency Prevention \(OJJDP\)](#) is seeking applications for funding for the fiscal year (FY) 2021 Title II Formula Grants Program. This program furthers the Department's mission by providing funding to the states to develop programs to address juvenile delinquency and improve the juvenile justice system.

This solicitation incorporates the [OJP Grant Application Resource Guide](#) by reference. The OJP Grant Application Resource Guide provides guidance to applicants on how to prepare and submit applications for funding to OJP. **If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.**

This solicitation expressly modifies the OJP Grant Application Resource Guide by not incorporating the "Limitation on Use of Award Funds for Employee Compensation; Waiver" provisions in the "Financial Information" section of the OJP Grant Application Resource Guide.

Eligible Applicants:

State governments, Other

Other

For purposes of this solicitation, "state" means any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Only the agency that the chief executive (e.g., the Governor) of each state designates, pursuant to 34 U.S.C. § 11133(a) (1), is eligible to apply for these funds. Applicants that do not meet this criterion are ineligible to apply under this solicitation.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

Contact Information

For technical assistance with submitting the **SF-424** and **SF-LLL** in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at [Grants.gov customer support webpage](#), or email at support@grants.gov. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

For technical assistance with submitting the **full application** in DOJ's Justice Grants System (JustGrants), contact the JustGrants Service Desk at 833-872-5175 JustGrants.Support@usdoj.gov. The JustGrants Service Desk operates 5 a.m. to 9 p.m. eastern time (ET) Monday to Friday, and 9 a.m. to 5 p.m. on Saturday, Sunday, and federal holidays.

An applicant that experiences unforeseen Grants.gov or JustGrants technical issues beyond its control that prevent it from submitting its application by the deadline must email the OJJDP contact identified below **within 24 hours after the**

application deadline in order to request approval to submit its application after the deadline.

For assistance with any other requirements of this solicitation, contact your OJJDP State Program Manager, Marisa Harris (Marisa.O.Harris@usdoj.gov), or Nicole McCrae (Nicole.McCrae@ojp.usdoj.gov), Title II Formula Grants Program leads.

Answers to frequently asked questions that may assist applicants are posted at <https://ojjdp.ojp.gov/sites/g/files/xyckuh176/files/media/document/OJJDP-2021-faqs.pdf>

A webinar addressing programmatic aspects of this solicitation will be held on June 15, 2021 at 11:00AM ET. This call will provide a detailed overview of the solicitation and allow an opportunity for interested applicants to ask questions.

Preregistration is required for all participants. Register by clicking on this link <https://ojp.webex.com/ojp/onstage/g.php?MTID=e3e3d37bccf782c43ee07ee4357050b1a> and following the instructions.

A webinar addressing compliance-related aspects of this solicitation will be held on June 17, 2021 at 11:00AM ET. This call will provide a detailed overview of the solicitation and allow an opportunity for interested applicants to ask questions.

Preregistration is required for all participants. Register by clicking on this link <https://ojp.webex.com/ojp/onstage/g.php?MTID=e54743b0669863930ca5a489f41d09ef9> and following the instructions.

Submission Information

In FY 2021, applications will be submitted to DOJ in a **NEW** two-step process.

Step 1: Applicants will submit an **SF-424 and an SF-LLL** in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal.

Step 2: Applicants will submit the **full application** including attachments in JustGrants at JustGrants.usdoj.gov.

To be considered timely, the full application must be submitted in JustGrants by the JustGrants Application deadline.

OJP encourages applicants to review, the "How to Apply" section in the [OJP Grant Application Resource Guide](#).

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Program Description

Overview

The Department of Justice is committed to advancing work that promotes civil rights, increases access to justice, supports crime victims, protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

The OJJDP FY 2021 Title II Formula Grants Program provides funding to support state and local efforts to plan, establish, operate, coordinate, and evaluate policies and projects, directly or through grants and contracts with public and private agencies, for the development of more effective education, training, research, prevention, diversion, treatment, and rehabilitation programs in the area of juvenile delinquency, as well as juvenile justice system improvement efforts

Statutory Authority

Juvenile Justice and Delinquency Prevention (JJDP) Act, at 34 U.S.C. §§ 11131–11133.

Specific Information

Pursuant to Title II, Part B, of the JJDP Act (34 U.S.C. §§ 11131–11133), in order to receive formula grants, states must submit a plan for carrying out Formula Grants Program activities applicable to a 3-year period. This announcement sets out the requirements for Year 1 of the 3-Year State Plan covering fiscal years 2021 to 2023. Please note that states must include in their description of an effective system of monitoring for FY 2021 that they are classifying court holding facilities in their monitoring universe as “jail[s] or lockup[s] for adults,” pursuant to 34 U.S.C. § 11103(22), and monitoring for and collecting data on instances of noncompliance with the separation and jail removal requirements in those facilities in FY 2021. Please also note that the FY 2021 state plan must provide for implementation (by December 21, 2021) of a new requirement regarding juveniles “who are treated as adults for purposes of prosecution in criminal court and housed in a secure facility,” pursuant to 34 U.S.C. § 11133(a)(11)(B). All required forms and sample documents can be located [here](#).

NOTE: For the purposes of this solicitation, “youth” means any individual who is under juvenile court jurisdiction or is an age at which she or he could be subject to original juvenile court jurisdiction within the state. The terms “youth justice” and “juvenile justice” are used throughout this solicitation to remind us that this work concerns the lives and future of children and youth and is not solely concerned with agencies, systems, and professionals.

For FY 2021, the application process will consist of one submission deadline in two systems, JustGrants and the OJJDP Compliance Monitoring Tool.

Via JustGrants: July 13, 2021 by 11:59 PM ET. Each state must submit the following via JustGrants:

- Year 1 Eligibility Assurances and Certifications form.
- Project Abstract.
- The 3-Year State Plan, required for all states and territories.
- State Advisory Group (SAG) roster.
- Budget – The document you attach can be a preliminary/estimated FY 2021 budget, or submit your FY 2020 budget document as a “placeholder.”
- Financial Management Questionnaire.
- State agency contact information.
- Disclosure of Lobbying Activities Form.
- Disclosure of pending applications.
- Request for waiver of pass-through requirement, if applicable.
- Research and evaluation information, if applicable.

Via the online OJJDP Compliance Monitoring Tool: July 13, 2021 by 11:59 PM ET. Each state must submit the following:

- State compliance data for the Deinstitutionalization of Status Offenders (DSO), Separation, and Jail Removal core requirements of the JJDP Act (34 U.S.C. §§ 11133(a)(11), (12), and (13)) and supporting documentation.
- Training Policy Certification.
- Compliance Monitoring Data Certification.
- Rural Removal Exception Certification, if applicable.
- Racial and Ethnic Disparities (RED) core requirement plan, data, and supporting documentation. (RED data can be based on the state’s calendar year, state’s fiscal year, or federal fiscal year.)
- Plan for Compliance Monitoring describing an effective system of monitoring, as required under 34 U.S.C. § 11133(a)(14) and detailed here.

Note: An updated copy of the state’s comprehensive Compliance Monitoring Policies and Procedures Manual suffices to meet this requirement where all eight required elements are fully addressed.

- Compliance Monitoring Universe.
- Compliance Plans and Resources Certification, where applicable.

(Note: Where a state was found, for the FY 2019 reporting period, to be noncompliant with the DSO, Separation, and/or Jail Removal core requirements, the state must submit a narrative plan to achieve compliance with the requirement(s) with which it was found to be noncompliant in FY 2020, as detailed [here](#)).

All required forms and sample documents can be located [here](#).

Important note: Applicants must satisfy **all 33 statutory requirements** of the state plan under 34 U.S.C. § 11133(a), which includes the four core requirements (34 U.S.C. §§ 11133(a)(11), (12), (13), and (15)), effectiveness of monitoring system (34 U.S.C. § 11133(a)(14)), and SAG provisions (34 U.S.C. §§ 11133(a)(3)). If a state fails to provide the required information or assurances to satisfy each of the 33 statutory requirements, the state may be ineligible for a Formula Grants Program award.

Goals, Objectives, Deliverables, and Timeline

The purpose of the Formula Grants Program is to assist state, tribal, and local governments in addressing juvenile crime through more effective programs for preventing juvenile delinquency and improving the juvenile justice system. 34 U.S.C. § 11131(a).

Overview of Plan Requirements

3-Year State Plans should:

1. Demonstrate that the state satisfies **the 33 statutory requirements** listed in 34 U.S.C. § 11133(a).
2. Provide a narrative setting forth a 3-year plan for the proposed uses of the Title II funds based on the state's juvenile delinquency crime analysis, and in accordance with the JJDP Act (34 U.S.C. § 11133(a)). The 3-year plan is to be amended in the second and third years of the 3-year cycle to include new programs, projects, and activities if there are updates.
3. Provide **compliance data reports and RED plans and data**, including any supporting documentation, **for the period October 1, 2019, to September 30, 2020**. (RED data can be based on the state's calendar year, state's fiscal year, or federal fiscal year.) This information must be submitted electronically to OJJDP's online Compliance Monitoring Tool **no later than July 13, 2021 by 11:59 PM ET**. The Compliance Data Submission and RED Plans document located [here](#) provides additional guidance.

The Goals, Objectives, and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed under Content of Application Submission.

NOTE: Not later than 60 days after the date on which a plan or amended plan submitted under this subsection is finalized, a state shall make the plan or amended plan publicly available by posting the plan or amended plan on the state's publicly available website, pursuant to 34 U.S.C. § 11133(a).

Evidence-Based Programs or Practices

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the [OJP Grant Application Resource Guide](#).

OJJDP supports and encourages the implementation of evidence-based programs and strategies that incorporate art-based programs and therapies as a way to promote delinquency prevention and intervention programs for youth populations that are at risk for juvenile delinquency, victimization, and juvenile justice system involvement

Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information, see the [OJP Grant Application Resource Guide](#) section titled "Information Regarding Potential Evaluation of Programs and Activities."

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Federal Award Information

Awards, Amounts and Durations

Period of Performance Start Date

10/1/21 12:00 AM

Period of Performance Duration (Months)

48

Anticipated Total Amount to be Awarded Under Solicitation

\$48,000,000.00

Availability of Funds

This solicitation, and awards (if any are made) under this solicitation, are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

Types of Awards

OJJDP expects to make awards under this solicitation as grants. See the “Administrative, National Policy, and Other Legal Requirements” section of the [OJP Grant Application Resource Guide](#) for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants (and cooperative agreements).

Financial Management and System of Internal Controls

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. The “Part 200 Uniform Requirements” means the DOJ regulation at 2 C.F.R. Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200. See [OJP Grant Application Resource Guide](#) for additional information.

Budget Information

See the sample budget document located [here](#) for the format to use to submit your final budget when allocations are known. Applicants are to submit a budget placeholder document with the JustGrants submission, and then the final budget when allocations are known.

Applicants are to present total federal funds the state plans to use in each program area from its Formula Grants allocation, along with any funds used to support the match required for any federal funds used for planning and administration.

Fiscal Year 2021	Formula Grant Funds (\$)	State/Local Funds (\$)	Total (\$)
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Applicants are to provide a budget that (1) is complete, allowable, and cost-effective in relation to the proposed activities and (2) provides a brief supporting narrative to link costs with project activities. The budget for this new award should account for the 4-year budget period of October 1, 2021, to September 30, 2025.

Important Notes

Subawards. The JJDP Act at 34 U.S.C. § 11133(a)(5) provides that unless the OJJDP Administrator grants a waiver to any state in which the services for delinquent or other youth are organized primarily on a statewide basis, the state plan must provide that at least 66 and 2/3 percent of funds that the state receives under 34 U.S.C. § 11132, reduced by the percentage (if any) that the state specifies under 34 U.S.C. § 11133(a)(25) and excluding funds made available to the SAG under 34 U.S.C. § 11132(d), shall be expended through subawards, as required in 34 U.S.C. § 11133(a)(5). Refer to the waiver requirements document located [here](#) for details.

Planning and administration funds and match requirement. Pursuant to the JJDP Act, at 34 U.S.C. § 11132(c), states may use no more than 10 percent of their Formula Grant award for planning and administration. States must include in their state plans and attached budgets a detailed breakdown of those costs. States must match, on an equal basis, any amount of federal funds that they expend or obligate for such purposes. States must identify the source of the match and how they will use match funds in their attached budget. States must satisfy this match requirement with cash. If a state’s proposed additional match exceeds the required match amount, the match amount that is incorporated into the OJP-approved budget is also mandatory and subject to audit. NOTE: The JJDP Act also requires that if a state uses a portion of its Formula Grants Program award for planning and administration, it must designate not less than one individual to coordinate efforts to achieve and sustain compliance with the core requirements and certify whether the state is in compliance with such requirements.

SAG allocation. States and territories may not use more than 5 percent of their annual allocation to support the SAG in carrying out the activities required under 34 U.S.C. § 11133(a)(3)(B)-(D), which must be described in the state plan.

State allocations and program areas. As required under the JJDP Act at 34 U.S.C. § 11133(c), OJJDP will reduce a state's FY 2021 allocation by 20 percent for each of the core requirements with which the state was determined not to be in compliance, based on its FY 2020 compliance data. Unless waived by the Administrator, excluding the 5 percent of funds dedicated to the SAG, states must pass through at least 66 and 2/3 percent of funds to units of local government, local private organizations, and qualified Native American tribes that agree to comply with the core requirements per 34 U.S.C. § 11133(a)(5). Lastly, excluding the 5 percent of funds dedicated to the SAG, 75 percent of funds must be dedicated to evidence-based or promising programs per 34 U.S.C. § 11133(a)(9). Overlap between the budget categories to fulfill the 66 and 2/3 percent and 75 percent provisions is permitted. States should plan their FY 2021 expenditures using the FY 2020 allocations, reflecting any reductions that may be required based on the applicant's known or anticipated noncompliance with one or more of the core requirements. FY 2020 allocations and, when available, FY 2021 allocations are located [here](#). The Formula Grants Program areas can be found [here](#).

Native American pass-through. The required amount of the Native American tribal pass-through represents the minimum amount a state must pass through to tribes that perform law enforcement functions as determined by the Secretary of the Interior, and that agree to attempt to comply with the core requirements. See 34 U.S.C. § 11133(a)(5)(C). Although this amount is based on a statutory formula, in many instances it may be insufficient to support effective juvenile justice and delinquency prevention activities. Therefore, where appropriate, OJJDP encourages the states to pass through greater amounts. In addition, OJJDP advises states to encourage tribes to apply for a discretionary grant under OJP's Coordinated Tribal Assistance Solicitation. FY 2021 state pass-through amounts will be posted online [here](#) when available. OJJDP will notify the state juvenile justice specialists at that time. For reference, the prior year Native American pass-through amount is available [here](#).

For questions pertaining to budget and examples of allowable and unallowable costs, see the Grants Financial Guide at <https://ojp.gov/financialguide/DOJ/index.htm>

Cost Sharing or Matching Requirement

This solicitation requires a **cash only match** of up to 10 percent of the state's award under the Program and Administration portion of the budget. See [Budget Information](#) for additional information on this match requirement.

Pre-agreement Costs (also known as Pre-award Costs)

See the [OJP Grant Application Resource Guide](#) for information on Pre-agreement Costs (also known as Pre-award Costs).

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

See the [OJP Grant Application Resource Guide](#) for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

Costs Associated with Language Assistance (if applicable)

See the [OJP Grant Application Resource Guide](#) for information on Costs Associated with Language Assistance.

Eligibility Information

For eligibility information, see the title page.

For information on cost sharing or matching requirements, see Federal Award Information.

Application and Submission Information

See the Application Elements and Formatting Instructions section of the [OJP Grant Application Resource Guide](#) for information on what happens to an application that does not contain all the specified elements or that is nonresponsive to the scope of the solicitation.

Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 will be submitted in Grants.gov. The SF-424 is a required standard form used as a cover sheet for submission

of pre-applications, applications, and related information. See the [OJP Grant Application Resource Guide](#) for additional information on completing the SF-424.

In Section F of the SF-424, please include the name and contact information of the individual who will complete application materials in JustGrants. JustGrants will use this information (*email address*) to assign the application to this user in JustGrants.

Intergovernmental Review: This solicitation (“funding opportunity”) is subject to [Executive Order 12372](#). An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: [Intergovernmental Review \(SPOC List\) \(whitehouse.gov\)](#). If the applicant’s State appears on the SPOC list, the applicant must contact the State SPOC to find out about, and comply with, the State’s process under E.O. 12372. In completing the SF-424, an applicant whose State appears on the SPOC list is to make the appropriate selection in response to question 19, once the applicant has complied with its State E.O. 12372 process. (An applicant whose State does not appear on the SPOC list should answer question 19 by selecting the response that the: “Program is subject to E.O. 12372 but has not been selected by the State for review.”).

Standard Applicant Information (JustGrants 424 and General Agency Information)

The Standard Applicant Information section of the JustGrants application is pre-populated with the SF-424 data submitted in Grants.gov. Applicants will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, applicants will need to: add zip codes for areas affected by the project; confirm their Authorized Representative; and verify the organization’s legal name and address.

Proposal Abstract

A proposal abstract (no more than 400 words) summarizing the proposed project including primary activities, products and deliverables, the service area, and who will benefit from the proposed project, will be completed in the JustGrants Web-based form.

Proposal Narrative

The proposal narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point Times New Roman font; have no less than 1-inch margins; and should not exceed 30 pages. Pages should be numbered and submitted as an attachment. If the proposal narrative fails to comply with these length restrictions, OJJDP may negatively consider such noncompliance in peer review and in final award decisions.

The following sections must be included as part of the proposal narrative:

a. Description of the Issue OR Statement of the Problem

Analysis of juvenile delinquency problems (youth crime) and needs. States must provide an analysis of juvenile delinquency problems in, and the juvenile delinquency control and delinquency prevention needs (including educational needs) of, the state (including any geographical area in which a Native American tribe has jurisdiction).

The analysis is developed to inform the state’s proposed plan. The plan must include a description of the manner in which proposed activities and funded programs are expected to resolve the identified juvenile crime problems and juvenile justice and delinquency prevention needs, and to link identified problems and needs with the state’s proposed budget, goals, and priorities.

The analysis must be based on the most recent data available by county, parish, or city. Refer to the Juvenile Problem/Needs Analysis [here](#) for examples of additional data elements.

b. Project Goals and Objectives

Goals are broad statements that identify the proposed plan’s intended short- and long-term results, are derived from the crime analysis, and respond to the identified needs and problems. Objectives are more specific, actionable, and quantifiable statements that further define each goal and specify the means to measure program performance.

In this section, (1) describe the state’s goals and objectives, (2) indicate the priority ranking for each goal, and (3) briefly explain how the state proposes to accomplish them.

For each goal and all of its subsidiary objectives that the FY 2021 Title II award will fund, identify the relevant program areas using the list of OJJDP’s program area and activity titles in the Formula Grants Program Areas [here](#). (Program areas are groups of projects or activities with common or similar goals and objectives.)

Link each goal and/or objective with the delinquency problem/need analysis.

c. Project Design and Implementation

In this section, states are to describe the activities, services, and projects proposed over the course of the 3-year plan to attain each goal and its subsidiary objectives. The narrative should be specific and concrete in elaborating how the state will achieve the goals and objectives.

The applicant's 3-year plan must:

- Describe how the state plan is supported by or takes account of scientific knowledge regarding adolescent development and behavior and regarding the effects of delinquency prevention programs and juvenile justice interventions on adolescents; 34 U.S.C. § 11133(a).
- Provide for an equitable distribution of the award funds within the state, including in rural areas; 34 U.S.C. § 11133(a)(6).
- Contain an analysis of gender-specific services for the prevention and treatment of juvenile delinquency; 34 U.S.C. § 11133(a)(7)(B)(i).
- Contain a plan for providing needed gender-specific services for the prevention and treatment of juvenile delinquency; 34 U.S.C. § 11133(a)(7)(B)(ii).
- Contain a plan for providing needed services for the prevention and treatment of juvenile delinquency in rural areas; 34 U.S.C. § 11133(a)(7)(B)(iii).
- Contain a plan to provide alternatives to detention for status offenders, survivors of commercial sexual exploitation, and others, where appropriate, such as specialized or problem-solving courts or diversion to home-based or community-based services or treatment for those youth in need of mental health, substance abuse, or co-occurring disorder services at the time such juveniles first come into contact with the juvenile justice system; 34 U.S.C. § 11133(a)(7)(B)(iv).
- Contain a plan to reduce the number of children housed in secure detention and corrections facilities who are awaiting placement in residential treatment programs; 34 U.S.C. § 11133(a)(7)(B)(v).
- Contain a plan to engage family members, where appropriate, in the design and delivery of juvenile delinquency prevention and treatment services, particularly post-placement; 34 U.S.C. § 11133(a)(7)(B)(vi).
- Contain a plan to use community-based services to respond to the needs of at-risk youth or youth who have come into contact with the juvenile justice system; 34 U.S.C. § 11133(a)(7)(B)(vii).
- Contain a plan to promote evidence-based and trauma-informed programs and practices; 34 U.S.C. § 11133(a)(7)(B)(viii).
- Contain a plan that shall be implemented not later than December 21, 2020, to—
 - I. eliminate the use of restraints of known pregnant juveniles housed in secure juvenile detention and correction facilities during labor, delivery, and post-partum recovery, unless credible, reasonable grounds exist to believe the detainee presents an immediate and serious threat of hurting herself, staff, or others.
 - II. eliminate the use of abdominal restraints, leg and ankle restraints, wrist restraints behind the back, and four-point restraints on known pregnant juveniles, unless—
 - (a) credible, reasonable grounds exist to believe the detainee presents an immediate and serious threat of hurting herself, staff, or others; or
 - (b) reasonable grounds exist to believe the detainee presents an immediate and credible risk of escape that cannot be reasonably minimized through any other method; 34 U.S.C. § 11133(a)(7)(B)(ix).
- Provide for the coordination and maximum utilization of evidence-based and promising juvenile delinquency programs, programs operated by public and private agencies and organizations, and other related programs (such as education, special education, recreations, health, and welfare programs) in the state; 34 U.S.C. § 11133(a)(8).
- Provide for procedures to be established for protecting the rights of recipients of services and for assuring appropriate privacy with regard to records; 34 U.S.C. § 11133(a)(18).
- Provide that the designated State agency will
 - (A) to the extent practicable give priority in funding to programs and activities that are based on rigorous, systematic, and objective research that is scientifically-based;
 - (B) from time to time, but not less than annually, review its plan and submit to the Administrator an analysis and evaluation of the effectiveness of the programs and activities carried out under the plan, and any modifications in the plan, including the survey of State and local needs, that it considers necessary; 34 U.S.C. § 11133(a)(22).
- Provide for the coordinated use of funds provided under the award with other Federal and State funds directed at juvenile delinquency prevention and intervention programs; 34 U.S.C. § 11133(a)(28).
- Describe policies, procedures, and training in effect, if any, for the staff of juvenile state correctional facilities to eliminate the use of dangerous practices, unreasonable restraints, and unreasonable isolation, including by developing effective behavior management techniques; 34 U.S.C. § 11133(a)(29).
- Describe:
 - (A) The evidence-based methods that will be used to conduct mental health and substance abuse screening, assessment, referral, and treatment for juveniles who—

- (i) request a screening;
- (ii) show signs of needing a screening; or
- (iii) are held for a period of more than 24 hours in a secure facility that provides for an initial screening; and
- (B) How the state will seek, to the extent practicable, to provide or arrange for mental health and substance abuse disorder treatment for juveniles determined to be in need of such treatment; 34 U.S.C. § 11133(a)(30).
- Describe how reentry planning by the state for juveniles will include—
 - (A) A written case plan based on an assessment of needs that includes— (i) the pre-release and post-release plans for the juveniles; (ii) the living arrangement to which the juveniles are to be discharged; and (iii) any other plans developed for the juveniles based on an individualized assessment; and
 - (B) Review processes; 34 U.S.C. § 11133(a)(31).
- Describe policies and procedures, if any, to—
 - (A) Screen for, identify, and document in records of the state the identification of victims of domestic human trafficking, or those at risk of such trafficking, upon intake; and
 - (B) Divert youth described in subparagraph (A) to appropriate programs or services, to the extent practicable; 34 U.S.C. § 11133(a)(33).

Consultation and participation of units of local government. The state plan must provide for the active consultation with, and participation of, units of local government in the development of the state plan, taking into account their needs and requests (see 34 U.S.C. §§ 11133(a)(4)).

Collecting and sharing juvenile justice information. To inform the development of information technology and better convey to OJJDP an understanding of the difficulties state agencies that administer the Formula Grants Program encounter in collecting and sharing juvenile justice information inherent to and/or as specified in the JJDP Act at 34 U.S.C. §§ 11133(a) (4), (5), (7), (8), (9), (26), (27), and (28), states are encouraged (but are not required) to include in their proposed 3-year plan the following information:

1. Describe the state's process for gathering juvenile justice information and data across state agencies—i.e., state departments of education and welfare, mental health services, and local law enforcement—and how the state makes this information available across agencies and incorporates the data into its comprehensive 3-Year Plan and Annual Plan updates.
2. Identify specific barriers the state encounters with the sharing of juvenile justice information among state agencies, including local law enforcement—i.e., where state statute, regulation, or policy prohibits the sharing of this information.

Plans for Compliance (see the Compliance and RED Plans document located [here](#) for more specific instructions and guidance). States must submit their Plan for Compliance Monitoring (*or Comprehensive Compliance Monitoring Policies and Procedures Manual*), Compliance Monitoring Universe, and Compliance Plans and Resources Certification (*or narrative plan to achieve compliance with DSO, Separation, or Jail Removal if deemed noncompliant for the FY 2020 reporting period*) in the OJJDP Compliance Monitoring Tool. Each state must include in its FY 2021 state plan submission a plan for implementing the new requirement at 34 U.S.C. § 11133(a)(11)(B), and for monitoring for, and reporting data to demonstrate, compliance with this core requirement.

Compliance data for the period from October 1, 2019, to September 30, 2020 (along with supporting documentation), the Training Policy Certification, the Compliance Monitoring Data Certification, the Rural Removal Exception Certification (where applicable), and RED plan with supporting data must be submitted electronically by **July 13, 2021 at 11:59 ET**, to [OJJDP's online Compliance Monitoring Tool](#). The OJJDP Administrator may grant an extension of this reporting deadline to **August 12, 2021 at 11:59 PM ET**, for good cause, upon request by a state. See 28 C.F.R. § 31.303(f)(5).

d. Plan for Collecting the Data Required for This Solicitation's Performance Measures

OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award. The performance data directly relate to the goals, objectives, and deliverables identified under "Goals, Objectives, and Deliverables."

Applicants can also visit OJP's performance measurement page at www.ojp.gov/performance for an overview of performance measurement activities at OJP.

Award recipients will be required to submit performance measurement data and performance reports annually in JustGrants. Further guidance on the post-award submission process will be provided at a later date. A list of performance measure questions for this program can be found at <https://ojjdp.ojp.gov/funding/fy2021/performance-measures/performance-measures-Title-II.pdf>.

States must collect and report data on the mandatory performance measures for each applicable program area for each year of the award period through the performance measurement tool. Although not required, states may collect and report on nonmandatory measures, if they choose.

Note: applicants are **not** required to submit performance data with the application. Rather, performance measures information is included as an alert that successful applicants will be required to submit performance data as part of the reporting requirements under an award.

Budget and Associated Documentation

Budget Worksheet

This attachment should include the amount the state has budgeted for each program area it has identified to receive FY 2021 Formula Grant funds. See sample budget documents located [here](#) for the format to use to submit your budget. FY 2021 state allocations will be posted online [here](#) when available.

OJJDP will provide notification of the state allocation chart when available. For planning and budget submission purposes, use the previous allocations, located [here](#). Funds allocated for planning and administration and the match requirement, the SAG, and the Native American pass-through (where applicable) are required line items. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

See the Budget Preparation and Submission Information section of the [OJP Grant Application Resource Guide](#) for details on the Budget Detail Worksheet, and on budget information and associated documentation such as information on proposed subawards, proposed procurement contracts under awards, and pre-agreement costs.

Budget Narrative

The budget narrative should thoroughly and clearly describe the activities funded under the “Planning & Administration” and in each of the “Program Contracts & Subawards” budget categories. Budget narratives accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles. The narrative should be used to describe subawards and contracts that the designated state agency will make available through programs of units of local government or combinations thereof, to the extent such programs are consistent with the state plan, to carry out part of the required activities under the Title II Formula grant award.

The budget narrative should be mathematically sound and correspond clearly with the information and figures provided in the Budget Worksheet. The narrative should explain how the applicant estimated and calculated all costs, and how those costs are necessary to the completion of the proposed project. The narrative may include tables for clarification purposes, but need not be in a spreadsheet format. As with the Budget Worksheet, the budget narrative should describe costs by year.

Indirect Cost Rate Agreement (if applicable)

Applicants will submit their indirect cost rate agreement by uploading the agreement as an attachment in JustGrants. See the [OJP Grant Application Resource Guide](#) for additional information.

Financial Management Questionnaire (including applicant disclosure of high-risk status)

Applicants will download the questionnaire in JustGrants and submit by uploading the completed questionnaire as an attachment in JustGrants. See the [OJP Grant Application Resource Guide](#) for additional information.

Additional Application Components

Demonstration of compliance with additional requirements of the JJDP Act. Refer to the Year 1 Eligibility Assurances and Certifications form ([here](#)) to be submitted as an attachment in JustGrants. **Important note:** States must address each of the 33 requirements in their applications. States must submit the Year 1 Eligibility Assurances form in JustGrants as instructed to address each requirement. If states fail to satisfy all of the 33 requirements of the JJDP Act, at 34 U.S.C. § 11133(a), OJJDP may deem the state ineligible for the award or place special conditions on the award that would withhold the Formula Grant funds.

Agency contact information. As a separate attachment, submit the names and contact information for key staff in the designated agency that receives the grant. Refer to the State Agency contact information format [here](#) for the staff and contact information to include.

SAG roster. As a separate attachment, submit the SAG roster in the format indicated [here](#).

Research and Evaluation Independence and Integrity Statement

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. Applicants will submit a description of their research and evaluation independence and integrity by uploading the document as an attachment in JustGrants. For additional information regarding demonstrating research/evaluation independence and integrity, including appropriate safeguards, see the [OJP Grant Application Resource Guide](#).

Disclosures and Assurances

Applications will complete the following disclosures and assurances.

Disclosure of Lobbying Activities

Applicants will complete and submit the SF-LLL in Grants.gov. See the [OJP Grant Application Resource Guide](#) for additional information.

DOJ Certified Standard Assurances

See DOJ Certified Standard Assurance on the [OJP Grant Application Resource Guide](#).

Applicant Disclosure of Duplication in Cost Items

Applicants will complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the [OJP Grant Application Resource Guide](#) for additional information

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements

Applicants will review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements. See [OJP Grant Application Resource Guide](#).

Applicant Disclosure and Justification-DOJ High-Risk Grantees (if applicable)

If applicable, applicants will submit this document as an attachment in JustGrants. See the [OJP Grant Application Resource Guide](#) for additional information. A DOJ high-risk grantee is a recipient that has received a DOJ high-risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

How to Apply

Applicants will submit an **SF-424** and an **SF-LLL** in Grants.gov at <https://www.grants.gov/web/grants/register.html>.

Applicants will submit the **full application** including attachments in JustGrants at <https://justgrants.usdoj.gov/>.

For additional information, see the “How to Apply” section in the [OJP Grant Application Resource Guide](#).

Submission Dates and Time

The **SF-424** and the **SF-LLL** will be submitted in Grants.gov by June 29, 2021 at 11:59 PM ET. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

The **full application** will be submitted in JustGrants by July 13, 2021 at 11:59 PM ET. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

Application Review Information

Review Process

OJP reviews the application to make sure that the information presented is reasonable, understandable, measurable, achievable, and consistent with the solicitation. See the [OJP Grant Application Resource Guide](#) for information on the application review process for this solicitation.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system

accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

Important note on FAPIIS: An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Absent explicit statutory authorization or written delegation of authority to the contrary, the Assistant Attorney General will make all final award decisions.

Federal Award Administration Information

Federal Award Notices

See the [OJP Grant Application Resource Guide](#) for information on award notifications and instructions.

Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions, and all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance).

For additional information on these legal requirements, see the “Administrative, National Policy, and Other Legal Requirements” section in the [OJP Grant Application Resource Guide](#).

Information Technology (IT) Security Clauses

An application in response to this solicitation may require inclusion of information related to information technology security. See the [OJP Grant Application Resource Guide](#) for information on information technology security.

General Information about Post-Federal Award Reporting Requirements

In addition to the deliverables described in Program Description, any recipient of an award under this solicitation will be required to submit certain reports and data.

Required reports. Recipients typically must submit quarterly financial reports, annual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the [OJP Grant Application Resource Guide](#) for additional information on specific post-award reporting requirements, including performance measures data.

Federal Awarding Agency Contact(s)

For OJP contact(s), see page 1.

For contact information for Grants.gov, see page 1.

Other Information

Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

See the [OJP Grant Application Resource Guide](#) for information on Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

Provide Feedback to OJP

See the [OJP Grant Application Resource Guide](#) for information on how to Provide Feedback to OJP.

Performance Measures

A list of performance measure questions for this program can be found at <https://ojjdp.ojp.gov/funding/grant-performance-measurement/fy-2021-performance-measures>

Application Checklist

OJJDP FY 2021 Title II Formula Grants Program

This application checklist has been created as an aid in developing an application. The DOJ Application Submission Checklist is another resource.

What an Applicant Must Do:

Prior to Registering in Grants.gov:

- Acquire a DUNS Number (see [OJP Grant Application Resource Guide](#))
- Acquire or renew registration with SAM (see [OJP Grant Application Resource Guide](#))

To Register with Grants.gov:

- Acquire AOR and Grants.gov username/password (see [OJP Grant Application Resource Guide](#))
- Acquire AOR confirmation from the E-Biz POC (see [OJP Grant Application Resource Guide](#))

To Find Funding Opportunity:

- Search for the Funding Opportunity on Grants.gov
- Access Funding Opportunity and Application Package (see [OJP Grant Application Resource Guide](#))
- Sign up for Grants.gov email [notifications](#) (optional) (see [OJP Grant Application Resource Guide](#))
- Read [Important Notice: Applying for Grants in Grants.gov](#)
- Read OJP policy and guidance on conference approval, planning, and reporting available at [ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm](#) ([OJP Grant Application Resource Guide](#))

Overview of Post-Award Legal Requirements:

Review the “[Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2021 Awards](#)” in the [OJP Funding Resource Center](#).

Scope Requirement:

- The federal amount requested is within the allowable limit(s) provided by OJJDP.

Eligibility Requirement:

- State Governments
- Other

For purposes of this solicitation, “state” means any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

- Submit **SF-424** and **SF-LLL** in Grants.gov

After SF-424 and SF-LLL submission in Grants.gov, Receive Grants.gov Email Notifications That:

- Submission has been received in Grants.gov
- Submission has either been successfully validated or rejected with errors (see [OJP Grant Application Resource Guide](#))

If No Grants.gov Receipt and Validation, or Error Notifications are Received:

- Contact Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at [Grants.gov customer support webpage](#), or email at support@grants.gov regarding technical difficulties (see [OJP Grant Application Resource Guide](#))

Receive email notification to complete application in JustGrants:

- Complete Application in JustGrants

Content of Application Submission

- Information to Complete the Application for Federal Assistance (SF-424) in Grants.gov
- Intergovernmental Review

- Standard Applicant Information (SF-424 info from Grants.gov)
- Proposal Abstract (*In JustGrants*)
- Proposal Narrative (*In JustGrants*)
 - Juvenile Problem/Needs Analysis Data Elements with goals, objectives, and activities, if changes made to approved plan
- Year 1 Eligibility Assurances and Certifications form: Compliance With the JJDP Act (*In JustGrants*)
- Plan for Compliance Monitoring (*or comprehensive Compliance Monitoring Policies and Procedures Manual*) (*In OJJDP Compliance Monitoring Tool*)
- Compliance Monitoring Universe (*In OJJDP Compliance Monitoring Tool*)
- Compliance Plans and Resources Certification (*or narrative plan to achieve compliance with DSO, Separation, or Jail Removal if deemed noncompliant for the FY 2019 reporting period*) (*In OJJDP Compliance Monitoring Tool*)
- SAG Roster (*In JustGrants*)
- State compliance data for DSO, Separation, and Jail Removal core requirements and supporting *documentation* (*In OJJDP Compliance Monitoring Tool*)
- Training Policy Certification (*In OJJDP Compliance Monitoring Tool*)
- Compliance Monitoring Data Certification (*In OJJDP Compliance Monitoring Tool*)
- Rural Removal Exception Certification, if applicable (*In OJJDP Compliance Monitoring Tool*)
- RED Plan and supporting data (*In OJJDP Compliance Monitoring Tool*)
- Performance Measures information, if changes made (*In JustGrants*)
- Waiver Requirements for Pass-Through, if applicable (*In JustGrants*)
- Contact Information for States and Territories (*In JustGrants*)
- Pre-agreement Costs, if applicable
- Budget and Associated Documentation
 - Budget Worksheet and Budget Narrative (*In JustGrants*)
 - Planning and administration funds and match requirement
 - SAG allocation
 - State allocations for program areas
 - Native American tribal subawards
 - Information on proposed subawards to meet the requirement under 34 U.S.C. §11133(a)(5) that 66 and 2/3 percent of the award be spent on subawards
 - Information on use of funds to meet the requirement that 75 percent of the award be spent on purpose areas listed at 34 U.S.C. § 11133(a)(9)
 - Narrative explaining the activities funded under the “Planning & Administration” and in each of the “Program Contracts & Subawards” budget categories
 - Indirect Cost Rate Agreement (if applicable) (see [OJP Grant Application Resource Guide](#))
 - Financial Management and System of Internal Controls Questionnaire (see [OJP Grant Application Resource Guide](#))

Additional Application Components

- Research and Evaluation Independence and Integrity (see [OJP Grant Application Resource Guide](#))
- Request and Justification for Employee Compensation; Waiver (if applicable) (see [OJP Grant Application Resource Guide](#))

Disclosures and Assurances

- [Disclosure of Lobbying Activities \(SF-LLL\)](#) (see [OJP Grant Application Resource Guide](#))
- Applicant Disclosure of Pending Applications (see [OJP Grant Application Resource Guide](#))
- DOJ Certified Standard Assurance (see [OJP Grant Application Resource Guide](#))
- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (see [OJP Grant Application Resource Guide](#))
- Applicant Disclosure and Justification – DOJ High Risk Grantees (if applicable) (see [OJP Grant Application Resource Guide](#))

Submit Application in JustGrants:

- Application has been successfully submitted in JustGrants

If JustGrants Application Submission, Validation, or Error Notifications are Received:

- Contact JustGrants.Support@usdoj.gov or 833-872-5175 regarding technical difficulties

